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<b>13. Abstract (Maximum 200 Words) (abstract should contain no proprietary or confidential information)</b> Ultrasonic imaging is currently used in the breast to distinguish between fluid filled cysts and solid masses, and more rarely, to differentiate between malignant and benign lesions. The utility of ultrasound is limited because microcalcifications (MCs) are not typically visible and because benign and malignant masses often exhibit only subtle image differences. We have invented a new technique that uses modified ultrasound equipment to form images of ultrasonic angular scatter. This method provides a new source of image contrast and should enhance the detectability of MCs and improve the differentiation of benign and malignant lesions. This method yields high resolution images with minimal statistical variability. In this first year of funding we have formed images in tissue mimicking phantoms and found that angular scatter offers a new and useful source of image contrast. We have also initiated clinical studies and found that normal soft tissues exhibit significant variations in angular scatter. We have made significant technical advances in image acquisition and signal processing. Improved visualization of MCs and benign/malignant differentiation would improve patient care by enhancing diagnosis and improving the localization of needle and core biopsy procedures. These advances may in turn reduce unneeded biopsies and improve biopsy accuracy.				
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## Improved Ultrasonic Imaging of the Breast

William F. Walker, Ph.D.

### Technical Abstract:

Ultrasonic imaging has become an increasingly important tool for the diagnosis of breast cancer. While x ray mammography remains the standard for screening, ultrasound is widely used to differentiate fluid filled cysts from solid masses, to guide invasive biopsy procedures, and even to differentiate between benign and malignant lesions. Ultrasound is an attractive choice for these applications because it exposes the patient to no ionizing radiation, requires no uncomfortable breast compression, produces images in real-time, and can successfully image young women with radiographically dense breasts. Although it offers many advantages, ultrasound is limited by the fact that certain soft tissues may appear only subtly different and that microcalcifications (MCs) are not reliably visualized. The inability to reliably image MCs is particularly troublesome because these small calcium crystals are an important mammographic indicator of breast cancer.

This grant would support career development by reducing teaching load to provide time for expanded research efforts and clinical training. If funded, my teaching load would fall from three courses per academic year to one course per academic year. I have been supported by the University of Virginia by provision of excellent laboratory space within the Biomedical Engineering Department, Medical Research Building 4, and occasionally in the Department of Radiology. This laboratory space is well equipped with test equipment, an ophthalmic ultrasound system, a custom ultrasound system, and a GE Logiq 700 ultrasonic imaging system with research interface. The custom system has precise timing control, making it an excellent platform for development of new imaging and flow estimation algorithms. The GE system is coupled to an extended research interface which allows acquisition of up to 32 MB of raw ultrasound echo data. This system is also supported by custom research software, allowing control of aperture geometry and a variety of other system parameters.

The main goals of this grant are:

- (1) Research in angular scatter imaging using the translating apertures algorithm.
- (2) Clinical training in breast cancer imaging and treatment.

The first aim will apply the Translating Apertures Algorithm (TAA) to form images of the angular scatter parameters of tissue. Since the TAA observes the same speckle pattern with angle of interrogation (for omnidirectional scatterers), it eliminates the statistical variability which plagued earlier techniques. This property makes it possible for the TAA to acquire independent, statistically reliable angular scatter profiles at every location in tissue. The specific aims of this research are:

1. Determine bias and variance of angular scatter measurements performed with the TAA.
2. Form novel images using angular scatter data from multiple angles.
3. Implement angular scatter imaging in combination with spatial compounding.

Each of these aims will be performed using experimental phantom data, experimental human tissue data, and computer simulations. All experiments will be performed on a GE Logiq 700 imaging system with a special research interface. The images obtained in aims 2 and 3 will be formed offline using experimental data obtained from the GE Logic 700 system described above.

The techniques developed under this grant will likely improve the visibility of MCs and should also enhance the contrast of soft tissue lesions. Since tumors are known to have different concentrations of extracellular matrix proteins they would be expected to exhibit angular scatter responses different from that of normal tissue. Since MCs have a much higher mass density than their surrounding tissue, they would be expected to exhibit a much greater angular scatter variation than soft tissues.



We have already begun development of one method of angular scatter imaging. In this method we form two separate images of tissue; one which highlights the component of scattering which is uniform with angle (c-weighted image), and a second which highlights the scattering component which varies with angle (d-weighted image). Simulation results indicate that c- and d-weighted images may offer significant new information about soft tissue, and that they will almost certainly improve the detectability of MCs with ultrasound.

The techniques developed here should allow detection of previously invisible tumors, especially in women with radiodense breasts. Furthermore they should improve the accuracy of image guided biopsy procedures by enhancing the visibility of MCs.

I believe that it is my job to develop new technologies which can be implemented to improve patient care. While my knowledge of engineering continues to evolve, I have become increasingly aware of limitations in my knowledge of clinical medicine. If this proposal is funded I will invest a significant amount of time to educate myself in the clinical methods of breast cancer diagnosis and treatment. I will observe breast imaging, biopsy, and surgical procedures to gain first hand knowledge of the strengths and weaknesses of existing methods. I believe that these observations will illuminate new directions of research.

## Narrative:

Since the time our proposal was submitted we have made significant conceptual and practical advances in angular scatter imaging. On the practical side, we have applied the GE experimental system in our laboratory to image tissue mimicking phantoms and human tissues *in vivo*. In these experiments we have conclusively shown that angular scatter properties are variable among phantom materials and among human tissues. We have shown that the Translating Apertures Algorithm (TAA) is able to reliably measure angular scatter. We have shown in phantoms that angular scatter differentiates targets that are indistinguishable in conventional ultrasound images. We have shown that angular scatter improves the contrast of calcification mimicking phantom materials by more than a factor of five. If this level of contrast improvement is found *in vivo*, angular scatter imaging will have a significant impact not only in breast cancer detection, but also in imaging atherosclerosis and many other clinical problems.

On the conceptual level we have developed novel signal processing methods to reduce the biases and variance of angular scatter measurements made using the TAA. These methods, which operate on the summed RF data, have a fairly low computational cost, but produce very significant improvements in TAA performance and depth of field. We have also developed a new strategy for ultrasound beamformer design. This method operates on the data received by each transducer array element, and is thus much more computationally complex, but can be shown to be optimal in the sense of reducing sum squared error. This approach effectively considers all non-ideal aspects of the ultrasound transducer and balances these factors to yield an optimal set of beamformer coefficients. This beamformer design method has applications far beyond angular scatter imaging. Beamformer design is currently performed using iterative ad hoc methods. Our approach may speed this process and improve image quality in all applications of ultrasonic imaging.

In the coming year we will continue our experimental work and test many of our signal processing methods experimentally. We will modify the operation of the GE system to enhance image resolution while maintaining angular scatter resolution. We will also develop tools for angular scatter imaging on the Philips SONOS 5500 imaging system now in our laboratory. One of the PI's graduate students, Francesco Viola, will perform an industrial internship at Philips Medical Systems in the Spring of 2003 to develop these tools. Another graduate student, Karthik Ranganathan, is currently testing the proposed beamforming methods using the Philips system. He will also perform an internship in Spring of 2003. We believe that the greater flexibility and signal fidelity of the SONOS system will improve angular scatter results and allow more general experimentation.

I have begun the proposed clinical training. I have read clinical references on breast imaging, most notably "Breast Imaging Companion" by G. Cardeñosa. I have joined radiologists while they reviewed mammograms and ultrasound results. I have participated in film reading and asked questions throughout the process. Already this experience has given me new insights into the challenges of clinical breast imaging and has opened new research possibilities.

I am looking forward to continuing my work on angular scatter imaging. Our results so far indicate that this technique has real potential to offer new diagnostic information. In the coming year we will expand our *in vivo* experiments and I will delve further into clinical breast imaging.

## **Key Research Accomplishments:**

- a) Determine bias and variance of angular scatter measurements performed with the TAA.

Simulate bias and variance

Months 1-18

**Underway, initial results described in the following publications:**

*"A Novel Beamformer Design Method for Medical Ultrasound: Part II: Simulation Results"*

*"Novel Aperture Design Method for Improved Depth of Field in Ultrasound Imag."*

*"A Novel Aperture Design Method in Ultrasound Imaging"*

*"Minimum Sum Squared Error (MSSE) Beamformer Design Techn.: Initial Results"*

*"Angular Scatter Imaging: Clinical Results and Novel Processing Methods"*

Measure bias and variance in phantoms

Months 7-18

**Underway, initial results described in the following publications:**

*"Eval. Trans. Apertures Based Angular Scatter Imaging on a Clin. Imaging System"*

*"Angular Scatter Imaging: Clinical Results and Novel Processing Methods"*

Develop and test methods for angle dependent weightings

to compensate for limited element angular response

Months 13-24

**Underway, initial results described in the following publications:**

*"A Novel Beamformer Design Method for Medical Ultrasound: Part I: Theory"*

*"A Novel Beamformer Design Method for Medical Ultrasound: Part II: Simulation Results"*

*"Novel Aperture Design Method for Improved Depth of Field in Ultrasound Imag."*

*"A Novel Aperture Design Method in Ultrasound Imaging"*

*"Minimum Sum Squared Error (MSSE) Beamformer Design Techn.: Initial Results"*

*"Angular Scatter Imaging: Clinical Results and Novel Processing Methods"*

Develop and test apodization schemes to compensate for

apparent apodization due to element angular response

Months 19-36

**Underway, initial results described in the following publications:**

*"A Novel Beamformer Design Method for Medical Ultrasound: Part I: Theory"*

*"A Novel Beamformer Design Method for Medical Ultrasound: Part II: Simulation Results"*

*"Novel Aperture Design Method for Improved Depth of Field in Ultrasound Imag."*

*"A Novel Aperture Design Method in Ultrasound Imaging"*

*"Minimum Sum Squared Error (MSSE) Beamformer Design Techn.: Initial Results"*

*"Angular Scatter Imaging: Clinical Results and Novel Processing Methods"*

Develop expressions relating correlation to variance

Months 1-12

**We are no longer working towards this original goal. Rather than comparing correlation and variance we have focused the relationship between correlation and sum squared error. Sum squared error provides a more useful metric as it can form the basis of algorithms to improve system performance and contains the effects of both bias and variance. In the following initial papers we have considered the impact of improved sum squared error on correlation:**

*"A Novel Beamformer Design Method for Medical Ultrasound: Part II: Simulation Results"*

*"Novel Aperture Design Method for Improved Depth of Field in Ultrasound Imag."*

*"A Novel Aperture Design Method in Ultrasound Imaging"*

*"Minimum Sum Squared Error (MSSE) Beamformer Design Techn.: Initial Results"*

*"Angular Scatter Imaging: Clinical Results and Novel Processing Methods"*

b) Form novel images using angular scatter data from multiple angles.

Simulate angular scatter data

(using Rayleigh, Faran, and other models)

Months 13-48

**Although we have initiated work on this aim, we are not yet ready to report results.**

Acquire data from tissue mimicking phantoms

Months 7-30

**Underway, initial results described in the following publications:**

*"Eval. Trans. Apertures Based Angular Scatter Imaging on a Clin. Imaging System"*

*"A Constrained Adaptive Beamformer for Medical Ultrasound: Initial Results"*

*"Angular Scatter Imaging: Clinical Results and Novel Processing Methods"*

Acquire data from human breast tissue

Months 13-36

**We are currently preparing to start work on this aim.**

Form parametric images using polynomial fits

Months 16-39

**Although we have initiated work on this aim, we are not yet ready to report results.**

Adapt Haider's method to angular scatter imaging

Months 19-42

**We have not yet initiated work on this aim.**

Image variance of angular scatter

Months 16-39

**Although we have initiated work on this aim, we are not yet ready to report results.**

Develop other imaging methods

Months 22-48

**Although we have initiated work on this aim, we are not yet ready to report results.**

Test methods to improve depth of field

Months 13-48

**Underway, initial results described in the following publications:**

- "A Novel Beamformer Design Method for Medical Ultrasound: Part II: Simulation Results"*
- "Eval. Trans. Apertures Based Angular Scatter Imaging on a Clin. Imaging System"*
- "Novel Aperture Design Method for Improved Depth of Field in Ultrasound Imag."*
- "A Novel Aperture Design Method in Ultrasound Imaging"*
- "Minimum Sum Squared Error (MSSE) Beamformer Design Techn.: Initial Results"*
- "Angular Scatter Imaging: Clinical Results and Novel Processing Methods"*

Develop and test methods to detect specular reflectors

Months 1-24

**We have not yet initiated work on this aim.**

c) Implement angular scatter imaging in combination with spatial compounding.

Implement TAA and spatial compounding on the GE

Months 25-36

**We have not yet initiated work on this aim.**

Develop methods to reduce the impact of limited element  
angular response

Months 31-48

**Underway, initial results described in the following publications:**

- "A Novel Beamformer Design Method for Medical Ultrasound: Part I: Theory"*
- "A Novel Beamformer Design Method for Medical Ultrasound: Part II: Simulation Results"*
- "Novel Aperture Design Method for Improved Depth of Field in Ultrasound Imag."*
- "A Novel Aperture Design Method in Ultrasound Imaging"*
- "Minimum Sum Squared Error (MSSE) Beamformer Design Techn.: Initial Results"*
- "A Constrained Adaptive Beamformer for Medical Ultrasound: Initial Results"*
- "Angular Scatter Imaging: Clinical Results and Novel Processing Methods"*

Test compounding with the TAA on phantoms

Months 31-48

**We have not yet initiated work on this aim.**

Test compounding with the TAA on tissues

Months 37-48

**We have not yet initiated work on this aim.**

## **Key Training Accomplishments:**

### **Clinical Observation**

#### **Ultrasound**

Months 1-48

**I have observed roughly 4 ultrasound readings to date.**

#### **X-Ray Mammography**

Months 1-24

**I have observed roughly 20 mammogram readings to date.**

#### **MRI**

Months 1-24

**I have not yet initiated work on this aim.**

#### **US guided needle biopsy**

Months 13-36

**I have not yet initiated work on this aim.**

#### **X-Ray Stereotaxis guided needle biopsy**

Months 13-36

**I have not yet initiated work on this aim.**

#### **Excisional biopsy**

Months 13-48

**I have not yet initiated work on this aim.**

#### **Wire localization**

Months 19-36

**I have not yet initiated work on this aim.**

#### **Lumpectomy**

Months 25-36

**I have not yet initiated work on this aim.**

#### **Mastectomy**

Months 37-48

**I have not yet initiated work on this aim.**

### Reportable Outcomes:

(Note that student authors are underlined.)

### Refereed Publications:

Ranganathan, K. and W. F. Walker, "A Novel Beamformer Design Method for Medical Ultrasound: Part I: Theory," IEEE Trans. Ultrason. Ferroelec. Freq. Contr., in press.

Ranganathan, K. and W. F. Walker, "A Novel Beamformer Design Method for Medical Ultrasound: Part II: Simulation Results," IEEE Trans. Ultrason. Ferroelec. Freq. Contr., in press.

### Conference Presentations with Paper:

Mann, J. A. and W. F. Walker, "Constrained Adaptive Beamforming: Point and Contrast Resolution," accepted to the 2003 SPIE Medical Imaging Symposium.

Walker, W. F. and M. J. McAllister, "Angular Scatter Imaging in Medical Ultrasound," presented at the 36th Asilomar Conference on Signals, Systems, and Computers.

McAllister, M. J. and W. F. Walker, "Angular Scatter Imaging: Clinical Results and Novel Processing Methods," Proc. IEEE Ultrason. Symp., pp. \*\*\*-\*\*\*, 2002.

Mann, J. A. and W. F. Walker, "A Constrained Adaptive Beamformer for Medical Ultrasound: Initial Results," Proc. IEEE Ultrason. Symp., pp. \*\*\*-\*\*\*, 2002.

Ranganathan, K. and W. F. Walker, "The Minimum Sum Squared Error (MSSE) Beamformer Design Technique: Initial Results," Proc. IEEE Ultrason. Symp., pp. \*\*\*-\*\*\*, 2002.

Ranganathan, K. and W. F. Walker, "A Novel Aperture Design Method in Ultrasonic Imaging," Proc. SPIE vol. 4687, pp. 13-24, Medical Imaging 2002: Ultrasonic Imaging and Signal Processing, 2002.

McAllister, M. J., K. W. Rigby and W. F. Walker, "Evaluation of Translating Apertures Based Angular Scatter Imaging on a Clinical Imaging System," Proc. IEEE Ultrason. Symp., pp. 1555-8, 2001.

Ranganathan, K. and W. F. Walker, "A Novel Aperture Design Method for Improved Depth of Field in Ultrasound Imaging," Proc. IEEE Ultrason. Symp., pp. 1543-6, 2001.

### Conference Presentations with Abstract:

Walker, W.F., M.J. McAllister, K.W. Rigby, "In Vivo Imaging of Ultrasonic Angular Scatter," U.S. Dept. of Defense Breast Cancer Research Program Era of Hope 2002 Meeting, September 2002.

### Presentations:

Oct., 2002	Philips Research Center, Paris France	"Medical Ultrasound Research at UVA"
Mar., 2002	Phillips Medical Sys., Bothell, WA	
		"Radiation Force, Angular Scatter, & Novel Beamforming"
Feb., 2002	IBM Watson Research Center, White Plains, NY	
		"Compute & Storage Intensive Ultrasound Research"



**Patents:**

"Constrained Adaptive Beamformer for Medical Ultrasound," J. A. Mann and W. F. Walker, Provisional patent Application filed October 7, 2002.

"An Improved Beamforming Method for Ultrasound Imaging," K. Ranganathan and W. F. Walker, Provisional Patent filed October 5, 2001.

**New Funding Applications:**

"Angular Scatter Ultrasound Imaging in the Breast", the National Institutes of Health, \$1,794,454, funding requested from December 1, 2002 to November 30, 2007(20% effort).

**Conclusions:**

Angular scatter imaging with ultrasound shows more promise now than it did at the initiation of this grant. Our experimental results indicate that angular scatter variations are significant in both phantoms and human tissues, and that they offer a source of contrast which is distinct from traditional backscatter. Our understanding of the physical factors limiting the performance of angular scatter imaging has broadened greatly in the past year, as has our ability to develop signal processing methods to compensate for these factors. In the coming year we will expand our efforts *in vivo* imaging, further test the novel signal processing methods we've developed, and continue and expand the proposed clinical training experience.

# **A Novel Beamformer Design Method for Medical Ultrasound: Part I (Theory)**

Karthik Ranganathan and William F. Walker

Biomedical Engineering, University of Virginia, Charlottesville, VA 22908

## **Abstract:**

The design of transmit and receive aperture weightings is a critical step in the development of ultrasound imaging systems. Current design methods are generally iterative, and consequently time-consuming and inexact. We describe a new and general ultrasound beamformer design method, the minimum sum squared error (MSSE) technique. The MSSE technique enables aperture design for arbitrary beam patterns (within fundamental limitations imposed by diffraction). It utilizes a linear algebra formulation to describe the system point spread function (psf) as a function of the aperture weightings. The sum squared error (SSE) between the system psf and the desired or goal psf is minimized, yielding the optimal aperture weightings. We present detailed analysis for continuous wave (CW) and broadband systems. We also discuss several possible applications of the technique, such as the design of aperture weightings that improve the system depth of field, generate limited diffraction transmit beams, and improve the correlation depth of field in translated aperture system geometries. Simulation results are presented in an accompanying paper [1].

## **I. Introduction**

Ultrasonic imaging is an important medical diagnostic tool that entails four critical steps. First, an ultrasound waveform is generated and transmitted by exciting a piezoelectric transducer

with a suitable electric signal; usually a finite duration pulse. This transmitted ultrasound beam propagates through tissue, undergoing diffraction and attenuation as well as scattering and reflection at tissue interfaces. Next, the reflected or scattered echoes propagate back to the transducer where they are received and converted to electric signals. Finally, these received echoes are processed and mapped to form an image. Simple ultrasound systems use a single large piezoelectric transducer to generate and receive ultrasound. State of the art systems, on the other hand, use phased array technology similar to that used in contemporary RADAR and SONAR. These systems use transducer arrays comprising a multitude of small transducer elements. Subsets of these elements form the active transducer aperture that is used for transmission and reception. The prime reason for the use of arrays is the fact that the independent operation of each element enables more control over the transmission and reception processes. On transmit, the application of distinct time delays [2] to the pulses used to excite each element focuses the transmitted ultrasound beam to a specific point. These delays are calculated using elementary geometry to compensate for differences between the path lengths from each element to the point of interest. In addition to these time delays, magnitude weights [2] may be applied to the elements (apodization) to control the shape of the ultrasonic beam. These weights are usually determined iteratively or adapted from windowing functions described in signal processing literature. These magnitude and phase weights play a critical role in determining system resolution and contrast. More advanced medical ultrasound systems enable not only transmit focusing and apodization, but also the generation of arbitrary transmit waveforms for each element.

On the receive side, state of the art systems change their focus dynamically as a function of time after transmit [2]. This means that the system is ideally focused at the point of origin of the echoes received at any time. In addition to dynamic focusing, these systems also implement dynamic apodization [2] to maintain a constant system f-number within the physical constraints of the transducer. The f-number is the ratio of the range being interrogated to the aperture size. Keeping the f-number constant throughout acquisition results in a more spatially invariant system response with range. Some systems have FIR filters on each receive channel to apply focal time delay increments that are smaller than the system sampling interval. These filters usually have few taps and fixed coefficients. Among other topics, this paper considers what might be possible if flexible dynamic FIR filters were placed on each channel. As we will show, such an alteration would enable tremendous control over the system response.

Ultrasound beam characteristics fundamentally affect image quality and the quality of data acquired for signal processing. Because of this significance, much of system design is dedicated to optimizing beam parameters. The two most important beam parameters are mainlobe width and sidelobe levels. Mainlobe width determines the system point resolution, and sidelobe levels determine the system contrast. As mentioned previously, these and other beam parameters can be adjusted by changing the magnitude and phase (or time delay) of the weightings applied to the active elements. These parameters are also influenced by the size of the active aperture (the number of active elements) and the frequency of the ultrasound pulse.

Magnitude weightings, phase weightings, aperture size, and operating frequency can each be adjusted to manipulate beam parameters. Unfortunately, these beamforming parameters do

not act independently; altering one changes the impact of each of the others. Thus beamformer design is a complicated multiparameter optimization problem. Because of this complexity, beamformer parameters are typically determined using a combination of ad hoc methods, simplified theory, and iterative simulation and experimentation. While these methods are effective, they are time consuming and provide no guarantee that the optimal solution has been found. Therefore, there is a fundamental need to develop a design method that simultaneously considers the impact of all the controllable beamformer parameters in a straightforward and rigorous way.

We propose a general aperture design method, supported by rigorous theory, that can be applied in arbitrary system geometries to design apertures that optimize beam parameters. Our technique utilizes a linear algebra formulation of the sum squared error (SSE) between the system point spread function (psf) and the desired or goal psf. Minimization of this error yields unique aperture weightings that maximize the system psf's resemblance to the desired psf. A strength of our approach is that it utilizes system characteristics that may be obtained through theory, simulation, or experiment.

Our method is similar to the technique used by Ebbini et al [3] to generate specialized beam patterns for hyperthermia, and by Li et al [4] for the compensation of blocked elements. However, there are significant differences between these methods and the technique we describe. Chief among these is the fact that our technique is more general as it describes a method for the design of optimized apertures for any application, and enables the design of arbitrary beam patterns. Such patterns are of course fundamentally constrained by wavelength and aperture size.

The designed beam will simply be that which most closely approximates the desired beam given the limitations of the physics. The differences between the MSSE technique and the techniques described in [3] and [4] are discussed in more detail later in this manuscript. This paper outlines the theoretical description of the MSSE technique for narrowband and broadband systems, describes a modified technique for reduced computational cost, and discusses a few examples of applications. Simulation results for these examples are described in an accompanying paper [1].

## II. Theory

We present one-way and two-way continuous wave (CW) and broadband formulations of the MSSE technique. Please note that all operators and variables in the CW formulations are complex valued, while operators and variables in the broadband formulations are real valued.

*Continuous Wave (CW) formulation:*

### One-way analysis-

The phase and magnitude of the ultrasonic field at a point in space generated by an ultrasound transducer element depend upon several factors. These include the Euclidean distance between the point and the element, the orientation of the element relative to the point, the frequency of the emitted wave, and frequency dependent attenuation of the medium. Assuming linear propagation, the complex ultrasonic field  $p(x_i)$  at a point in space  $x_i$  can be expressed as,

$$p(x_i) = \int_{-\infty}^{\infty} S(\chi, x_i) W(\chi) d\chi$$



where  $\chi$  represents position in the aperture plane,  $W(\chi)$  is the complex aperture weighting function, and  $S(\chi, x_i)$  is a propagation function that incorporates any or all of the factors mentioned above.  $S(\chi, x_i)$  determines the complex field at  $x_i$  due to the aperture weighting at  $\chi$ . This propagation function may be determined through theory, simulations, or experiments. A simple propagation function can be formulated based on the Rayleigh-Sommerfeld diffraction equation, which is derived in [5 pp. 46-50]. The propagation function may also include limited element angular response using the formulation derived in [6], as well as other such complicating factors.

The formulation for the ultrasonic field can readily be discretized, since the transducer aperture comprises a finite number of elements. Therefore, the field  $p_j$  at a point  $j$  due to an aperture of  $N$  elements can be expressed as,

$$p_j = \sum_{i=1}^N s_{i,j} w_i \quad (1)$$

where  $s_{i,j}$  is the propagation function that determines the field at the point  $j$  due to the  $i^{\text{th}}$  element, and  $w_i$  is the weighting applied to the  $i^{\text{th}}$  element. Therefore,  $p_j$  is the sum of the contribution of each element to the field at  $j$ . Note that this formalism makes no assumptions about the geometry or other characteristics of the transducer elements. Equation 1 can be expressed in a matrix formulation as follows.

$$p_j = \begin{bmatrix} s_{1,j} & s_{2,j} & s_{3,j} & \dots & s_{N,j} \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \\ w_3 \\ \vdots \\ w_N \end{bmatrix}$$

Therefore, the one-way  $M$ -point lateral point spread function (psf) at the range  $z$  can be represented as,

$$P_z = \begin{bmatrix} s_{1,1} & s_{2,1} & \dots & s_{N,1} \\ s_{1,2} & s_{2,2} & \dots & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ s_{1,M} & \vdots & \dots & s_{N,M} \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \\ \vdots \\ w_N \end{bmatrix} = S_z W \quad (2)$$

where  $S_z$  is an  $M \times N$  matrix of propagation functions with  $s_{i,j}$  denoting the propagation function that determines the field at the point  $j$  due to the  $i^{\text{th}}$  element,  $W$  is an  $N \times 1$  vector of aperture weightings, and the resulting psf  $P_z$  is an  $M \times 1$  vector. Note that our field point/element notation differs from the conventional row/column ordering. We have chosen this ordering for purposes of clarity and consistency within the manuscript, as will become more clear in the broadband formulation below. As mentioned previously, this formulation permits analysis with complicated propagation functions that may include limited element angular response, frequency dependent attenuation, and other factors that are difficult to model. Using the formulation in equation 2, the transmit and receive psfs at the range  $z$  can be expressed as follows.

$$P_{Tz} = S_z T \quad (3)$$

and

$$P_{Rz} = S_z R \quad (4)$$

respectively, where  $T$  and  $R$  are the transmit and receive aperture weightings respectively.

Let  $\tilde{P}_{Tz}$  represent the desired one-way psf for the application of interest. We can then characterize the degree of similarity of the desired psf,  $\tilde{P}_{Tz}$ , and the actual system psf,  $P_{Tz}$ , by the sum squared error between them. Minimizing this SSE would yield a system psf optimally similar to the goal psf. Therefore, beamformer design is simply the selection of transmit aperture weightings such that the SSE between the desired and actual system psfs is minimized. Using equation 3, the SSE can be expressed as follows.

$$\begin{aligned} SSE &= (P_{Tz} - \tilde{P}_{Tz})^H (P_{Tz} - \tilde{P}_{Tz}) \\ &= (S_z T - \tilde{P}_{Tz})^H (S_z T - \tilde{P}_{Tz}) \end{aligned} \quad (5)$$

where the superscript " $H$ " denotes a conjugate transpose operation.

The formulation in equation 5 is common in signal processing, and substantial literature is devoted to the solution to the equation with the minimum SSE (least squares solution).

Drawing upon [7], the optimal transmit aperture weightings are given by,

$$T = (S_z^H S_z)^{-1} S_z^H \tilde{P}_{Tz} = S_z^\# \tilde{P}_{Tz} \quad (6)$$

where the superscripts “-1” and “#” denote a matrix inverse and a pseudoinverse operation respectively. Therefore  $S_z^\#$  is the pseudoinverse of  $S_z$ .

Equation 6 provides a simple method for the calculation of the transmit weightings that yield the system psf at the range  $z$  that is optimally similar to the goal or desired psf.

#### One-way analysis with weighting function-

In certain applications, the psf characteristics at some lateral positions may be more critical than at others, since the width of the mainlobe of the psf determines system point resolution and the magnitudes of the side-lobes determine the system contrast. In a given application, it may be more important to enforce low sidelobe levels than to precisely control the mainlobe. In such cases, we can incorporate a weighting function,  $F$ , that emphasizes or de-emphasizes selected features in the psf during the MSSE design process. The SSE (equation 5) can be rewritten with the weighting function as,

$$SSE = (F_d S_z T - F_d \tilde{P}_{Tz})^H (F_d S_z T - F_d \tilde{P}_{Tz}) \quad (7)$$

where  $F_d$  is a diagonalized  $M \times M$  matrix with the elements of  $F$  along its 0<sup>th</sup> diagonal.

These elements should have a large value where a close match between the goal and designed psf

is required. Smaller values can be used in regions where a close match is less critical. The solution for the receive weightings, as drawn from [7] is,

$$\begin{aligned} T &= \left( (F_d S_z)^H F_d S_z \right)^{-1} (F_d S_z)^H F_d \tilde{P}_{Tz} \\ &= \left( S_z^H F_d^H F_d S_z \right)^{-1} S_z^H F_d^H F_d \tilde{P}_{Tz} = (F_d S_z)^{\#} F_d \tilde{P}_{Tz} \end{aligned} \quad (8)$$

where  $(F_d S_z)^{\#}$  is the pseudoinverse of  $F_d S_z$ .

#### Two-way analysis-

In most ultrasonic imaging applications, the two-way impulse response is of greater interest than the one-way response. The two-way response can be readily determined by applying the RADAR equation [8]. It states that for continuous wave applications, the two-way response is simply the product of the transmit and receive responses. Applying this knowledge to our linear algebra formulation yields the following two-way response.

$$P_{TRz} = P_{Tz} \cdot P_{Rz} = (S_z T) \cdot (S_z R) \quad (9)$$

where ‘.’ indicates point-by-point multiplication. Equation 9 can be rewritten as,

$$P_{TRz} = P_{Tzd} P_{Rz} = P_{Tzd} S_z R = P_{TzdS} R \quad (10)$$

where  $P_{Tzd}$  is a diagonal  $M \times M$  matrix with the elements of  $P_{Tz}$  along its 0<sup>th</sup> diagonal, and  $P_{TzdS} = P_{Tzd} S_z$ . This changes the point multiplication operation to a regular matrix multiplication operation.

Similar to the one-way analysis, if the goal two-way psf for the application of interest is  $\tilde{P}_{TRz}$ , the SSE can be expressed as follows.

$$SSE = (P_{TRz} - \tilde{P}_{TRz})^H (P_{TRz} - \tilde{P}_{TRz}) \quad (11)$$

Applying equation 10, equation 11 can be rewritten and solved using [7] as shown below, yielding the optimum receive weightings.

$$SSE = (P_{TzdS} R - \tilde{P}_{TRz})^H (P_{TzdS} R - \tilde{P}_{TRz}) \quad (12)$$

$$R = (P_{TzdS}^H P_{TzdS})^{-1} P_{TzdS}^H \tilde{P}_{TRz} = P_{TzdS}^\# \tilde{P}_{TRz} \quad (13)$$

where  $P_{TzdS}^\#$  is the pseudoinverse of  $P_{TzdS}$ .

Equation 13 specifies the complex weightings to be applied to the transducer elements constituting the receive aperture to obtain a system psf,  $P_{TRz}$ , at the range  $z$ , that optimally resembles the desired or goal psf,  $\tilde{P}_{TRz}$ .

### Two-way analysis with weighting function-

As with the one-way analysis, a weighting function  $F$  can be incorporated in the SSE formulation to selectively emphasize or de-emphasize features in the psf during the minimization operation. Rewriting the SSE (equation 12) after including the weightings matrix, the resulting receive weightings can be solved for in a manner similar to that used in equations 7 and 8.

$$\begin{aligned} R &= \left( (F_d P_{TzdS})^H F_d P_{TzdS} \right)^{-1} (F_d P_{TzdS})^H F_d \tilde{P}_{TRz} \\ &= \left( P_{TzdS}^H F_d^H F_d P_{TzdS} \right)^{-1} P_{TzdS}^H F_d^H F_d \tilde{P}_{TRz} = (F_d P_{TzdS})^\# F_d \tilde{P}_{TRz} \end{aligned} \quad (14)$$

where  $F_d$  is again a diagonalized  $M \times M$  matrix with the elements of  $F$  along its 0<sup>th</sup> diagonal and  $(F_d P_{TzdS})^\#$  is the pseudoinverse of  $F_d P_{TzdS}$ .

### *Broadband formulation:*

#### One-way analysis-

The formulation described in the previous section is a CW formulation. Medical ultrasound systems use monochromatic (CW) excitation only for specific modalities such as CW Doppler. For the majority of applications, an ultrasound pulse with some finite bandwidth is used. The previously described CW formulation will have limited accuracy in this broadband scenario.

The one-way point spread function (psf) at a specific range  $z$  can be represented as a function of time and lateral position as follows.



$$P_z = \begin{bmatrix} p_{1,1} & p_{2,1} & \cdot & \cdot & p_{n_p,1} \\ p_{1,2} & p_{2,2} & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ p_{1,n_{tp}} & \cdot & \cdot & \cdot & p_{n_p,n_{tp}} \end{bmatrix} \quad (15)$$

where  $P_z$  is an  $n_{tp} \times n_p$  matrix that is a two-dimensional function of position and time. It consists of the field at each of  $n_p$  lateral points in space, at each of  $n_{tp}$  points in time. It comprises elements of the form  $p_{i,j}$ , which is the field at lateral point  $i$  at time sample  $j$ .

Equation 15 can be rewritten by reshaping the matrix as follows.

$$P_z = \begin{bmatrix} p_{1,1} \\ p_{1,2} \\ \cdot \\ \cdot \\ p_{1,n_{tp}} \\ p_{2,1} \\ p_{2,2} \\ \cdot \\ \cdot \\ p_{n_p,n_{tp}} \end{bmatrix} \quad (16)$$

where the first  $n_{tp}$  elements represent the field at lateral point 1 at each of  $n_{tp}$  time samples, the next  $n_{tp}$  elements represent the field at lateral point 2 for the same  $n_{tp}$  time samples, and so on until the last  $n_{tp}$  time samples for the  $n_p^{\text{th}}$  lateral point.

The field at a point in space over  $n_p$  time samples can be expressed as a function of a propagation matrix,  $A$ , and a set of aperture weightings,  $T$ . The propagation matrix depends upon the excitation pulse and the element impulse responses of the transmit aperture. It is a function of time and the spatial positions of the element and field point under consideration. It describes the contribution of each element at each field point as a function of time. The aperture weightings are also two-dimensional, being a function of the element number and time, and can be expressed for each of  $n_a$  elements over each of  $n_{ta}$  time samples as,

$$T = \begin{bmatrix} t_{1,1} & t_{2,1} & \cdot & \cdot & t_{n_a,1} \\ t_{1,2} & t_{2,2} & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ t_{1,n_{ta}} & \cdot & \cdot & \cdot & t_{n_a,n_{ta}} \end{bmatrix} \quad (17)$$

where  $t_{i,j}$  is the aperture weighting for element  $i$  at time  $j$ . These weightings essentially form the coefficients of an FIR filter. Equation 17 can also be reshaped as follows.

$$T = \begin{bmatrix} t_{1,1} \\ t_{1,2} \\ \cdot \\ \cdot \\ t_{1,n_{ta}} \\ t_{2,1} \\ t_{2,2} \\ \cdot \\ \cdot \\ t_{n_a,n_{ta}} \end{bmatrix} \quad (18)$$

where the first  $n_{ia}$  elements are the aperture weightings for element 1 at each of  $n_{ia}$  time samples, the next  $n_{ia}$  elements are the weightings for element 2 for the same  $n_{ia}$  time samples, and so on until the last  $n_{ia}$  elements for the  $n_a^{\text{th}}$  element. Using equations 16 and 18, we can now write the complete one-way system psf  $P_z$  as follows.

$$\begin{bmatrix} p_{1,1} \\ p_{1,2} \\ \vdots \\ p_{1,n_{tp}} \\ p_{2,1} \\ p_{2,2} \\ \vdots \\ p_{n_p,n_{tp}} \end{bmatrix} = \begin{bmatrix} a_{1,1,1,1} & a_{1,2,1,1} & \cdots & a_{1,n_{ia},1,1} & a_{2,1,1,1} & a_{2,2,1,1} & \cdots & a_{n_a,n_{ia},1,1} \\ a_{1,1,1,2} & a_{1,2,1,2} & \cdots & a_{1,n_{ia},1,2} & a_{2,1,1,2} & a_{2,2,1,2} & \cdots & a_{n_a,n_{ia},1,2} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{1,1,1,n_{tp}} & a_{1,2,1,n_{tp}} & \cdots & a_{1,n_{ia},1,n_{tp}} & a_{2,1,1,n_{tp}} & a_{2,2,1,n_{tp}} & \cdots & a_{n_a,n_{ia},1,n_{tp}} \\ a_{1,1,2,1} & a_{1,2,2,1} & \cdots & a_{1,n_{ia},2,1} & a_{2,1,2,1} & a_{2,2,2,1} & \cdots & a_{n_a,n_{ia},2,1} \\ a_{1,1,2,2} & a_{1,2,2,2} & \cdots & a_{1,n_{ia},2,2} & a_{2,1,2,2} & a_{2,2,2,2} & \cdots & a_{n_a,n_{ia},2,2} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{1,1,n_p,n_{tp}} & a_{1,2,n_p,n_{tp}} & \cdots & a_{1,n_{ia},n_p,n_{tp}} & a_{2,1,n_p,n_{tp}} & a_{2,2,n_p,n_{tp}} & \cdots & a_{n_a,n_{ia},n_p,n_{tp}} \end{bmatrix} \begin{bmatrix} t_{1,1} \\ t_{1,2} \\ \vdots \\ t_{1,n_{ia}} \\ t_{2,1} \\ t_{2,2} \\ \vdots \\ t_{n_a,n_{ia}} \end{bmatrix}$$

or

$$P_z = A_z T \quad (19)$$

where  $A_z$  is an  $(n_p \cdot n_{tp}) \times (n_a \cdot n_{ia})$  propagation matrix. Each element  $a_{i,j,k,l}$  determines the field due to the weighting at time sample  $j$  applied to element  $i$ , at time sample  $l$  at lateral field point  $k$ . The transmit and receive psfs at the range  $z$  can therefore be expressed as follows.

$$P_{Tz} = A_z T \quad (20)$$

and

$$P_{Rz} = A_z R \quad (21)$$

respectively, where  $T$  and  $R$  are the  $(n_a \cdot n_{ra}) \times 1$  transmit and receive aperture weightings respectively.

The generation of a one-way psf is shown in figure 1. The transmit pulse applied to each element is first convolved with a set of weights that is unique for each element. The results of these convolutions are then convolved with the respective element spatial impulse responses and finally summed in space to generate the beam pattern. Note that the broadband formulation in equation 19 describes the psf at a single range. Therefore, the formulation does not perform a convolution to determine the ultrasonic field at multiple range points. However, there is a convolution that describes the response at each lateral point of interest as a function of time in the formulation. The transmit weights that are calculated using the formulation are eventually convolved with the pulse (and the element response) to form the resultant beam pattern. This operation would impact the field at multiple ranges.

We can now derive the transmit weightings that force the one-way system psf to optimally resemble a specified goal psf,  $\tilde{P}_{Tz}$ . The SSE between the goal and system one-way psfs at range  $z$  can be expressed as,

$$SSE = (P_{Tz} - \tilde{P}_{Tz})^T (P_{Tz} - \tilde{P}_{Tz}) \quad (22)$$

where the superscript “ $T$ ” indicates a transpose operation. A conjugate operation is unnecessary since the weights are real valued. Substituting equation 20 in equation 22 yields,

$$SSE = (A_z T - \tilde{P}_{Tz})^T (A_z T - \tilde{P}_{Tz}) \quad (23)$$

As in the CW formulations, the transmit weightings that minimize the SSE can be determined using [7] and are given by,

$$T = (A_z^T A_z)^{-1} A_z^T \tilde{P}_{Tz} = A_z^\# \tilde{P}_{Tz} \quad (24)$$

where  $A_z^\#$  is the pseudoinverse of  $A_z$ .

#### One-way analysis with weighting function-

As in the CW analysis, we can incorporate a weighting function  $F$  in the analysis. In the broadband case, however,  $F$  is an  $(n_p \cdot n_{ip}) \times 1$  element weighting vector as shown below.

$$F = \begin{bmatrix} f_{1,1} \\ f_{1,2} \\ \vdots \\ f_{1,n_{tp}} \\ f_{2,1} \\ f_{2,2} \\ \vdots \\ f_{n_p,n_{tp}} \end{bmatrix} \quad (25)$$

where  $F$  consists of the weighting to be applied to the field at each of  $n_p$  points in space at each of  $n_{tp}$  points in time. It comprises elements of the form  $f_{i,j}$ , which is the weighting applied to the field at point  $i$  at time sample  $j$ . The SSE (equation 23) can be rewritten with the weighting function as,

$$SSE = (F_d A_z T - F_d \tilde{P}_{Tz})^T (F_d A_z T - F_d \tilde{P}_{Tz}) \quad (26)$$

where  $F_d$  is a diagonalized  $(n_p \cdot n_{tp}) \times (n_p \cdot n_{tp})$  matrix with the elements of  $F$  along its 0<sup>th</sup> diagonal. The solution for the transmit weightings is therefore,

$$\begin{aligned} T &= ((F_d A_z)^T F_d A_z)^{-1} (F_d A_z)^T F_d \tilde{P}_{Tz} \\ &= (A_z^T F_d^T F_d A_z)^{-1} A_z^T F_d^T F_d \tilde{P}_{Tz} = (F_d A_z)^\# F_d \tilde{P}_{Tz} \end{aligned} \quad (27)$$

where  $(F_d A_z)^\#$  is the pseudoinverse of  $F_d A_z$ .

### Two-way analysis-

The two-way pulse-echo psf can also be expressed as a linear algebra formulation in a similar fashion to the one-way psf.

$$P_{TRz} = A_{zz} R \quad (28)$$

where  $A_{zz}$  is the propagation function, and  $R$  is the  $(n_a \cdot n_{ta}) \times 1$  weighting vector with weights for each of  $n_a$  receive elements at each of  $n_{ta}$  time samples.  $A_{zz}$  is a function of the transmit aperture weights, the excitation pulse, and the element impulse responses of the transmit and receive apertures. The SSE between the goal and system pulse-echo psfs at range  $z$  is,

$$SSE = (P_{TRz} - \tilde{P}_{TRz})^T (P_{TRz} - \tilde{P}_{TRz}) \quad (29)$$

where  $\tilde{P}_{TRz}$  is the goal or desired pulse-echo psf at range  $z$ . We can substitute equation 28 in equation 29, and solve for the receive weightings to be applied using [7].

$$SSE = (A_{zz} R - \tilde{P}_{TRz})^T (A_{zz} R - \tilde{P}_{TRz}) \quad (30)$$

$$R = (A_{zz}^T A_{zz})^{-1} A_{zz}^T \tilde{P}_{TRz} = A_{zz}^{\#} \tilde{P}_{TRz} \quad (31)$$

where  $A_{zz}^{\#}$  is the pseudoinverse of  $A_{zz}$ .



### Two-way analysis with weighting function-

We can again include a weighting function  $F$ , which is an  $(n_p \cdot n_q) \times 1$  element weighting vector. Solving after application of the weighting function yields the following receive weightings.

$$\begin{aligned} R &= \left( (F_d A_{zz})^T F_d A_{zz} \right)^{-1} (F_d A_{zz})^T F_d \tilde{P}_{TRz} \\ &= \left( A_{zz}^T F_d^T F_d A_{zz} \right)^{-1} A_{zz}^T F_d^T F_d \tilde{P}_{TRz} = (F_d A_{zz})^\# F_d \tilde{P}_{TRz} \end{aligned} \quad (32)$$

where  $F_d$  is again a diagonalized  $(n_p \cdot n_q) \times (n_p \cdot n_q)$  matrix with the elements of  $F$  along its 0<sup>th</sup> diagonal and  $(F_d A_{zz})^\#$  is the pseudoinverse of  $F_d A_{zz}$ .

### Reduced computational cost through symmetry relations-

The computation of aperture weights in the MSSE technique requires significant resources, due to the pseudoinverse operation and the large propagation matrices required. Note that the application of the weights has a much lower computational cost than the design of the weights. In order to reduce the computational complexity of our broadband formulation, we take advantage of the symmetry present in the system. We first use the lateral symmetry of the psf. This symmetry means that we can, if we choose, use just half of both the goal and system psfs for the calculation of the optimal weightings. The one-way psf is then,

$$P_z = \begin{bmatrix} p_{1,1} & p_{2,1} & \cdot & \cdot & p_{n_p/2,1} \\ p_{1,2} & p_{2,2} & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ p_{1,n_{tp}} & \cdot & \cdot & \cdot & p_{n_p/2,n_{tp}} \end{bmatrix} \quad (33)$$

where  $P_z$  is an  $(n_p/2) \times (n_{tp})$  matrix, consisting of the field at each of only  $n_p/2$  points in space at each of  $n_{tp}$  points in time. Equation 33 can be rewritten as,

$$P_z = \begin{bmatrix} p_{1,1} \\ p_{1,2} \\ \cdot \\ \cdot \\ p_{1,n_{tp}} \\ p_{2,1} \\ p_{2,2} \\ \cdot \\ \cdot \\ p_{n_p/2,n_{tp}} \end{bmatrix} \quad (34)$$

where  $P_z$  is an  $(n_p/2 \cdot n_{tp}) \times 1$  vector. The goal psf must also be rewritten in a similar fashion.

The symmetry of the transmit and receive apertures is another property that can be exploited to reduce computational cost. As shown in figure 2, pairs of elements can be generated by grouping elements on either side that are at the same distance from the center axis, because these elements will have the same weightings, assuming no beamsteering. Assuming that the

aperture comprises an even number of elements, the transmit weightings can then be expressed as,

$$T = \begin{bmatrix} t_{1,n_a,1} & t_{2,n_a-1,1} & \cdot & \cdot & t_{n_a/2,n_a/2+1,1} \\ t_{1,n_a,2} & t_{2,n_a-1,2} & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ t_{1,n_a,n_{ta}} & \cdot & \cdot & \cdot & t_{n_a/2,n_a/2+1,n_{ta}} \end{bmatrix} \quad (35)$$

where  $t_{i,j,k}$  is the aperture weighting for elements  $i$  and  $j$  at time  $k$ . Equation 35 can also be reshaped as follows.

$$T = \begin{bmatrix} t_{1,n_a,1} \\ t_{1,n_a,2} \\ \cdot \\ \cdot \\ t_{1,n_a,n_{ta}} \\ t_{2,n_a-1,1} \\ t_{2,n_a-1,2} \\ \cdot \\ \cdot \\ t_{n_a/2,n_a/2+1,n_{ta}} \end{bmatrix} \quad (36)$$

where the first  $n_{ta}$  elements are the aperture weightings for elements 1 and  $n_a$  at each of  $n_{ta}$  time samples, the next  $n_{ta}$  elements are the weightings for elements 2 and  $n_a - 1$  at the same  $n_{ta}$  time samples, and so on until the last  $n_{ta}$  weightings for elements  $n_a/2$  and  $n_a/2 - 1$ .

The derivation is now analogous to the analysis in equations 20, 22, 23 and 24 yielding the transmit weightings,

$$T = \begin{pmatrix} A_z^T & A_z \end{pmatrix}^{-1} A_z^T \tilde{P}_{Tz} = A_z^\# \tilde{P}_{Tz}$$

This use of symmetry reduces the size of the matrix subject to the pseudoinverse operation by a factor of 2 in each dimension and therefore also reduces the required memory. The same technique can be applied in the two-way pulse-echo scenario to reduce computational cost.

### III. Applications

The minimum sum squared error (MSSE) technique described above is extremely general and can be applied in wide-ranging scenarios. A few possible applications are described below.

#### *Enhanced Depth of Field (DOF):*

The depth of field (DOF) of an ultrasound imaging system is generally defined as the axial region over which the system is in focus, or more rigorously, the axial region over which the system response satisfies some chosen criterion. It is generally desired that the system psf remains similar to the psf at the focus for as large an axial span as possible.

Current techniques to improve depth of field include transmit apodization, dynamic receive apodization, and dynamic receive focusing [2]. However effective the above techniques are, their implementation is typically ad-hoc and lacks formal theory describing their

effectiveness in improving depth of field. If the MSSE technique is implemented for every range under consideration with the goal psf being the psf obtained at the focus, we can formally derive receive apodization weightings that force the psf at each specific range of interrogation to be maximally similar by minimizing the SSE. These weightings can then be used to implement dynamic apodization and maximize the DOF.

As demonstrated in equations 9 and 10, we can express the pulse-echo two-way psf at a range  $z$  as the point-by-point multiplication of the one-way transmit and receive psfs at range  $z$ .

$$P_{TRz} = P_{Tz} \cdot P_{Rz} = (S_z T) \cdot (S_z R)$$

$$P_{TRz} = P_{Tzd} P_{Rz} = P_{Tzd} S_z R = P_{TzdS} R$$

The transmit psf,  $P_{Tz}$ , is fixed at each range of interrogation since we consider each transmit focus separately. From equation 13, the receive weightings at a range  $z$  that minimize the SSE between the psf at the focus and the psf at  $z$ , and therefore maximize the depth of field are,

$$R = (P_{TzdS}^H P_{TzdS})^{-1} P_{TzdS}^H \tilde{P}_{TRz} = P_{TzdS}^{\#} \tilde{P}_{TRz}$$

where  $\tilde{P}_{TRz}$  is the psf at the focus.

### *Limited Diffraction Transmit Beams:*

Modern ultrasound systems employ dynamic receive focusing and dynamic apodization to expand their useful depth of field. Ultimately, however, the DOF is limited by the use of a fixed transmit focus. Thus, multiple transmissions with different focal ranges must be performed along each image line in order to obtain a high quality image. This slows image acquisition significantly.

Limited diffraction beams [9] have been suggested as a way to enhance DOF without requiring multiple transmissions. The MSSE technique described here can be readily applied to design such beams. We present CW analysis to generate limited diffraction beams through the application of appropriate transmit weights.

If  $P_1, P_2, P_3, \dots, P_Q$  are CW transmit (one-way) psfs at  $Q$  different ranges of interest, and we are interested in maintaining the transmit beam profile through these ranges, the objective is to derive a set of transmit aperture weightings that would minimize the sum squared error between the system and goal psfs at each of these ranges. We can express the one-way psfs at all ranges of interest as follows.

$$\begin{bmatrix} P_1 \\ P_2 \\ P_3 \\ \vdots \\ P_Q \end{bmatrix} = P_{TQ} = \begin{bmatrix} S_1 \\ S_2 \\ S_3 \\ \vdots \\ S_Q \end{bmatrix} [T] = S_Q T \quad (37)$$

where  $P_{TQ}$  is a  $(M \cdot Q) \times 1$  vector made up of  $Q$  vertically tiled  $M$ -point psfs at the  $Q$  ranges of interest,  $S_Q$  is an  $(M \cdot Q) \times N$  matrix made up of  $Q$  vertically tiled  $M \times N$  propagation matrices, one for each of the  $Q$  ranges of interest, and  $T$  is the  $N \times 1$  vector of transmit aperture weightings. The SSE can then be expressed as follows.

$$SSE = (P_{TQ} - \tilde{P}_{TQ})^H (P_{TQ} - \tilde{P}_{TQ}) \quad (38)$$

$$\text{where } \tilde{P}_{TQ} = \begin{bmatrix} \tilde{P}_1 \\ \tilde{P}_2 \\ \tilde{P}_3 \\ \vdots \\ \tilde{P}_Q \end{bmatrix}$$

is an  $(M \cdot Q) \times 1$  vector consisting of  $Q$  vertically tiled  $M \times 1$  goal or desired psfs, one for each range. Note that  $\tilde{P}_{TQ}$  cannot be constructed by simply replicating the same psf  $Q$  times, because constructing one-way psfs with the same phase at each range is impossible. In CW implementation, an appropriate phase term that accounts for propagation must be applied to the goal psf at each range. This phase term is a function of the range under consideration and the wave propagation speed. In broadband implementation, appropriate time delays need to be applied. Using equation 37, equation 38 can be rewritten and solved to obtain the transmit weightings that minimize the SSE.

$$SSE = (S_Q T - \tilde{P}_{TQ})^H (S_Q T - \tilde{P}_{TQ}) \quad (39)$$

$$T = (S_Q^H S_Q)^{-1} S_Q^H \tilde{P}_{TQ} = S_Q^\# \tilde{P}_{TQ} \quad (40)$$

where  $S_Q^\#$  is the pseudoinverse of  $S_Q$ .

Equation 40 specifies the complex weightings to be applied to the transmit aperture to obtain one-way transmit psfs at the specified ranges that optimally resemble the goal psfs. Therefore, these transmit weightings will result in a limited diffraction transmit beam, which will have a beneficial impact on depth of field.

#### *Increased Correlation Depth of Field in Translated Aperture Geometries:*

Biological tissues are known to exhibit variations in angular scattering [10]-[12]. That is, the scattered echo magnitude and phase depend upon the angle between the propagation vectors of the incident and observing waves. It has long been hypothesized that this parameter might offer valuable diagnostic information. We have proposed using the translating apertures algorithm (TAA), as the foundation of angular scatter imaging methods [13]. Previous methods that were used to make angular scatter measurements [14], [15] have entailed the use of pistons that were mechanically rotated to measure the average angular scatter over some area at a single frequency. Imaging systems have also been developed to make images at a single scattering angle other than  $180^\circ$  [16], [17]. However, in all these methods the speckle pattern that was obtained varied with angle, and images obtained at different angles could not be processed



coherently to obtain accurate complex angular scatter information. The reason for the change in the speckle pattern was a rotation of the system psf with a change in the relative position of the transmit and receive apertures. In the TAA, the transmit and receive apertures are translated by an equal distance in opposite directions. This enables the acquisition of accurate angular scatter data without the confounding influence of system psf rotation.

While offering several advantages, the TAA results in a significantly reduced depth of field as the transmit and receive apertures are translated. This is due to the crossing of the transmit and receive beams, which results in interference over a reduced area as the apertures are translated. This is shown schematically in figure 3. Our technique of optimizing dynamic receive aperture weightings can be applied to improve the correlation depth of field between the backscatter (non-translated) and angular scatter (translated) geometry psfs at a specific range. Note that the previously described symmetry technique for the broadband formulation cannot be completely utilized in translated aperture geometries. This is due to a loss of symmetry that is caused by the translation of the apertures, which results in unique element weightings for each element. We can write the two-way CW psf for the backscatter geometry at range  $z$  as follows.

$$P_{TRz0} = P_{Tz0} \cdot P_{Rz0} = (S_{Tz0} T_0) \cdot (S_{Rz0} R_0) \quad (41)$$

where  $P_{Tz0}$  and  $P_{Rz0}$  are the  $M \times 1$  transmit and receive psfs respectively,  $S_{Tz0}$  and  $S_{Rz0}$  are the  $M \times N$  transmit and receive propagation functions respectively at range  $z$ , and  $T_0$  and  $R_0$  are the  $N \times 1$  transmit and receive aperture weightings respectively. The subscript "0" denotes

no translation (zero shift), or the backscatter geometry. Similarly, the two-way CW psf for the angular scatter geometry at the same range  $z$  is,

$$P_{TRz1} = P_{Tz1} \cdot P_{Rz1} = (S_{Tz1} T_1) \cdot (S_{Rz1} R_1) \quad (42)$$

where  $P_{Tz1}$  and  $P_{Rz1}$  are the  $M \times 1$  transmit and receive psfs respectively,  $S_{Tz1}$  and  $S_{Rz1}$  are the  $M \times N$  transmit and receive propagation functions respectively at range  $z$ ,  $T_1$  and  $R_1$  are the  $N \times 1$  transmit and receive aperture weightings respectively, and the subscript "1" denotes the translated angular scatter geometry. Since the apertures are translated, the propagation functions are no longer the same for the transmit and receive apertures. Equation 42 can be rewritten as,

$$P_{TRz1} = P_{Tz1d} P_{Rz1} = P_{Tz1d} S_{Rz1} R_1 = P_{Tz1dS} R_1 \quad (43)$$

where  $P_{Tz1d}$  is a diagonalized  $M \times M$  matrix with the elements of  $P_{Tz1}$  along its 0<sup>th</sup> diagonal, and

$$P_{Tz1dS} = P_{Tz1d} S_{Rz1}$$

Our objective is to maintain a constant system response as the apertures are translated. Again, this means maximizing the correlation between the system responses of the backscatter and angular scatter geometries, or minimizing the SSE between the two psfs. The SSE can be expressed as follows.

$$SSE = (P_{TRz1} - P_{TRz0})^H (P_{TRz1} - P_{TRz0}) \quad (44)$$

Using equation 43, equation 44 can be modified and solved to obtain the receive weightings that minimize the SSE as shown below.

$$SSE = (P_{Tz1dS} R_1 - P_{TRz0})^H (P_{Tz1dS} R_1 - P_{TRz0})$$

$$R_1 = (P_{Tz1dS}^H P_{Tz1dS})^{-1} P_{Tz1dS}^H P_{TRz0} = P_{Tz1dS}^\# P_{TRz0} \quad (45)$$

where  $P_{Tz1dS}^\#$  is the pseudoinverse of  $P_{Tz1dS}$ .

Equation 45 specifies the complex weightings to be applied to the transducer elements that comprise the receive aperture after translating the apertures. Application of these weightings would generate a system psf  $P_{TRz1}$  at the range  $z$  that optimally resembles the goal psf  $P_{TRz0}$ , or the psf with no translation.

#### *Optimal Receive Weighting for Harmonic Imaging:*

The previously described MSSE technique is not limited to aperture design assuming linear propagation. Conventional ultrasound imaging assumes linear propagation of the ultrasound pulse, and the receive process assumes that the received echoes have the same frequency content as that of the transmitted pulse. However, the propagation process is non-linear and shifts some of the signal energy to harmonics of the fundamental frequency. Current

state of the art ultrasound systems have the capability of imaging echoes received at these higher harmonics (harmonic imaging), for improved image contrast and resolution [18]. Our technique of dynamic weighting can be adapted to design receive apertures for harmonic imaging. The transmit beam profile resulting from non-linear processes can be determined analytically, experimentally, or through simulations, and be substituted into our formulation. The algorithm assumes linear propagation during the receive process. Equation 13, which is rewritten below, describes the relationship between the optimum weightings and the analytically or experimentally determined goal psf.

$$R = \left( P_{Tzds}^H P_{Tzds} \right)^{-1} P_{Tzds}^H \tilde{P}_{TRz} = P_{Tzds}^{\#} \tilde{P}_{TRz}$$

#### IV. Discussion

The MSSE technique is a generalized technique for the design of arbitrary system responses, through the use of aperture weights. Analysis has been presented for both continuous wave (CW) systems and broadband systems that illustrates the theory underlying the technique. The propagation functions that have been described can be determined through experiments, simulations, or derived from theory.

The real-time implementation of the beamformer is conceptually simple. In the CW case, complex apodization would be used to implement the technique. The weights can either be stored and retrieved in a look-up table, or calculated as required. For the broadband case, implementation is analogous to using an FIR filter on each channel. In the one-way design implementation, conventional FIR filters would be used, while in the two-way design

implementation, dynamic shift-variant FIR filters would be used. There are no restrictions on filter length; the algorithm uses the specified length to optimize performance. However, as in conventional FIR filters, performance depends upon the filter length and it is advisable to have the maximum possible length that can be practically implemented. Some current ultrasound systems already have a crude FIR filter on each channel, and they could be conceivably extended to apply the MSSE technique by modifying them to be shift variant. Again, the weights can either be stored and retrieved in a look-up table system, or calculated as required.

The design method described here is different and superior to the pseudoinverse based methods described in [3] and [4] for several reasons. First, the methods in [3] and [4] are limited to continuous wave (CW) systems. We present analysis for CW as well as broadband systems. Our method is also more general as we describe a general method for the design of apertures for any application. Another important distinction is that the methods in [3] and [4] are limited to one-way analysis. i.e. either transmit or receive, while our technique is adaptable for one-way or two-way analysis. A third distinction is that in [3] and [4], a few control points were used in order to ensure an underdetermined system of equations and obtain an exact beam pattern at those few points, while in our technique, the entire goal psf is used to obtain the least squares solution of an overdetermined system of equations. This method enables excellent control of the system psf, and has a significant impact on aperture design for several applications such as improved depth of field. Simulation results for these and other examples are described in an accompanying paper [1].

#### IV. Conclusions

The minimum sum squared error (MSSE) technique is a general beamforming method that can be used to design apertures for specific applications. It enables the design of arbitrary beam profiles by calculating the appropriate optimum aperture weightings. The system performance is optimized because the calculated weightings minimize the sum squared error between the achieved and desired system responses. The algorithm can be readily implemented in both continuous wave and broadband systems. In CW systems, the receive weights can be implemented through apodization and time delays, or complex weights. In broadband systems, implementation is analogous to having a dynamic FIR filter on each channel.

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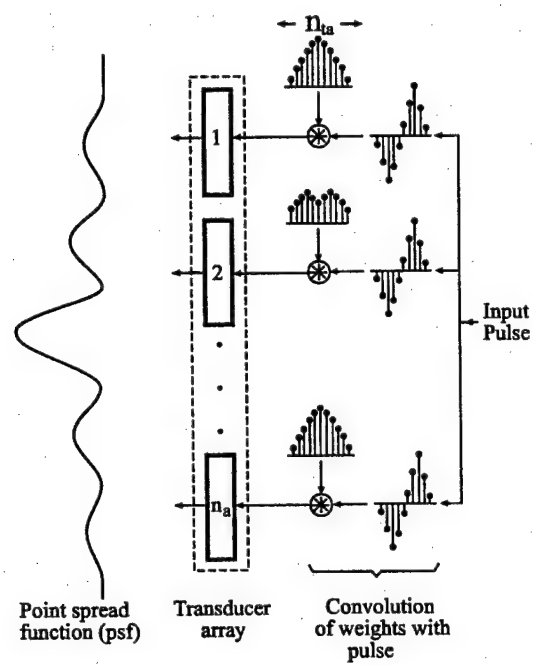
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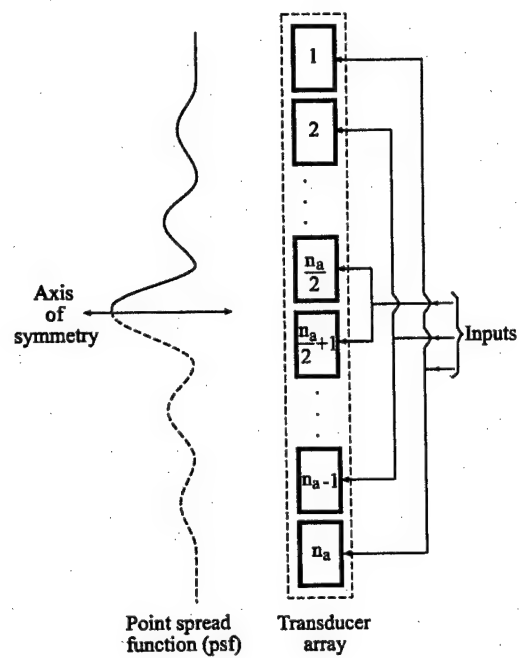
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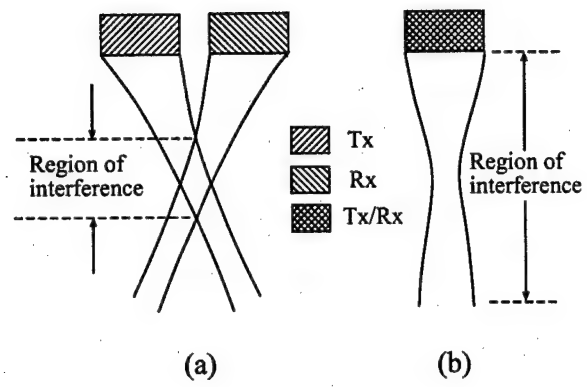
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**Figure 1**



**Figure 2**



**Figure 3**

### Figure Captions:

#### Figure 1.

Illustration of broadband formulation. The pulse applied to each element is convolved with a set of weights that is distinct for each element. This operation is analogous to applying an FIR filter to each element/channel.

#### Figure 2.

Illustration of the exploitation of symmetry for reduced computational cost. Since the aperture is symmetric about the center elements, pairs of elements that would have the same weights are grouped together. Also, the lateral symmetry of the psf about the center axis permits analysis with just one half of the psf.

#### Figure 3.

Illustration of reduced depth of field in translated aperture geometries. 3(a) depicts the angular scatter geometry and 3(b) shows the backscatter geometry. The reduced depth of field is due to the limited region of overlap of the transmit and receive beams in 3(a), as compared to the completely coincident transmit and receive beams in 3(b).

## **A Novel Beamformer Design Method for Medical Ultrasound: Part II (Simulation Results)**

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### **Abstract:**

In the first part of this work [1], we introduced the minimum sum squared error (MSSE) technique of ultrasound beamformer design. This technique enables the optimal design of apertures to achieve arbitrary system responses. In the MSSE technique, aperture weights are calculated and applied to minimize the sum squared error (SSE) between the desired and actual system responses. In this paper, we present the results of simulations performed to illustrate the implementation and validity of the MSSE technique. Continuous wave (CW) and broadband simulations are presented to demonstrate the application of the MSSE method to obtain arbitrary system responses (within fundamental physical limitations of the system). We also describe CW and broadband simulations that implement the MSSE method for improved conventional depth of field (DOF), and for improved correlation DOF in translated aperture geometries. Using the MSSE technique, we improved the conventional DOF by over 200% in CW simulations, and over 100% in broadband simulations. The correlation DOF in translated aperture geometries was improved by over 700% in both CW and broadband simulations.

### **I. Introduction**

In an accompanying paper [1], we described the minimum sum squared error (MSSE) technique of aperture design. This technique enables the design of ultrasound systems for

arbitrary system responses and beam profiles. This is done by calculating and applying the aperture weightings that optimally produce these profiles, within fundamental limits imposed by wavelength. In the MSSE design technique, the system point spread function (psf) is expressed in a linear algebra formulation as a function of aperture weights and a propagation function. This propagation function may be theoretically derived or determined by simulations or experiments. The sum squared error (SSE) between the desired (goal) psf and the realized psf is then minimized. A brief review of the major results derived in [1] for both continuous wave (CW) and broadband systems is provided below.

*One-way Continuous Wave (CW) formulation:*

We can express the one-way transmit psf at some range  $z$  as a function of a propagation matrix  $S_z$  and the transmit aperture weightings  $T$ .  $S_z$  may include factors such as limited element angular response [2] and frequency dependent attenuation. This relationship is expressed in a linear algebra formulation as a matrix multiplication. Using [3], we can then derive the least squares solution for the transmit weightings that minimize the sum squared error (SSE) between the system psf and the desired or goal psf. Since the SSE is minimized, application of these transmit weightings results in the generation of a one-way psf that is optimally similar to the goal psf. The transmit weightings that minimize the SSE between the system psf and goal psf are given by,

$$T = (S_z^H S_z)^{-1} S_z^H \tilde{P}_{Tz} = S_z^\# \tilde{P}_{Tz} \quad (1)$$

where the superscripts “ $H$ ”, “ $-1$ ” and “ $\#$ ” denote the conjugate transpose, matrix inverse and pseudoinverse operations respectively, and  $\tilde{P}_{Tz}$  represents the goal psf.  $S_z^\#$  is the pseudoinverse of  $S_z$ .

*Two-way Continuous Wave (CW) formulation:*

We can also derive the receive aperture weights that would minimize the SSE between the two-way system psf and a two-way desired or goal psf. The two-way psf can be expressed using the well-known RADAR equation [4]. The RADAR equation states that the two-way psf is the product of the one-way transmit and receive psfs. The receive psf is expressed as a function of a set of receive weights and a receive propagation matrix in a similar fashion to the transmit psf described in the previous section. The transmit psf is diagonalized to eliminate the point-by-point multiplication operation and then combined with the receive propagation matrix. This process yields an expression that formulates the two-way psf in a linear algebra formulation as a function of the receive weights and the secondary function derived from the one-way transmit psf and the receive propagation matrix. The SSE between the two-way psf and the goal psf is minimized by the following receive weights,

$$R = \left( P_{TzS}^H P_{TzS} \right)^{-1} P_{TzS}^H \tilde{P}_{TRz} = P_{TzS}^\# \tilde{P}_{TRz} \quad (2)$$

where  $P_{TzS}$  is the secondary function described above and is constructed by diagonalizing  $P_{Tz}$  with its elements along the 0<sup>th</sup> diagonal, and then multiplying the resulting matrix with the propagation function  $S_z$ .  $P_{TzS}^\#$  is the pseudoinverse of  $P_{TzS}$ .



*One-way Broadband formulation:*

The above CW formulations are of limited use in broadband ultrasound systems that utilize finite bandwidth pulses. Since CW ultrasound is used only for limited applications such as CW Doppler, we also derived one-way and two-way formulations that adapt the MSSE technique for broadband systems. The one-way point spread function (psf) can be expressed as the product of a propagation matrix  $A_z$  with the transmit aperture weights  $T$ . The psf is a function of lateral position and time while the aperture weights are a function of the element number and time. The propagation matrix depends upon the excitation pulse and the impulse responses of the elements that comprise the transmit aperture. Using [3], the transmit weights that minimize the SSE between the system psf and the goal psf can be solved for and are given by,

$$T = (A_z^T A_z)^{-1} A_z^T \tilde{P}_{Tz} = A_z^\# \tilde{P}_{Tz} \quad (3)$$

where  $\tilde{P}_{Tz}$  is the goal or desired psf, and  $A_z^\#$  is the pseudoinverse of  $A_z$ . Implementation of these weights is analogous to applying a dynamic FIR filter to every channel and summing their outputs.

*Two-way Broadband formulation:*

The two-way pulse-echo psf can be expressed as the product of a two-way propagation matrix  $A_{zz}$  with the receive aperture weights  $R$ .  $A_{zz}$  depends upon the excitation pulse, the transmit and receive aperture element impulse responses, and the transmit aperture weightings. The receive weightings that optimize the system psf are given by,

$$R = \left( A_{zz}^T A_{zz} \right)^{-1} A_{zz}^T \tilde{P}_{TRz} = A_{zz}^\# \tilde{P}_{TRz} \quad (4)$$

where  $\tilde{P}_{TRz}$  is the goal two-way psf and  $A_{zz}^\#$  is the pseudoinverse of  $A_{zz}$ .

#### *Reduced computational cost through symmetry relations:*

The design of weights via the MSSE technique is computationally expensive because of the need to compute the pseudoinverse of the large propagation matrix during the calculation of the aperture weights. In order to reduce computational complexity, we exploit the lateral symmetry of the transducer apertures and the psfs [1]. Due to this symmetry, it is sufficient to compute only half of the weights, using just half of the psf. This results in the reduction of the computational complexity, and enables more efficient computation of the aperture weights. Note that the application of the weights has a relatively low computational cost when compared to the design of the weights. The weights can either be computed and stored in a look-up table system, or calculated as required. They can then be applied as conventional FIR filters in the one-way design case, or as dynamic shift variant filters in the two-way design case.

All the formulas presented above are comprehensively derived in [1]. This paper describes the results of simulations that were implemented to demonstrate the validity and flexibility of the MSSE design technique.

## **II. Simulations**

We performed two sets of simulations to investigate the performance of the MSSE technique. The first set was intended to illustrate the implementation of the MSSE technique to

obtain a predetermined system psf in CW and broadband systems. The second set was designed to implement the technique in some of the examples of application described in [1]. The default CW system parameters are described in table 1, and the default broadband system parameters are described in table 2. Unless stated otherwise, these parameters were used in all simulations. All simulations were performed in Matlab (The Mathworks, Inc. Natick, MA). We used Field II, an ultrasound simulation package developed by Jensen [5], in all the broadband simulations.

#### SINGLE RANGE DESIGN EXAMPLES:

##### *CW One-Way Design Example (Transmit only):*

For all CW simulations, the propagation function was derived from the Rayleigh-Sommerfeld equation [6 pp. 46-50]. The elements were treated as point sources. The propagation function for the field at an arbitrary point  $p$  due to element  $e$  is,

$$S_{e,p} = \frac{\exp(jkr_{ep})}{r_{ep}} \quad (5)$$

where  $r_{ep}$  is the length of the vector pointing from element  $e$  to field point  $p$ , and  $k = \frac{2\pi}{\lambda}$  is the wave number with  $\lambda$  being the acoustic wavelength.

We used the parameters described in table 1 in the simulations. We chose the goal psf to be a Hann window [7 pp. 170-172] of width  $32^\circ$  and calculated the optimum transmit weights using equation 1. No transmit apodization other than the calculated weights was used. The window of analysis was  $\pm 90^\circ$ . Due to the large angles of interrogation, we included the obliquity

factor in these simulations. The obliquity factor accounts for limited element angular response and was included in the propagation function as follows.

$$S_{e,p} = \frac{\exp(jkr_{ep})}{r_{ep}} \cos(\theta_{ep}) \quad (6)$$

where  $\cos(\theta_{ep})$  is the obliquity factor, and  $\theta_{ep}$  is the angle between the vector pointing from element  $e$  to field point  $p$  and the vector normal to the plane of the transducer. Since elements were modeled as point sources, the sinc directivity pattern expected for finite elements was not employed.

We calculated the system psf after applying the MSSE designed transmit weightings. Figures 1(a) and 1(b) show the goal psf and the designed system psf respectively. Figures 1(c) and 1(d) display the magnitude and phase of the calculated transmit aperture weights.

#### Performance of the MSSE technique -

In an effort to explore the performance of the algorithm, we made the Hann window goal psf increasingly narrower. We progressively reduced the goal psf width to  $16^\circ$ ,  $8^\circ$ , and finally  $4^\circ$ . The Rayleigh resolution limit for the geometry that was used is around  $2.04^\circ$ . Figures 1(e) and 1(f) show the goal and generated system psfs respectively when the goal psf was  $16^\circ$  wide. Figures 1(g) and 1(h) show the magnitude and phase of the calculated weights respectively for the  $16^\circ$  goal psf. Figures 1(i)-1(l) display the same information for a goal psf of width  $8^\circ$ , and figures 1(m)-1(p) depict the results obtained when the goal psf had a width of  $4^\circ$ .

#### Effects of the size of the window of analysis -

The MSSE algorithm optimizes the system psf only within the window of analysis. Effects that occur outside this window are ignored, thereby introducing potential artifacts in the ultrasonic field generated outside the analysis window. We performed simulations to investigate the effects of varying this window's size on the ultrasonic field outside the window. The goal psf was a Hann window that was  $6^\circ$  wide. The MSSE technique was implemented for analysis window sizes of  $\pm 15^\circ$ ,  $\pm 30^\circ$ ,  $\pm 45^\circ$ ,  $\pm 60^\circ$ ,  $\pm 75^\circ$ , and  $\pm 90^\circ$ , sampled every  $0.01^\circ$ . The system psf was then computed over a  $\pm 90^\circ$  window using the calculated transmit weights.

Figure 2(a) depicts the ideal psf used for a  $\pm 15^\circ$  window of analysis. Figure 2(b) illustrates the psf obtained after implementation of the MSSE algorithm while figure 2(c) shows the obtained psf on a logarithmic scale after being normalized to the peak mainlobe level. Figures 2(d)-2(f), 2(g)-2(i), 2(j)-2(l), 2(m)-2(o), and 2(p)-2(r) depict the same information for window analysis sizes of  $\pm 30^\circ$ ,  $\pm 45^\circ$ ,  $\pm 60^\circ$ ,  $\pm 75^\circ$ , and  $\pm 90^\circ$  respectively. The extent of the window of analysis is shown by dotted lines in each plot.

#### Effect of errors in the assumed ultrasonic wave propagation speed -

The adverse effects of errors in the assumed wave propagation speed on the response of an ultrasound system are well known [8]. Since the MSSE technique uses dynamic shift-variant aperture weights, errors in the assumed wave speed are an important concern. Therefore, we implemented simulations in which the actual wave speed was underestimated by 25 m/s, 50 m/s, and 75 m/s, and then overestimated by 25 m/s, 50 m/s, and 75 m/s. The goal psf was a  $6^\circ$  wide Hann window. The window of analysis was  $\pm 90^\circ$ , and was sampled every  $0.01^\circ$ . We compared

the psfs obtained in these simulations to the psf obtained when the assumed speed was correct, which is shown in figure 3(a). Figures 3(c), 3(g), and 3(k) depict the psfs obtained when the assumed sound speed was underestimated by 25 m/s, 50 m/s, and 75 m/s respectively. Figures 3(e), 3(i), and 3(m) display the psfs obtained when the assumed speed was overestimated by 25 m/s, 50 m/s, and 75 m/s respectively. Figure 3(b) depicts the obtained psf error magnitude when the assumed speed was correct. Figures 3(d), 3(h), and 3(l) show the psf error magnitude when the speed was underestimated, and figures 3(f), 3(j), and 3(n) display the psf error magnitude when the speed was overestimated. The presented psfs were computed at the intended transmit focus, not the shifted foci. The objective of these simulations was to investigate the degradation in the performance of the MSSE algorithm that is produced by an incorrect assumed propagation speed at a particular location of interest. Simulations were also performed at the shifted focus. While the results are not presented here, they were qualitatively similar.

#### *CW Two-Way Design Example (Transmit-Receive):*

A Hann window of width  $4^\circ$  was chosen to be the goal two-way psf. We implemented the MSSE technique by calculating and applying optimum receive aperture weights using equation 2. No apodization was used on the transmit aperture. Table 1 lists the parameters used in the simulation. We then computed the two-way system psf using the calculated receive weights. Figures 4(a) and 4(b) depict the goal psf and the system psf. Figure 4(c) displays the magnitude of the error between the goal and system psfs, and figures 4(d) and 4(e) display the magnitude and phase of the calculated receive aperture weights respectively.

*Broadband One-Way Example (Transmit only):*

We used the ultrasound simulation package Field II, developed by Jensen [5], for all broadband simulations. All broadband simulations took advantage of system symmetry to reduce computational complexity [1], as previously described. The propagation matrix,  $A_z$ , was a four-dimensional function. For an aperture of  $N$  elements, every term of  $A_z$  was of the form  $a_{i,N-i,j,k,l}$ . It determined the field due to the weighting at time sample  $j$  applied to elements  $i$  and  $N-i$ , at time sample  $l$  at lateral field point  $k$ . It was constructed using dual element spatial impulse responses that described the contributions of a selected pair of elements at each field point at each sampled time point. The dual element responses were determined by transmitting only on selected pairs of elements. These were then used to construct the propagation function. The goal psf was generated by axially weighting a sinusoidal signal by a Hann window, and multiplying the result by a lateral Hann window. Note that this goal psf is quite challenging since it lacks the wavefront curvature that would be expected.

In all broadband simulations, we downsampled the propagation matrix by a factor of 3. This had the effect of reducing the upper cut-off frequency in the frequency response of the FIR filter formed by the calculated weights. The temporal sampling rate of the psf was 120 MHz. The upper cut-off frequency was therefore reduced from half the temporal sampling rate of the psf (60 MHz) to 20 MHz. This rate still provided adequate sampling given an input pulse with a center frequency of 10 MHz and a -6 dB relative bandwidth of 75%. Table 2 lists the parameters used in the simulation.

We then calculated the optimum transmit weights using the goal psf and the downsampled propagation matrix. Figures 5(a) and 5(b) show the goal and the generated psfs respectively, both as a function of lateral position and time. We also envelope-detected the psfs using the Hilbert transform [9 pp. 359-367], and then peak-detected them in the time dimension to generate beam profiles. Figures 5(c) and 5(d) show these goal and system psf profiles as a function of lateral position. Figures 5(e) and 5(f) display the calculated transmit weights as a function of the element number and time, and the magnitude of the error as a function of lateral position and time respectively. The error was calculated by computing the difference between the goal and system psfs. Note that the weights do not include geometric focal delays. The delays were applied separately prior to the application of the weights. The result obtained by convolution of the calculated weights with the transmit pulse is presented in figure 5(g).

*Broadband Two-Way Example (Transmit-Receive):*

The goal psf was constructed in a similar manner to the one-way example, except for a scaling factor that accounted for the reduction in magnitude due to two-way propagation. The propagation matrix  $A_{zz}$ , however, was different from the one-way case. We generated the propagation functions by using the entire transmit aperture and receiving only on selected pairs of elements. Transmit focal delays were applied, but no transmit apodization was used. All the other parameters are listed in table 2. We then downsampled the resulting propagation matrix by a factor of 3 and used it to calculate the receive weights that minimize the SSE. Figures 6(a) and 6(b) display the goal and system psfs respectively. As in the one-way case, we envelope-detected and peak-detected the psfs. The goal and system psf profiles are displayed in figures 6(c) and



figure 6(d) respectively. Figures 6(e) and 6(f) show the calculated receive weights and the psf error magnitude respectively.

## APPLICATIONS:

### *Enhanced Depth of Field (DOF):*

The DOF of an ultrasound system is the axial region within which the system is said to be in focus, or the axial region within which the system psf satisfies a chosen criterion. The system psf should ideally remain similar to the psf at the focus for as large an axial range as possible. Application of the MSSE technique using the psf at the focus as the goal psf at each range should theoretically yield weights that minimize the SSE between the goal psf and the psf at the range of interest. This, in turn, should maximize the DOF. With this rationale, we applied the two-way MSSE technique in both CW and broadband simulations in an attempt to improve the DOF of the system. The technique was applied at every sampled axial range point. The goal or desired psf at each range point was the psf computed at the focus.

In both CW and broadband simulations, we generated the goal psf using 16 element transmit and receive apertures, while we used a 16 element transmit and 32 element receive aperture for the actual system psf. i.e. weights were calculated for 32 receive elements. The system was focused at 6.5 mm. A Hann window was used as a transmit apodization function in all simulations, and as receive apodization during the generation of the goal psf. We performed CW simulations to maximize the DOF over an axial window from 0.1 mm to 50 mm that was sampled every 0.1 mm. The lateral window over which the CW psf was calculated was sampled at 5  $\mu$ m, in order to enable accurate estimation of the full width at half maximum (FWHM) of

the mainlobe. We also implemented broadband simulations over an axial window from 0.5 mm to 32.5 mm that was sampled every 2 mm. All other parameters are listed in tables 1 and 2.

Current ultrasound systems attempt to improve the DOF by using dynamic apodization and dynamic receive focusing [10], and we used these in control simulations to establish a basis for comparison with the results obtained using the MSSE technique. We allowed the apodization profile to grow as a function of range assuming an infinitely large aperture, and used the central portion of the profile to implement dynamic apodization on the 32 receive elements.

In broadband simulations, the temporal sampling was 120 MHz, and the propagation matrix was downsampled by a factor of 3 to limit the frequency response of the calculated weights. In order to perform accurate analysis, we interpolated the broadband psfs by a factor of 10 in both the temporal and lateral spatial dimensions using cubic spline interpolation.

We calculated correlation coefficients to compare the psf at each range of interrogation with the psf at the focus. The correlation coefficients were computed for complex data in CW simulations, and for real data in broadband simulations. We also calculated the FWHM of the mainlobe for each psf. Figures 7(a) and 7(b) depict the correlation coefficients and the mainlobe FWHM obtained in CW simulations. The dotted lines indicate the transmit focus. These were obtained at each range of interrogation for the control case and the case when the MSSE technique was applied. Figures 7(c) and 7(d) display the correlation coefficients and the mainlobe FWHM for broadband simulations of the control and the MSSE technique cases. A more qualitative assessment of the efficacy of the MSSE technique can be made using figures

7(e) and 7(f). Both these images were constructed by superimposing CW psfs at multiple ranges that were normalized to their peak mainlobe levels. The images are shown on a logarithmic scale and are a function of range and lateral position. Figure 7(e) was constructed using psfs obtained in the control simulation and figure 7(f) was generated using psfs from the simulation involving the MSSE technique.

*Increased Correlation Depth of Field in Translated Aperture Geometries:*

The translating apertures algorithm (TAA) is a technique that is used in phase aberration correction [11], [12] and also to obtain accurate angular scatter data [13]. Data are acquired using two system geometries, the backscatter geometry in which the transmit and receive apertures are coincident, and the angular scatter geometry in which the apertures are translated by equal distances in opposite directions. Despite its many advantages, use of the TAA results in a dramatically reduced DOF. This is due to the separation of the transmit and receive apertures, which causes only a limited interference of the transmit and receive beams. In order to fully utilize the TAA, a high correlation between the psfs of the translated and the non-translated geometries is required over an extended axial region.

We performed CW and broadband simulations in an effort to investigate the ability of the MSSE technique to improve the correlation DOF in the TAA. We applied the MSSE technique to a translated apertures system with the goal psf being that generated by the same system without any translation of the apertures at the same range. Both transmit and receive apertures comprised 16 elements. In the translated geometry case, the apertures were translated by 8 elements in opposite directions. The system was focused at 6.5 mm. As in the enhanced

conventional DOF case, we performed CW simulations over an axial window from 0.1 mm to 50 mm that was sampled every 0.1 mm. We also implemented broadband simulations over an axial window from 0.5 mm to 32.5 mm that was sampled every 2 mm. A Hann window was used as apodization on the transmit apertures, and on the receive aperture during the generation of the goal psf. The temporal sampling in broadband simulations was 120 MHz. We downsampled the broadband propagation matrix by a factor of 3, and interpolated the system psfs by a factor of 10 in both the time and lateral space dimensions prior to analysis. Other parameters were consistent with tables 1 and 2.

Correlation coefficients were calculated at each range by correlating the psf obtained after application of the MSSE technique in the translated geometry with the psf obtained using the non-translated geometry. As a comparison, we also performed a control simulation in which we calculated correlation coefficients by correlating the translated and non-translated geometry psfs, without the application of the MSSE technique. Figures 8(a) and 8(b) show the correlation curves obtained in CW and broadband simulations respectively. The dotted lines indicate the transmit focus. Figure 8(c) depicts the goal psf (the psf obtained with the non-translated geometry), the translated geometry control psf, and the translated geometry psf obtained using the MSSE technique at an axial range of 4 mm. Figures 8(d) and 8(e) depict the same information at the transmit focus (6.5 mm), and at 9 mm.

### III. Discussion

Figures 1(a)-1(d) demonstrate the use of the MSSE technique in the most basic system configuration that was simulated, i.e. the one-way CW system. The goal psf was a  $32^\circ$  wide

Hann window as shown in figure 1(a). It can be seen from figure 1(b) that the designed system psf closely approximates it. The magnitude and phase of the calculated transmit weights can be seen in figure 1(c) and 1(d). We use two error metrics throughout this section. We use the ratio of the root mean square (rms) error between the psfs to the peak magnitude of the goal psf as a metric of the error in our desired psf, and refer to it as the relative rms error. We also use the ratio of the peak error magnitude to the peak magnitude of the goal psf, and refer to it as the relative peak error. The relative rms error in the one-way CW simulation was 0.029, and the relative peak error was 0.066.

Figures 1(a)-1(p) illustrate the flexibility of the MSSE technique. In these simulations, the goal psf width was progressively reduced to  $16^\circ$ ,  $8^\circ$ , and  $4^\circ$  in order to investigate the performance of the technique. The relative rms errors obtained in the three simulations were 0.005, 0.0052, and 0.0295, and the relative peak errors were 0.012, 0.023, and 0.214 respectively. The error in obtaining the  $16^\circ$  and  $8^\circ$  wide goal psfs is much lower than the error for the  $32^\circ$  and  $4^\circ$  wide goal psfs. However, it can be seen from figure 1 that the goal and obtained psfs are qualitatively quite similar. An examination of the magnitude of the calculated weights in figures 1(c), 1(g), 1(k), and 1(o) shows that the apodization profile expectedly becomes wider as the goal psf becomes narrower.

The effects of varying the size of the window of analysis are shown in figure 2. It can be seen from figures 2(b) and 2(c) that when a  $\pm 15^\circ$  analysis window was used, the ultrasonic field outside the window was unstable and undesirable, exhibiting erratic behavior with very large grating lobes. The dotted lines indicate the extent of the window of analysis, and it can be seen

that the grating lobes occur just outside the window. Results improved when the window size was progressively increased in steps of  $\pm 15^\circ$  to  $\pm 90^\circ$ , as can be seen from figures 2(d)-2(r). The grating lobe magnitudes were progressively reduced as the window size was increased. Beyond a window size of  $\pm 45^\circ$ , however, the improvement outside the analysis window was negligible. In the  $\pm 15^\circ$  and  $\pm 30^\circ$  window cases, it can be seen that the grating lobes occur immediately outside the window. These grating lobes, caused by inadequate analysis window size, disappear for a  $\pm 45^\circ$  window of analysis. Therefore, it can be seen that the size of the window of analysis significantly impacts the obtained ultrasonic field, and must be carefully chosen to suit the application. Ideally it would always cover  $\pm 90^\circ$ , although computational and memory requirements may limit the practical range.

We also investigated the effect of errors in the assumed speed of sound. Simulation results are displayed in figure 3. Figure 3(b) shows the psf error when the assumed speed of sound was correct. In this case, the relative rms error was 0.012 and the relative peak error was 0.054. As the wave speed was underestimated by 25 m/s, 50 m/s, and 75 m/s, the relative rms and peak errors rose to 0.022 and 0.062 respectively. This is shown in figures 3(d), 3(h) and 3(l). When the wave speed was overestimated by 25m/s, 50 m/s, and 75 m/s, the relative rms and peak errors rose to 0.015 and 0.102 respectively, as can be seen in figures 3(f), 3(j) and 3(n). The observed errors were reasonable, despite the extremely wide range considered. Therefore, the MSSE algorithm is stable in the sense that small errors in the assumed wave propagation speed do not appear to result in a significant degradation of performance.

Figure 4 demonstrates the use of the MSSE technique in the design of two-way system responses. It can be seen from figures 4(a) and 4(b) that the system psf obtained by the MSSE technique is very similar to the goal psf. The resulting relative rms error was 0.002, and the relative peak error was 0.022. Note that the errors obtained in the one-way and two-way simulations cannot be compared directly because the transmit psf was predetermined in the two-way simulations and the two-way psf was optimized using only the receive weights. Therefore, there is inherently less flexibility available to the algorithm than in the one-way design procedure and it generally performs more poorly than the one-way algorithm, except in a limited range of goal psf widths. The goal psf width for the two-way simulation presented in figure 4 falls within this range.

Figure 5 depicts the results obtained when the MSSE algorithm was implemented in one-way broadband simulations. It can be seen from figures 5(a) and 5(b) that the goal and system psfs are qualitatively quite similar. The relative rms error was 0.003, and the relative peak error was 0.05.

Results from the two-way broadband simulation are shown in figure 6. The relative rms error was 0.001, and the relative peak error was 0.022.

The results obtained in the one and two-way broadband design simulations (figures 5 and 6) must be interpreted with caution since the goal psfs used are difficult to realize using spherical waves, as can be seen in figures 5(a) and 6(a). The goal psfs had flat wavefronts and would have been easy to generate using plane waves, but plane waves are an unrealistic model of the

ultrasonic field emitted by transducer elements. Medical ultrasonic imaging is typically performed in the near field of the transducer. Because of this, both transmitted and received wavefronts should properly be considered as spherical wavefronts. In spite of the challenging goal psfs used here, there is a very good qualitative agreement between the goal and system psfs. Unlike in the CW simulations, the relative errors in the two-way broadband simulation are smaller than that obtained in the one-way simulation. We believe that this is due to the more realistic broadband simulations performed using Field II. Field II utilizes elements of finite spatial extent, as opposed to the ideal point sources used in the CW simulations. The use of such elements simulates the effects of non-uniform element angular response, which we hypothesize to be partly responsible for the lower two-way relative errors. The size of the window of analysis and the choice of spatial and temporal sampling rates may also play a significant role. However, in both one-way and two-way simulations, we were able to approximate a very challenging goal psf.

The ability of the MSSE technique to improve the depth of field (DOF) is illustrated in figure 7. The DOF was defined in terms of correlation coefficient as the axial region over which the coefficient remained above 0.99. In the CW case, the DOF in control simulations was 7.8 mm, while it increased by 249% to 27.2 mm when the MSSE technique was applied. In the broadband simulations, the DOF increased from 4.1 mm for control to 17.4 mm upon the application of the MSSE technique, an increase of 325%. The improvement in the DOF in CW simulations can be clearly seen in figure 7(a), and in broadband simulations in figure 7(c).



The DOF was also defined in terms of the full width at half maximum (FWHM) of the mainlobe. Here we considered the DOF to be the region within which the FWHM stayed within  $\pm 25\%$  of its value at the focus. The DOF calculated using the FWHM criterion increased from 11 mm in control simulations to almost the entire range of interrogation i.e. 50 mm. This represents a 355% increase in the DOF when the MSSE technique was applied. The CW control and MSSE technique FWHM results can be seen in figure 7(b). Figure 7(d) displays the FWHM results for broadband simulations. In the broadband case, the DOF evaluated using the FWHM increased from 12 mm to around 25.4 mm upon application of the MSSE technique, an increase of around 112%. It can be clearly seen in figures 7(b) and 7(d) that the mainlobe FWHM at the focus was identical for the control and MSSE technique cases, but it varied more slowly away from the focus when the MSSE method was applied. Therefore, a significant improvement in the DOF was obtained in both CW and broadband simulations.

A more qualitative assessment of the efficacy of the MSSE technique can be made using figures 7(e) and 7(f). Figure 7(e) shows the CW psfs obtained in control simulations and simulations that implemented the MSSE technique. The psfs are displayed as a function of range and lateral position. It can be seen that there is significant broadening of the psf mainlobe with range in the control simulation. Figure 7(f) clearly demonstrates the dramatic improvement in the DOF obtained using the MSSE beamforming technique. This improvement, though, comes at the cost of slightly increased sidelobe levels. This is due to the fact that the MSSE algorithm minimizes the energy in the difference between the goal and system psfs. Since most of the energy is contained in the mainlobe, the algorithm preferentially optimizes mainlobe width at the cost of higher sidelobe levels. However, as described in [1], we can apply a weighting function

to selectively emphasize sidelobes during the MSSE design process. The procedure involves the selection of an appropriate function that weights the sidelobes of the psf more than the mainlobe during the SSE minimization operation. Implementing the MSSE technique would then yield aperture weightings that preferentially maintain low sidelobe levels, at the expense of mainlobe width. This would enable a compromise between the overall performance of the algorithm and sidelobe levels. However, as can be seen from figure 7, the MSSE technique performs much better overall than the conventional techniques of dynamic apodization and dynamic receive focusing, and greatly enhances the depth of field.

Figure 8 illustrates the use of the MSSE design method in the translating apertures algorithm (TAA). Figure 8(a) shows the correlation coefficients obtained by correlating the non-translated geometry psf with the translated geometry psf in CW simulations. As previously described, the correlation DOF was defined as the axial region within which the correlation coefficient remained over 0.99. The correlation DOF increased from 5.2 mm in control simulations to 46.9 mm upon the application of the MSSE technique. This represents an 802% increase in the DOF. Figure 8(b) displays the correlation coefficients obtained in broadband simulations. The DOF increased from 1.0 mm to 8.8 mm in broadband simulations, an increase of 780%.

The goal, control, and MSSE technique psf at axial ranges of 4 mm, 6.5 mm, and 9 mm are depicted in figures 8(c), 8(d) and 8(e) respectively. It can be seen clearly that the MSSE technique preferentially optimizes the mainlobe of the obtained psf, at the cost of higher

sidelobes. If sidelobe levels are too high, the weighted MSSE technique described in [1] can be used to achieve a compromise between optimizing the mainlobe and controlling sidelobe levels.

While the MSSE design method worked exceedingly well over the range of conditions considered in this paper, we must exercise some caution in interpreting these results. The results shown in figure 2 clearly demonstrate that use of an appropriately large window of analysis is critical. Another concern is the effect of assuming an incorrect propagation model in the derivation of the optimum aperture weights. Errors such as a mismatch in the assumed and actual wave propagation speeds have been shown to have an adverse effect on the design method, although observed errors were small. Phase aberration will also adversely impact the performance of the MSSE algorithm, since it is unaccounted for in the propagation models used. Blocked or dead elements will also have an effect, because any assumed propagation functions for these elements will cause an undesired contribution to the ultrasound field. These effects remain to be investigated in detail, but the initial simulation results that have been presented suggest that the MSSE technique is a robust beamformer design tool.

The calculated weights do not lend themselves to intuition, but the MSSE algorithm may be considered to be analogous to the discrete Fourier transform [9] or wavelet transform [9 pp. 500-502]. The discrete Fourier transform expresses a signal in terms of multiple narrowband signals with unique frequencies. We can process (weight) these narrowband signals and then use the inverse discrete Fourier transform to sum them and construct a new signal that we desire. Similarly, the wavelet transform decomposes a signal into a set of weighted wavelets that can be summed to construct a new desired signal. The MSSE algorithm is analogous because it

decomposes the system response into the contributions of the individual elements. These individual element responses are then weighted and summed to construct the desired system response. The individual element responses are not necessarily orthogonal such as the kernel functions used in Fourier and wavelet decompositions, but the MSSE algorithm operates under the same principle.

Simulations have shown the MSSE beamformer design technique to be able to design apertures for applications that require arbitrary system responses. One such application is multidimensional blood velocity estimation as described in [14] and [15]. The specialized psfs required for the methods in [14] and [15] can be optimally generated using the MSSE technique. Since the MSSE technique derives aperture weights that minimize the SSE between the goal and system psfs, the calculated weights generate a system response that is optimally similar to the goal response. This eliminates the usual need for iteration to obtain an adequate response. The technique has also been shown to be successful in developing apertures for common beamformer design problems such as limited depth of field. Overall, the MSSE technique has the potential to improve beamforming in general, with much better control of beam parameters than is possible with current beamforming techniques. The direct solutions provided by this approach also have the potential to save a great deal of time by obviating iterative design.

#### **IV. Conclusions**

The minimum sum squared error (MSSE) technique has been shown to be effective in designing ultrasound systems that generate arbitrary desired system responses. Simulation results in one-way and two-way CW and broadband systems demonstrate that it is straightforward to

implement and can be applied to a wide range of potential applications. Simulation results obtained by implementing the beamforming method in examples of application demonstrate the success of the technique in solving common problems that are encountered in ultrasound imaging, such as a restricted depth of field.

The MSSE technique has therefore been shown to have significant potential to improve ultrasound beamforming and can be applied in any ultrasound application for which better control of beam parameters is desired. Specifically, applications that require specialized psfs are well suited for the technique. There is no iteration involved, and therefore design time is considerably reduced. Further investigation is required to examine the effects of phase aberration, blocked elements, and imposing constraints on the calculated aperture weights, but our simulations indicate that the MSSE technique consistently outperforms current beamforming techniques.

## **V. Acknowledgements**

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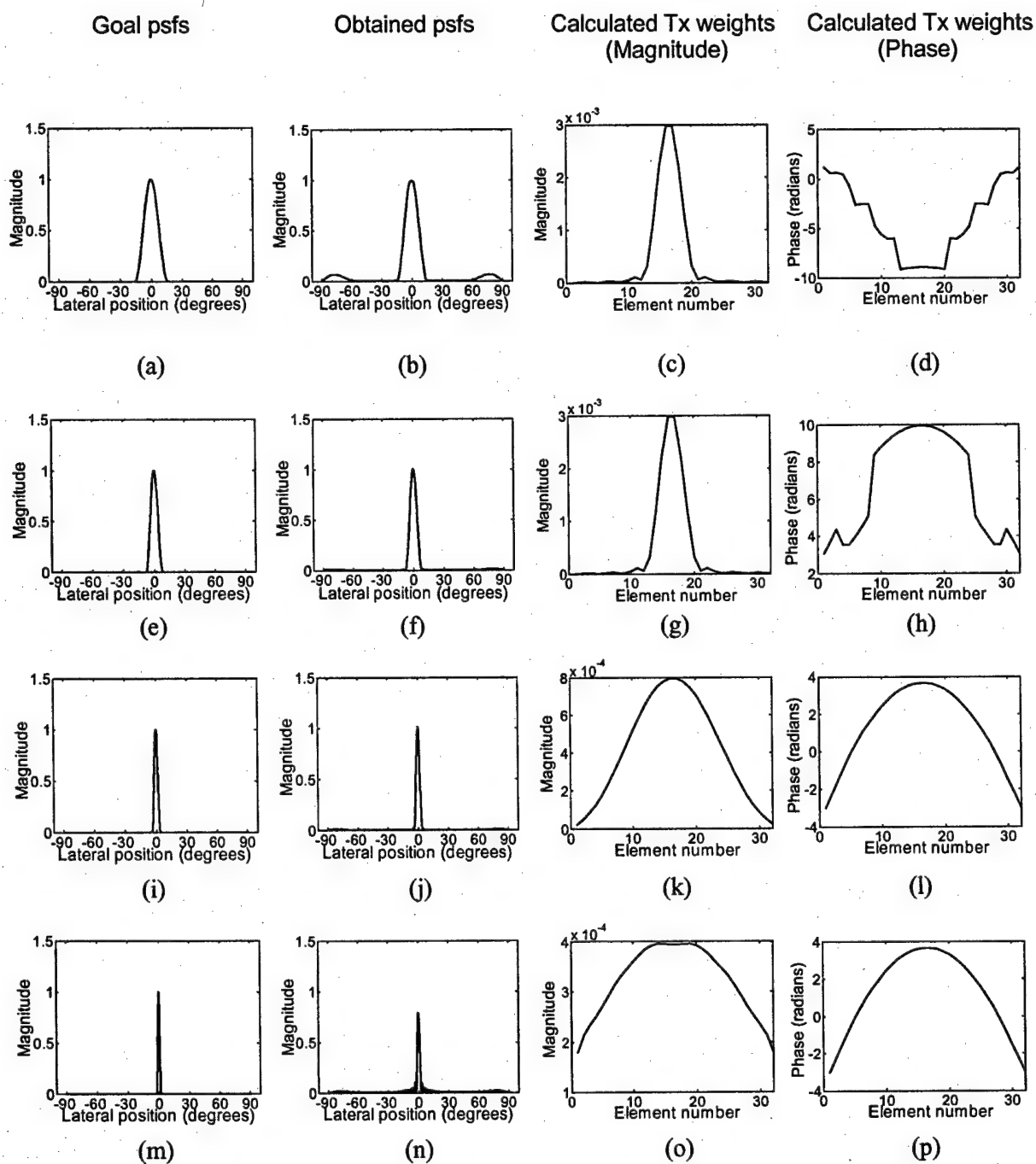


Figure 1

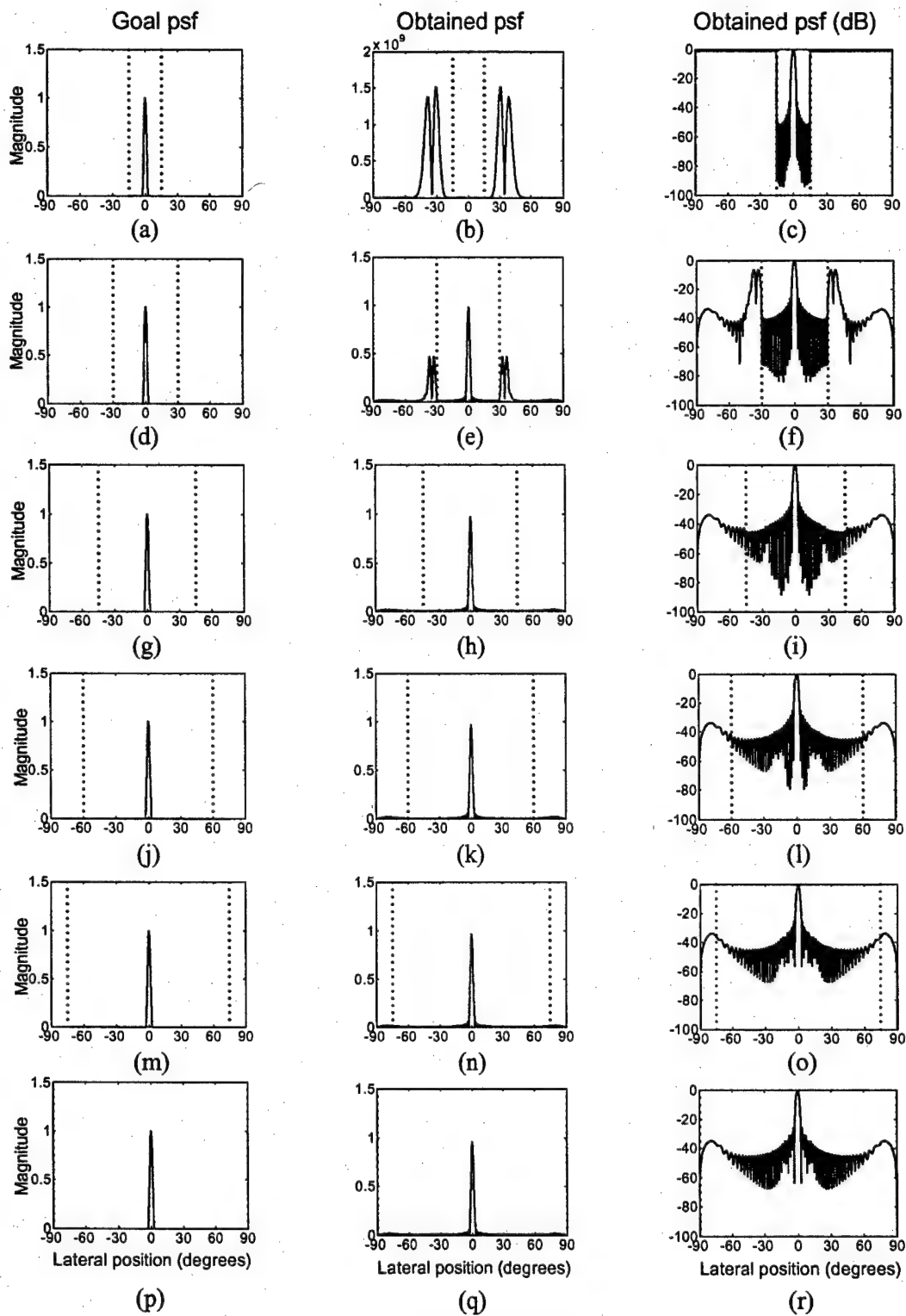


Figure 2

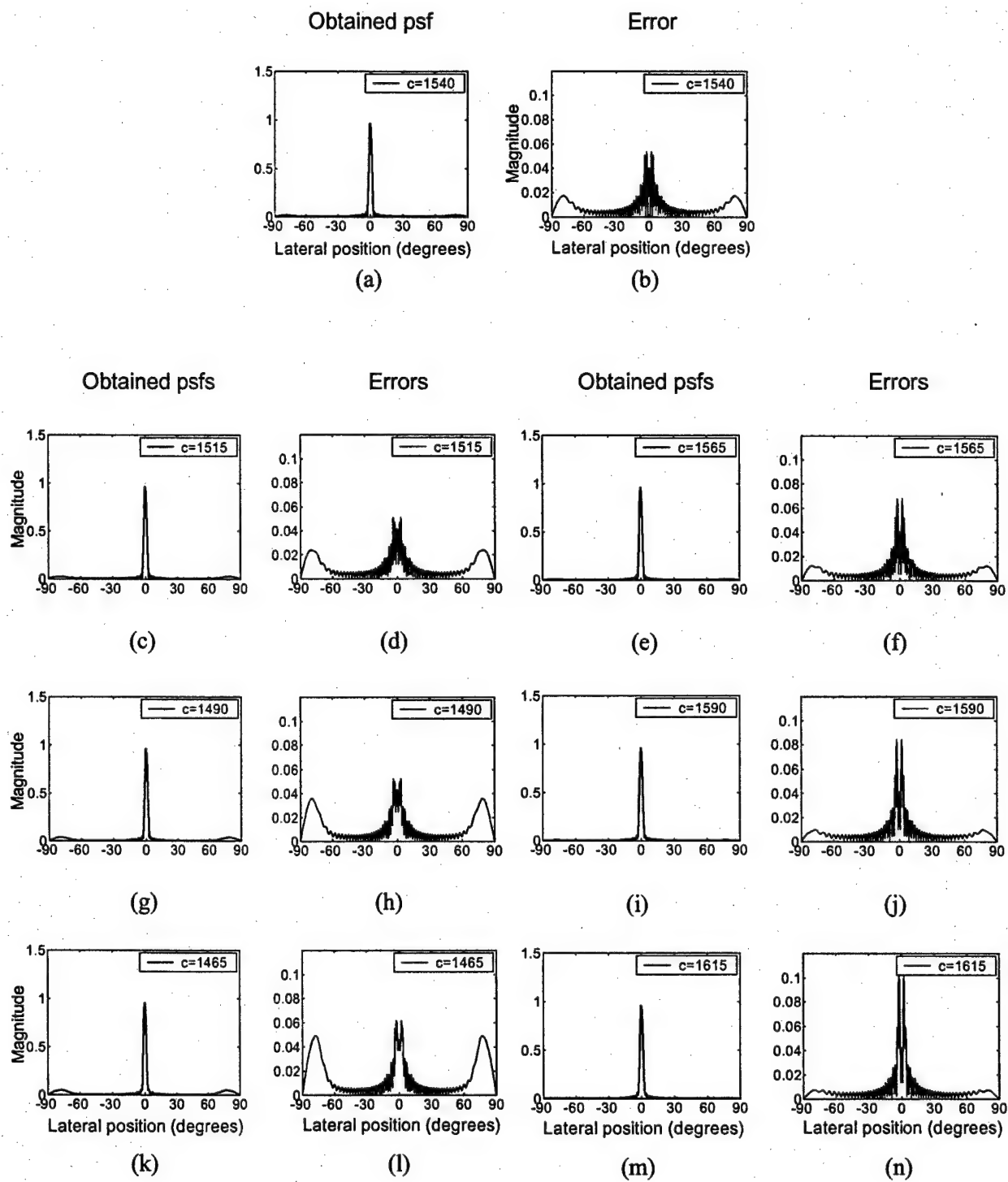
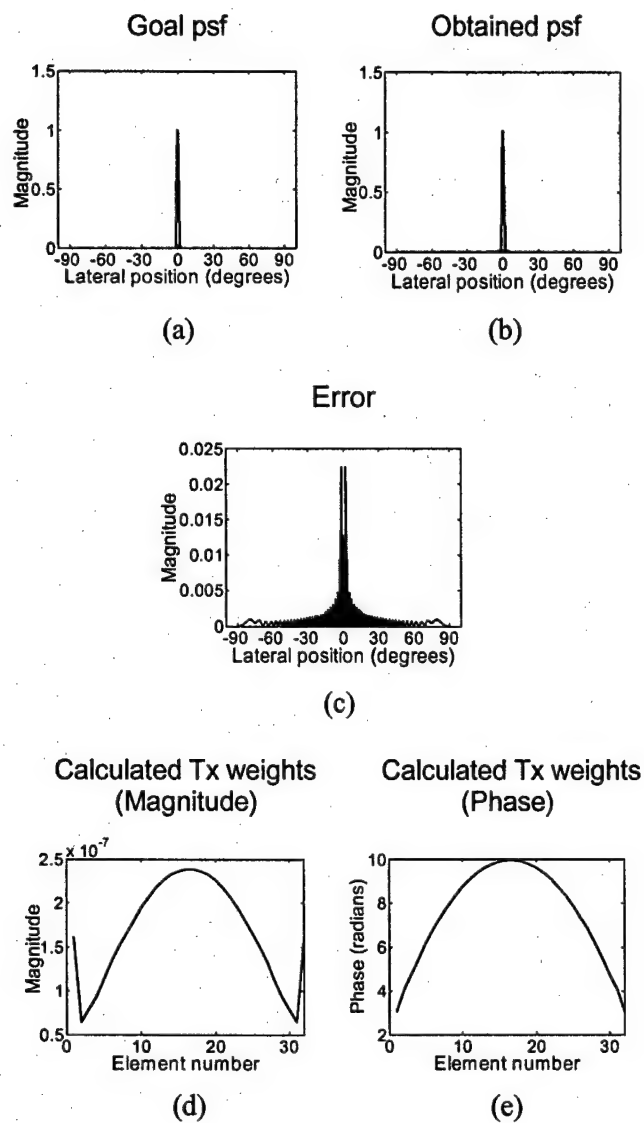
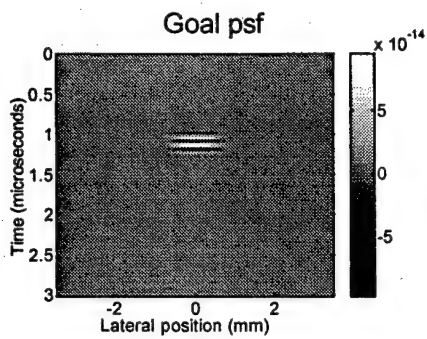


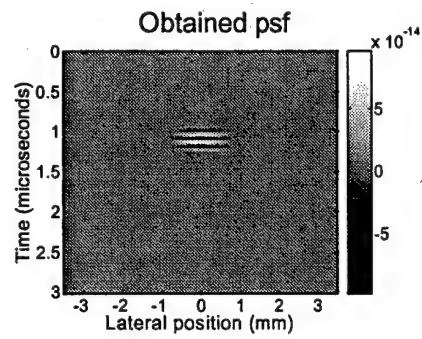
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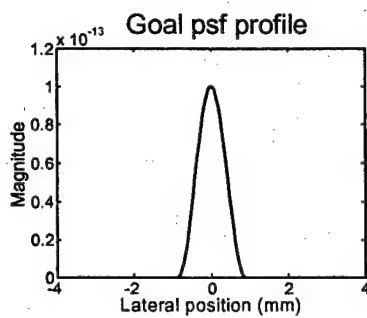
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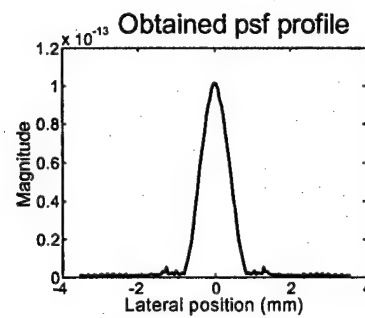
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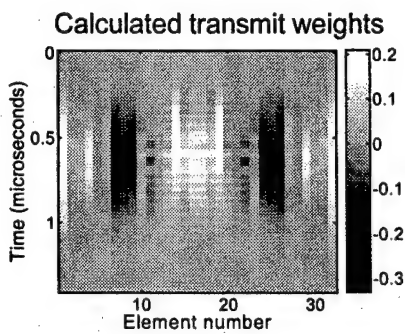
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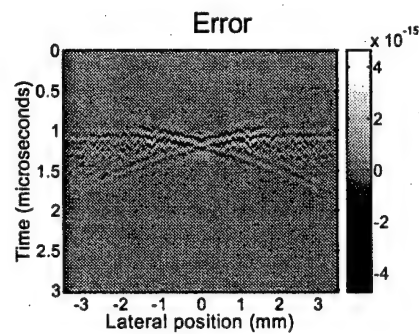
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(d)

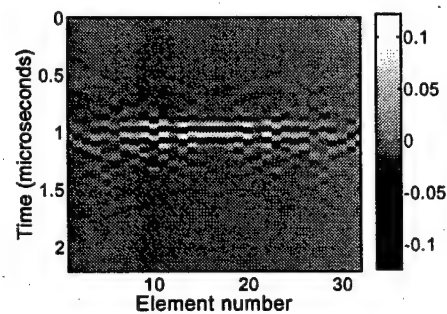


(e)



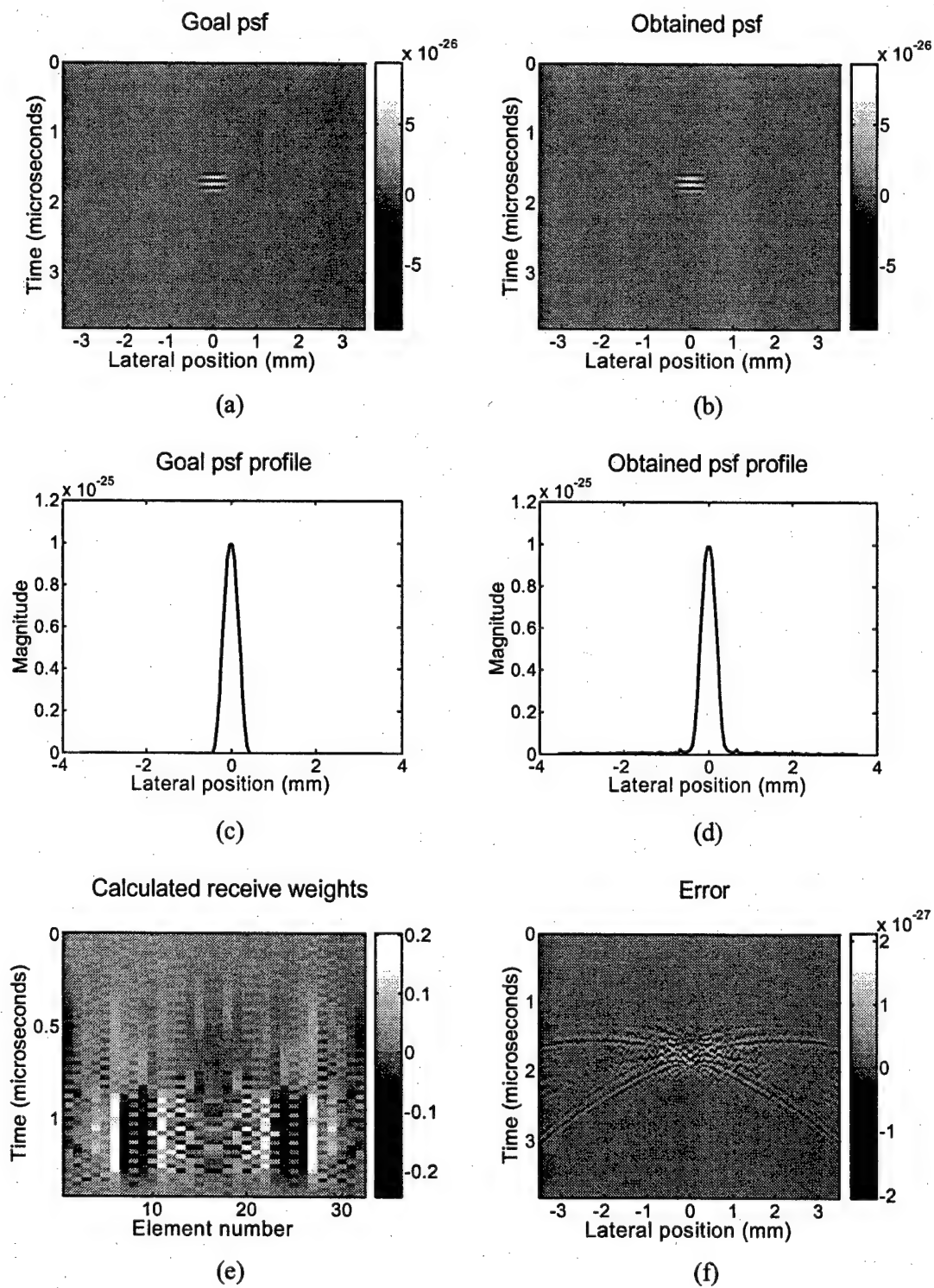
(f)

Calculated weights convolved  
with transmit pulse

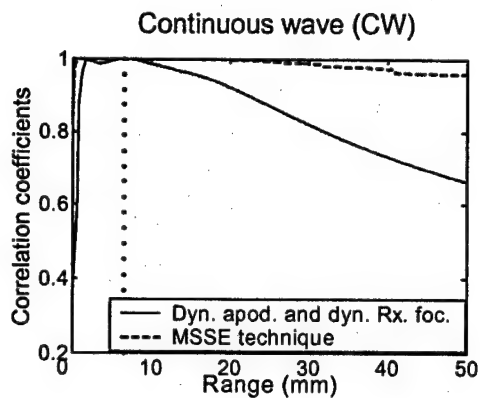


(g)

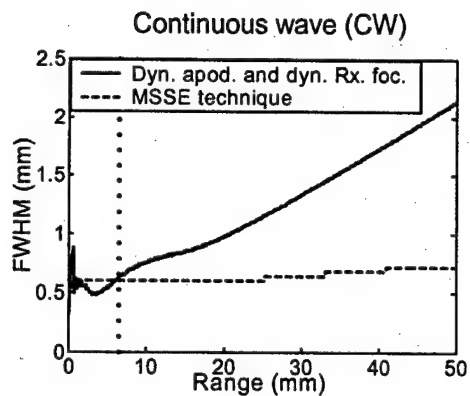
Figure 5



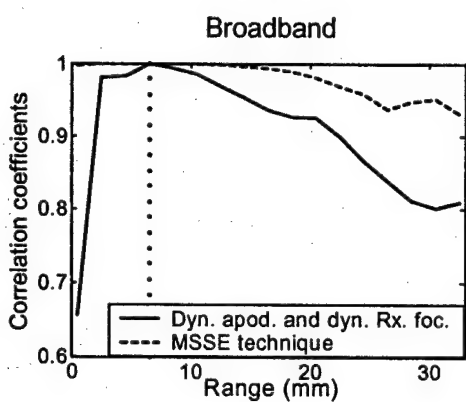
**Figure 6**



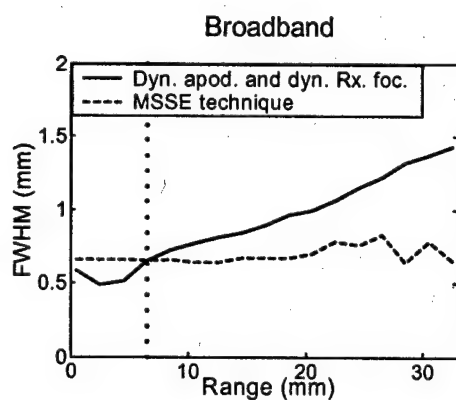
(a)



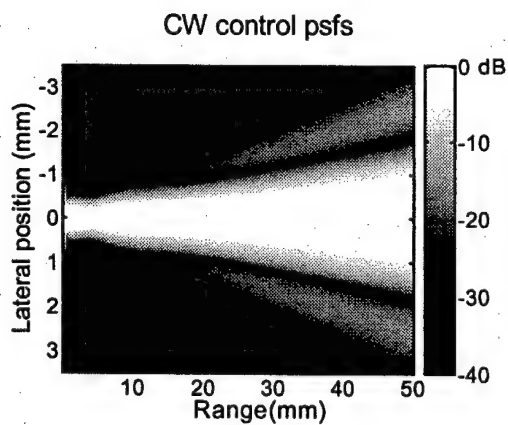
(b)



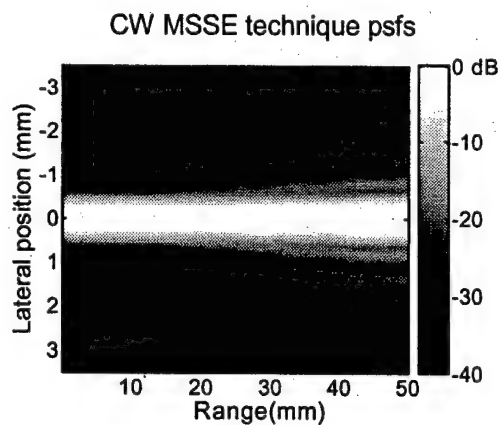
(c)



(d)



(e)



(f)

Figure 7

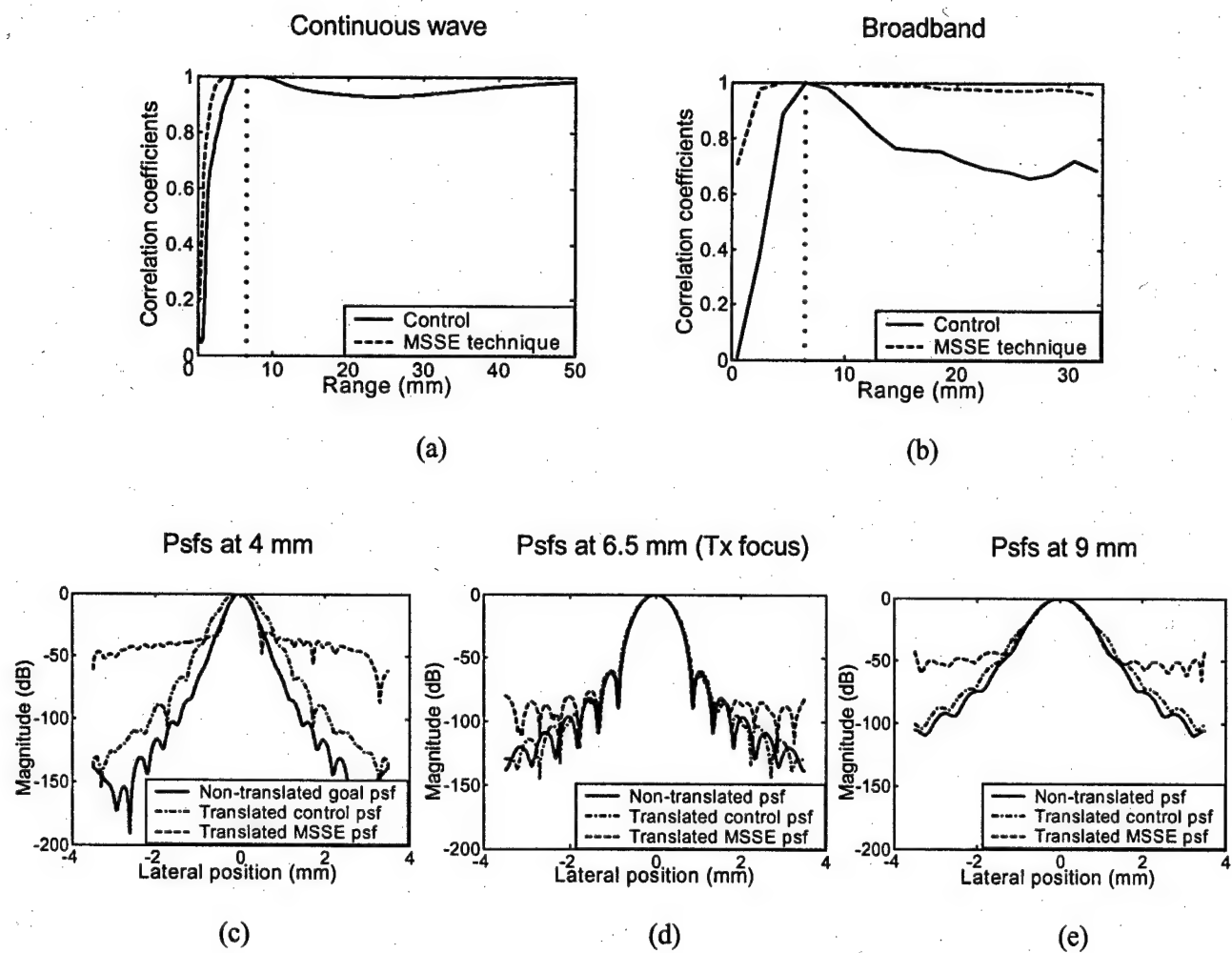


Figure 8



**Table 1**

<b>Parameter</b>	<b>Value</b>
Number of elements	32
Element pitch	135 $\mu$ m
Focus	1.3 cm
Lateral window over which the psf was calculated	180°
psf window sampling interval	0.01°
Ultrasonic wave propagation speed	1540 m/s
Frequency	10 MHz

**Table 2**

<b>Parameter</b>	<b>Value</b>
Number of elements	32
Element pitch	135 $\mu$ m
Focus	1.3 cm
Lateral window over which the psf was calculated	70 mm
psf window sampling interval	50 $\mu$ m
Ultrasonic wave propagation speed	1540 m/s
Center frequency	10 MHz
-6 dB Bandwidth	75%
Temporal sampling of psf	120 MHz
Temporal spacing of weights for each element	25 ns

### Figure Captions:

#### Figure 1:

One-way CW design example for goal psfs of width  $32^\circ$ ,  $16^\circ$ ,  $8^\circ$ , and  $4^\circ$ . The first column depicts the goal psfs while the second column shows the obtained psfs, both as a function of lateral position. Results for goal psf widths of  $32^\circ$ ,  $16^\circ$ ,  $8^\circ$ , and  $4^\circ$  respectively are shown from top to bottom. The third and fourth columns illustrate the magnitude and phase of the calculated transmit weights respectively, as a function of element number. It can be seen that the obtained psfs are qualitatively similar to the goal psfs in all the simulations. The profile of the magnitude of the calculated weights shown in figures 1(c), 1(g), 1(k), and 1(o) expectedly becomes wider as the goal psf becomes narrower.

#### Figure 2:

Effects of the size of the window of analysis. The first column depicts the goal psfs while the second column shows the obtained psfs, both as a function of lateral position, for analysis window sizes of  $\pm 15^\circ$ ,  $\pm 30^\circ$ ,  $\pm 45^\circ$ ,  $\pm 60^\circ$ ,  $\pm 75^\circ$ , and  $\pm 90^\circ$  respectively. The third column shows the obtained psfs on a logarithmic scale after being normalized to the peak mainlobe level. The edges of the window of analysis are indicated by the dotted lines in each plot. It can be seen clearly that the size of the analysis window plays a critical role in the performance of the MSSE algorithm. As can be seen from plots 2(b), 2(c), 2(e), and 2(f), the obtained psf has large grating lobes outside the analysis window if it is too small. An analysis window of at least  $\pm 45^\circ$  is required.

Figure 3:

Effect of errors in the assumed wave propagation speed. Figures show the obtained psfs and psf errors when the assumed wave speed is correct, underestimated by 25 m/s, 50m/s and 75 m/s, and overestimated by 25 m/s, 50m/s and 75 m/s. Figure 3(a) depicts the obtained psf with the correct wave speed and 3(b) illustrates the obtained psf error. Below, the first and second columns depict the obtained psfs and psf error magnitudes as a function of angle when the speed was underestimated by 25 m/s, 50m/s and 75 m/s respectively. The third and fourth columns depict the obtained psfs and psf error magnitudes as a function of angle when the speed was overestimated by 25 m/s, 50m/s and 75 m/s respectively. The performance of the MSSE technique was slightly degraded when the assumed wave propagation speed was incorrect, but the overall errors were reasonable, showing that the MSSE technique is stable.

Figure 4:

Two-way CW design example: 4(a) depicts the goal psf as a function of lateral position and 4(b) depicts the obtained psf as a function of lateral position. 4(c) illustrates the error between the goal and the obtained psfs as a function of lateral position. 4(d) and 4(e) show the magnitude and phase of the calculated receive weights respectively, as a function of element number.

Figure 5:

One-way broadband design example: 5(a) depicts the goal psf as a function of lateral position and time and 5(b) depicts the obtained psf as a function of lateral position and time. 5(c) shows the goal psf beam profile as a function of lateral position and 5(d) shows the obtained psf beam profile as a function of lateral position. These profiles were obtained by envelope detection using the Hilbert transform [9 pp. 359-367], followed by peak detection of the psf along the time

dimension. 5(e) shows the calculated receive weights as a function of element number and time and 5(f) illustrates the error between the goal and the obtained psfs as a function of lateral position and time. 5(g) depicts the results obtained by convolving the calculated transmit weights with the transmit pulse. It can be seen from figures 5(a) and 5(b) that the goal and obtained psfs are very similar. The beam profile of the obtained psf in figure 5(d) shows high frequency errors, which are also noticeable in the error image in 5(f). These errors are caused by the inadequately low temporal sampling rate used in our simulations in Field II due to computational limitations, and should be eliminated with a higher sampling rate.

Figure 6:

Two-way broadband design example: 6(a) depicts the goal psf as a function of lateral position and time and 6(b) depicts the obtained psf as a function of lateral position and time. 6(c) shows the goal psf beam profile as a function of lateral position and 6(d) shows the obtained psf beam profile as a function of lateral position. These profiles were obtained by envelope detection using the Hilbert transform [9 pp. 359-367], followed by peak detection of the psf along the time dimension. 6(e) shows the calculated receive weights as a function of element number and time and 6(f) illustrates the error between the goal and the obtained psfs as a function of lateral position and time. Figures 6(a) and 6(b) demonstrate that the goal and obtained psfs are very similar. As in the one-way simulations, the beam profile of the obtained psf in figure 6(d) shows high frequency errors, which are also noticeable in the error image in 6(f). These errors are caused by the inadequately low temporal sampling rate used in our simulations in Field II due to computational limitations, and should be eliminated with a higher sampling rate.

Figure 7:

Application of the MSSE technique for enhanced depth of field (DOF): 7(a) shows CW correlation coefficients, calculated by correlating the psf at each range of interrogation with the psf at the focus, as a function of range. 7(b) shows the FWHM of the mainlobe obtained in CW simulations as a function of range. 7(c) shows broadband correlation coefficients and 7(d) shows the FWHM of the mainlobe obtained in broadband simulations, both as a function of range. Dynamic apodization and dynamic receive focusing were used in the control simulations. The dotted lines indicate the transmit focus. 7(e) and 7(f) depict CW psf images generated using control psfs and psfs obtained by applying the MSSE technique respectively. Both images were formed from normalized and logarithmically compressed lateral psfs at multiple ranges. Each column in the images consists of the psf at a single range. In both CW and broadband simulations, use of the MSSE technique resulted in an increased DOF. This is apparent from the correlation coefficients in figures 7(a) and 7(c), in which the coefficients obtained from the MSSE simulations are higher than those obtained from control simulations. It can also be seen from figures 7(b) and 7(d) that the mainlobe width over the span of interrogated axial ranges is maintained much better in MSSE simulations than in control simulations. Figures 7(e) and 7(f) provide a qualitative comparison between the MSSE technique and control.

Figure 8:

Results of simulations for increased correlation depth of field (DOF) in translated aperture geometries: 8(a) depicts the correlation coefficients obtained in CW simulations, calculated by correlating the non-translated geometry psf at each range with the translated geometry psf at the same range, as a function of range. 8(b) shows the correlation coefficients obtained in broadband simulations as a function of range. The dotted lines indicate the transmit focus. At each range, the MSSE technique was applied in the translated geometry case with the

non-translated geometry psf as the goal psf. In control simulations, the translated and non-translated geometry psfs were obtained without application of the MSSE technique. Figure 8(c) depicts the goal psf (which is the psf obtained with the non-translated geometry), the translated geometry control psf, and the translated geometry psf obtained using the MSSE technique at an axial range of 4 mm. Figures 8(d) and 8(e) depict the same information at the transmit focus (6.5 mm), and at 9 mm. It is apparent that the correlation coefficients obtained from the MSSE simulations are higher than those obtained from control simulations, and the MSSE technique significantly improves the DOF. From 8(c), 8(d), and 8(e), it can be seen that the MSSE algorithm preferentially optimizes the mainlobe at the cost of increased sidelobe levels. If it is important to maintain low sidelobe levels, the weighted MSSE technique described in [1] can be used to achieve a compromise between optimizing the mainlobe and controlling sidelobe levels.

Table 1:

List of parameters used in continuous wave (CW) simulations, unless otherwise mentioned.

Table 2:

List of parameters used in broadband simulations, unless otherwise mentioned.

# Evaluation of Translating Apertures Based Angular Scatter Imaging on a Clinical Imaging System

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**Abstract** - Traditional ultrasound systems measure backscatter in B-mode, capturing only the acoustic energy that is reflected directly from the target region to the transducer face. These systems fail to utilize the information in the echo field that is scattered in other directions and therefore cannot characterize the angular scattering behavior of the targets being observed. Since target-specific angular scattering has great potential as a source of increased contrast in biological tissues, it is desirable to modify the method of acquisition in order to obtain reliable information about this behavior. However, prior systems used to investigate this information have been clinically unwieldy and statistically inaccurate over small regions. We have implemented a method of acquisition that utilizes the translating apertures algorithm (TAA) to reliably separate target-specific angular scatter information from the effects of changing acquisition geometry. This acquisition method has been implemented in real-time on a clinical linear array system. Seven interrogation angles are acquired for each imaging line, and the TAA is implemented repeatedly across the array to yield per-pixel maps of angular scatter behavior. We present comparisons of per-pixel angular scatter behavior for a variety of target types, including correlation analysis of a Rayleigh-regime wire target phantom and comparative image analysis with phantoms containing targets of varying compressibility and density. It is shown that the TAA maintains a high per-pixel correlation level over a broad range of interrogation angles. Comparative angular scatter imaging is shown to yield relative contrast improvements on the order of 10-15dB in some targets.

## I. INTRODUCTION

Traditional clinical ultrasound imaging methods yield information about the echogenicity of targets

within the imaging field by processing only those echoes which are returned directly to the point of transmission (backscatter). Such systems do not consider portions of the returning echo field which are scattered in other directions, and thus cannot provide information about the angular scatter profile of insonified targets. The character of the angular scatter profile has been shown to contain significant information about the type of target being observed [1], so it is desirable to develop an imaging system which can process this type of information in a clinically useful manner.

In general, the amplitude of the echo field emitted by a Rayleigh scattering target will exhibit an angular dependence that is proportional to its background-relative compressibility and density:

$$R = \frac{2\pi f a^3}{3rc} \left[ \frac{\kappa_t - \kappa}{\kappa} + \frac{3\rho_t - 3\rho}{2\rho_t - \rho} \cos(\theta_s) \right] \quad (1)$$

where  $a$  is the scatterer radius,  $r$  is the target range,  $\kappa_t$  and  $\kappa$  are the target and background compressibilities, respectively,  $\rho_t$  and  $\rho$  are the target and background densities, respectively, and  $\theta_s$  is the scattering angle relative to backscatter ( $180^\circ$ ). Generated echoes consist of the summed contributions of an omni-directional wave caused by local compressibility variations and an angle-dependent (dipolar) wave caused by local variations in density, but this information cannot be separated with a conventional imaging geometry.

We have developed an imaging system using the translating apertures algorithm (TAA) [2] which allows for the reliable evaluation of the echo field at multiple interrogation angles. This allows for the omni-directional and angle-dependent components of the echo field to be evaluated separately, which introduces compressibility and density variations as a new source of potential image contrast. This imaging method is implemented in real-time on a GE Logiq 700MR clinical imaging system using a 7.5MHz linear array probe. We present the initial



evaluation of this system's ability to isolate angular scatter information through analysis of a variety of target types.

## II. METHODS

The advantage of the translating apertures algorithm over traditional angular scatter acquisition geometries [3] is the reliable isolation of target-specific echo information from other system effects. Moving the transmit and receive apertures in equal and opposite directions to increase interrogation angle provides a stable system point spread function through a broad angular range.

In order to implement the TAA on a linear array in a useful manner that can generate images, it is necessary to modify system behavior during transmission and reception such that a variety of angles can be interrogated for every point in lateral space along the array. Implementation of the TAA on the Logiq 700 system involves extensive modification to allow for precise control of the apertures being utilized for transmission and reception for every set of pulses that the system fires. Since linear array systems are designed to focus at multiple depths and (typically) fire one set of focused pulses per lateral image line per focus, modifications must be made to interrogate a single region in space at multiple angles.

Firstly, identical transmit/receive beamforming is implemented across multiple system focal zones, such that the system interrogates the same spatial region many times (according to the number of interrogation angles desired). It should be emphasized that though the system interprets each of these redundant firings as a different *spatial* focal zone, all the calculated time delays are identical for each zone. Additionally, dynamic receive focusing and transmit/receive apodization (which are depth dependent) are disabled. Aperture translation is achieved through pre-calculated electronic channel maps which can independently turn any array element on or off during transmit and receive operations. By using the same beamforming calculations for every "zone," small angles can be interrogated by turning on transmit/receive elements near the center of the active aperture, and large angles can be interrogated by enabling elements near the edge. All imaging vectors are placed in line with the physical imaging

elements to assure absolute symmetry as TAA is applied to separate the active transmit and receive apertures in equal and opposite directions. The firing order of the system for a four-angle acquisition thus looks like this:

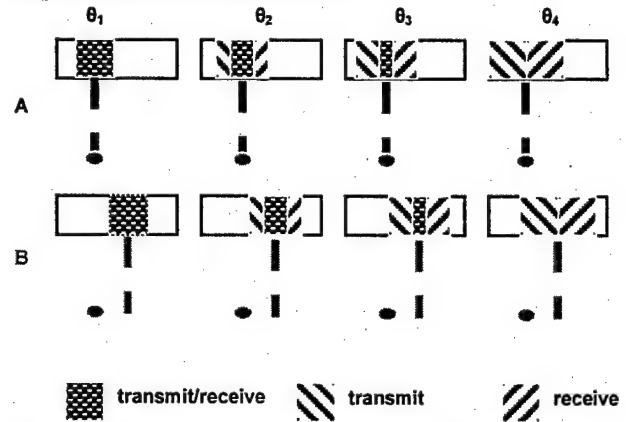


Figure 1: Multi-angle acquisition on a linear array

This example demonstrates acquisition of a backscatter angle ( $\theta_1$ ) and three other interrogation angles for two different imaging lines (A and B). The firing order for the system would be  $A\theta_1$ ,  $A\theta_2$ ,  $A\theta_3$ ,  $A\theta_4$ ,  $B\theta_1$ ,  $B\theta_2$ ,  $B\theta_3$ ,  $B\theta_4$ . There are approximately 200 lateral spatial vectors per image frame, and up to seven interrogation angles can be interrogated per spatial imaging line.

Complex echo information is obtained in the form of summed IQ data that is offloaded from the Logiq 700 to a pc-based storage system, where it is then unpacked/de-interlaced and processed for the purposes of comparative evaluation.

## III. RESULTS

### Rayleigh-regime wire target

As it is essential that the TAA acquisition maintain a highly correlated system point spread function across all angles of interrogation, an initial experiment was performed using a 20 $\mu$ m diameter stainless steel wire ( $< \lambda/10$ , approximating a Rayleigh scattering target) in a degassed water bath. Seven acquisition angles were acquired, from backscatter ( $180^\circ$ ) to  $162^\circ$  in 3-degree increments. Since transmit and receive apodization could not be controlled independently, no apodization was used for any of these experiments. Several parameters were varied to test their effect on psf correlation levels, including the size of the transmit/receive

apertures, the speed of sound used by the system to calculate time delays, and the distance the wire target was placed away from the transducer face. In general, varying the calculated speed of sound produced the same results as moving the transducer axially toward/away from the wire target, and larger transmit/receive apertures provided better correlation values (largely due to increased SNR). Using an 8 element (1.6mm) aperture with the system focused at 12 mm, the following plot was generated to demonstrate correlation depth of field:

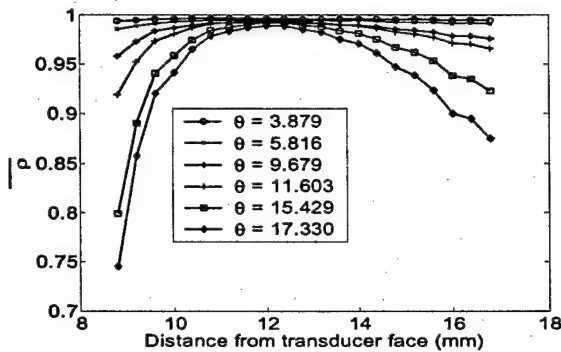


Figure 2. Correlation coefficient vs. distance from transducer face

The complex correlation coefficient  $\rho$  was calculated relative to the acquisition at  $180^\circ$  (note that the angle  $\theta$  on the legend corresponds to  $180^\circ - \theta_s$ , it is more intuitive to refer to this angle since increasing  $\theta$  indicates an increased separation between transmit and receive apertures). Looking at the absolute value of the complex correlation coefficient it is clear that correlation drops when interrogation angle is increased, as well as when the transducer is moved farther from the focus at 12mm. All angles exhibit high correlation levels at/near the focus, and correlation remains above 0.95 for approximately 5 mm for all angles of interrogation.

The simplest method of comparative imaging that can be employed to quantify differences in angular scatter is common- and difference- (c- and d-) weighted subtractive imaging [2]. A difference-weighted image is formed via the subtraction of the complex echoes acquired at an angle of interest from those acquired at the backscatter angle. This eliminates the portion of the echo field common to every acquisition angle and emphasizes those portions of the echo field which change

significantly as the interrogation angle is increased. Subtracting the difference echoes from the echoes acquired at the separation angle of interest yields the common-weighted echo set. Common-weighted images emphasize targets dominated by local variations in compressibility, while difference-weighted images emphasize local variations in density.

To evaluate the potential efficacy of a straightforward subtraction image on the system, the normalized difference energies (DE) of all data sets were calculated as follows:

$$DE = \left| A_0 / \sqrt{A_0 A_0^*} - A_\theta / \sqrt{A_\theta A_\theta^*} \right|^2 \quad (2)$$

Where  $A_0$  is the complex backscatter echo data and  $A_\theta$  is the complex echo data a higher angle of interrogation. Initial evaluation of this metric is shown in Fig. 3:

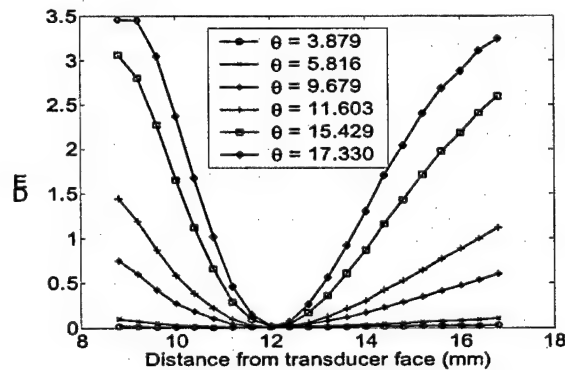


Figure 3. Normalized difference energy vs. distance from focus

Results showed a higher than expected rise in difference energy at high interrogation angles, so in order to improve the depth of field over which C- and D- imaging could be successfully employed, receive time warping (RTW) was applied to align the data acquired at backscatter to the echoes acquired at other angles. RTW compensates for the relative difference in pathlength between the focus and other points in the imaging field when considering angular acquisition vs. backscatter. A complex phase rotation is applied to the angular acquisition data sets to make up for this difference (Fig. 4). RTW greatly enhanced the effective depth of field for C- and D- type image comparisons, offering an order of magnitude improvement at larger interrogation angles.

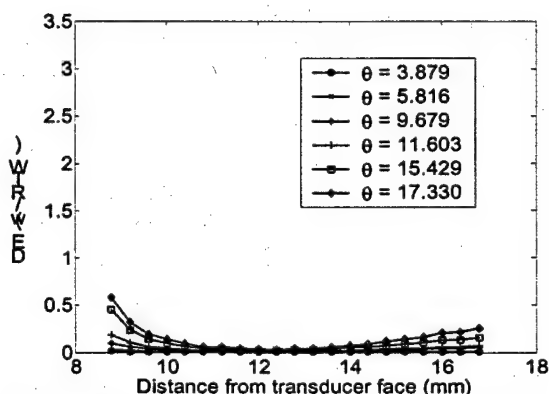


Figure 4. Normalized difference energy vs. distance from transducer face, receive time warping active

To quantify the effectiveness of C- and D-imaging on different target types, a phantom was constructed featuring three different types of 200 $\mu$ m diameter wire. The base of the phantom was high-concentration gelatin with 50 $\mu$ m diameter Sephadex suspended within to provide background scattering. This gelatin was poured upon a steel wire, nylon monofilament, and cotton/polyester thread placed approximately 1 cm apart, and were set to bisect the imaging plane of the transducer at the focal depth of 12 mm. B-Mode (at  $\theta = 0^\circ$ ) C-, and D-weighted images (from  $\theta = 9.679$  degrees) are presented in Fig. 5.

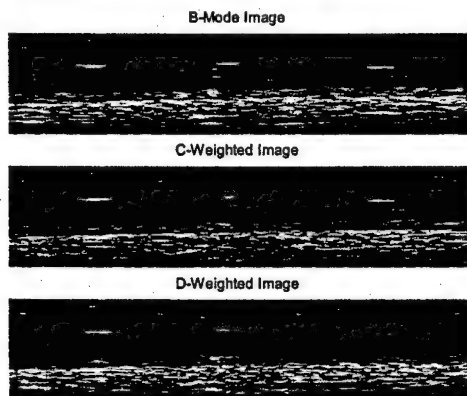


Figure 5. B-Mode, C-weighted, and D-weighted image of steel (left), nylon (center), and cotton (right) wire targets

Average pixel brightness in the three wire regions was compared to that of a neutral background region also at the focus. In the B-Mode images the

contrast between the wires and the background is nearly the same: 21.3dB, 21.9dB, and 23.8dB respectively for the steel, nylon, and cotton wires. Note that they are difficult to differentiate from the B-Mode image alone. In the C-weighted image we see contrast levels of 19.4dB, 20.5dB, and 25.3dB (note the enhanced contrast for the highly compressible cotton thread). In the D-weighted image we see contrast levels of 27.90dB, 22.50dB, and 17.07dB for the steel, nylon, and cotton threads, such that all three wire types are clearly differentiable in the image.

#### IV. CONCLUSION

Although there are a few considerable limitations currently involved with implementing TAA on a linear array system (most notably, the inability to independently apodize the transmit and receive apertures), it has been shown that there is great potential in this technique for providing new sources of image contrast from previously unobservable target characteristics. Further refinement of the technique should allow for the generation of higher quality overall image data, as well as the potential real-time display of comparative (C/D-type) images.

#### V. ACKNOWLEDGEMENTS

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# A NOVEL APERTURE DESIGN METHOD FOR IMPROVED DEPTH OF FIELD IN ULTRASOUND IMAGING

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**Abstract** – Current aperture design techniques do not allow for the design of apertures that produce a beam pattern optimally similar to the desired pattern. A flexible beamforming technique that enables the optimal design of apertures for a desired system response is presented. This technique involves a linear algebra formulation of the Sum Squared Error (SSE) between the point spread function (psf) of the system, and an ideal or desired psf. Minimization of this SSE yields the optimum aperture weightings. A brief overview of the application of the technique for some common design objectives, along with simulation results is also presented.

## I. INTRODUCTION

A common task in ultrasound imaging is the design of apertures for either a specific imaging application, or to improve performance in an existing application. This is usually performed in an iterative and ad-hoc manner. These techniques may result in a system response close to the desired response, but they do not guarantee optimization of ultrasound beam parameters such as main-lobe width and side-lobe levels. Also, given a desired beam pattern, it is not possible to design apertures that produce a beam pattern that optimally resembles the desired beam.

We propose a general aperture design method, with rigorous theory, that can be applied in arbitrary system geometries to design apertures optimizing beam parameters. Our technique involves a linear algebra formulation of the Sum Squared Error (SSE) between the system point spread function (psf) and the desired or ideal psf. Minimization of this error yields unique aperture weightings that force the system psf to resemble the desired psf. It is similar to the technique used by Ebbini [1], and by Ebbini and O'Donnell [2]. Our Minimized Sum Squared Error (MSSE) technique is more general, however, because it enables the use of arbitrary propagation functions.

Another distinction is that in [1] and [2], a few control points were used in order to ensure an underdetermined system of equations and obtain an exact beam pattern at those few points, while we use the entire ideal psf to obtain the least squares solution of an overdetermined system of equations. This method enables excellent control of the system psf, and has a significant impact on aperture design for several applications such as improved depth of field.

## II. THEORY

The phase and amplitude of the ultrasonic field at a point in space due to a transducer element depends on several factors. These include the Euclidean distance between the point and the element, the orientation of the element relative to the point, the frequency of the emitted wave, and frequency dependent attenuation of the medium, assuming linear propagation. The complex field at the point under consideration can be written as the product of a propagation function,  $s$ , which incorporates any or all of the above mentioned factors, and the weighting (possibly complex) applied to the element,  $w$ . i.e.  $sw$ . The one-way M-point lateral point spread function (psf) at the range  $z$  can be represented as,

$$P_z = \begin{bmatrix} s_{1,1} & s_{1,2} & \cdot & \cdot & \cdot & s_{1,N} \\ s_{2,1} & s_{2,2} & & & & \\ \cdot & & \cdot & & & \\ \cdot & & & \cdot & & \\ s_{M,1} & \cdot & \cdot & \cdot & \cdot & s_{M,N} \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \\ w_3 \\ \cdot \\ \cdot \\ w_N \end{bmatrix} = S_z W \quad (1)$$

where  $S_z$  is an M x N matrix of propagation functions in which each element  $s_{i,j}$  is the propagation function that determines the field at a point  $i$  due to element  $j$ ,  $W$  is an N x 1 vector of aperture weightings in which each element  $w_j$  is the

weighting applied to the  $j^{\text{th}}$  element, and  $P_z$  is the resulting psf, which is an  $M \times 1$  vector. This formulation permits analysis with complicated propagation functions that may include limited element angular response, frequency dependent attenuation, and other difficult to model factors.

Using equation 1 and by applying the well-known Radar Equation, the two-way psf can be written as,

$$P_{TRz} = P_{Tz} \cdot P_{Rz} = (S_z T) \cdot (S_z R) \quad (2)$$

where  $P_{Tz}$  and  $P_{Rz}$  are the one-way transmit and receive psfs respectively,  $T$  and  $R$  are the transmit and receive aperture weightings, and  $\cdot$  indicates point by point multiplication. The propagation function is the same on transmit and receive due to acoustic reciprocity. Equation 2 can be rewritten as,

$$P_{TRz} = P_{Tzd} P_{Rz} = P_{Tzd} S_z R = P_{TzdS} R, \quad (3)$$

where  $P_{Tzd}$  is a diagonal  $M \times M$  matrix with the elements of  $P_{Tz}$  along its 0<sup>th</sup> diagonal, and  $P_{TzdS} = P_{Tzd} S_z$ . This changes the point multiplication operation to a regular matrix multiplication operation. We can characterize the degree of similarity between the psf at some range  $z$ , and some ideal psf by the SSE between them. Using equation 3 the SSE is,

$$\begin{aligned} SSE &= (P_{TRz} - \tilde{P}_{TRzi})' (P_{TRz} - \tilde{P}_{TRzi}) \\ &= (P_{TzdS} R - \tilde{P}_{TRzi})' (P_{TzdS} R - \tilde{P}_{TRzi}) \end{aligned} \quad (4)$$

where  $\tilde{P}_{TRzi}$  is the ideal psf, and the superscript  $t$  denotes a complex conjugate operation.

This is formulation is well-known in signal processing, and using [3], we can obtain a set of receive weightings to be applied so that the SSE between the generated and ideal psfs is minimized.

$$R = (P_{TzdS}' P_{TzdS})^{-1} P_{TzdS}' \tilde{P}_{TRzi} = P_{TzdS}^{\#} \tilde{P}_{TRzi} \quad (5)$$

where  $P_{TzdS}^{\#}$  is the pseudoinverse of  $P_{TzdS}$ .

### III. DISCUSSION

Equation 5 specifies the complex weightings to be applied to the transducer elements constituting the

receive aperture to obtain a system psf,  $P_{TRz}$ , at the range  $z$ , that optimally resembles the desired or ideal psf,  $\tilde{P}_{TRzi}$ . This aperture design method guarantees optimal beam patterns. We describe some common design objectives, and the application of our method to design apertures that achieve these objectives, to demonstrate the effectiveness of the MSSE technique.

*Objective: Enhanced Depth of field* - The depth of field (DOF) of an ultrasound imaging system is generally defined as the axial region over which the system is in focus, or more rigorously, the axial region over which the system response is uniform within some predetermined limit. It is generally desired that the system psf remains similar to the psf at the focus for as large an axial range as possible. Current state of the art techniques to improve depth of field include transmit apodization, dynamic receive apodization and dynamic receive focusing.

However effective the above techniques are, they are ad-hoc and lack formal theory describing the effectiveness in improving depth of field. Our objective is to derive receive weightings that force the psf at each specific range of interrogation to be optimally similar to the psf at the focus, and use these weightings to implement dynamic weighting to maximize depth of field. This can be done easily by setting the ideal psf,  $\tilde{P}_{TRzi}$ , in equation 5 to be the psf at the focus. We will obtain receive weightings for the range of interest,  $z$ , that will generate a psf that is optimally similar to the psf at the focus.

*Objective: Correlation Depth of Field in Translated Aperture Geometries* - We have proposed using the Translating Apertures Algorithm (TAA) as the foundation of angular scatter imaging methods [4]. The TAA results in a considerably reduced depth of field as the transmit and receive apertures are translated. Our technique of dynamic receive aperture weightings can be applied to improve the correlation between the backscatter (non-translated) and angular scatter (translated) psfs at each range of interest, and thereby increase the correlation depth of field. We can derive the receive weightings to be applied in the translated apertures geometry to maximize the correlation by minimizing the SSE between the two psfs. We can write the two-way psf for the translated geometry at range  $z$  as follows.



$$\begin{aligned} P_{TRz1} &= P_{Tz1} \cdot P_{Rz1} = P_{Tz1} \cdot (S_{Rz1} R_1) \\ &= P_{Tz1d} S_{Rz1} R_1 = P_{Tz1dS} R_1 \end{aligned} \quad (9)$$

where  $P_{Tz1d}$  is a diagonalized  $M \times M$  matrix with the elements of  $P_{Tz1}$  along the 0<sup>th</sup> diagonal, the subscript "1" denotes the translated geometry and  $P_{Tz1dS} = P_{Tz1d} S_{Rz1}$ . The SSE between the psfs for the backscatter and angular scatter geometries is,

$$\begin{aligned} SSE &= (P_{TRz1} - P_{TRz0})' (P_{TRz1} - P_{TRz0}) \\ &= (P_{Tz1dS} R_1 - P_{TRz0})' (P_{Tz1dS} R_1 - P_{TRz0}) \end{aligned} \quad (10)$$

The receive weightings to be applied to the angular scatter geometry that yield the optimum correlation depth of field are therefore [3],

$$R_1 = (P_{Tz1dS}' P_{Tz1dS})^{-1} P_{Tz1dS}' P_{TRz0} = P_{Tz1dS}^{\#} P_{TRz0} \quad (11)$$

where  $P_{Tz1dS}^{\#}$  is the pseudoinverse of  $P_{Tz1dS}$ .

The above design objectives illustrate the flexibility of the MSSE technique. The method, however, is not limited to these examples and can be used to design apertures to obtain any arbitrary system response.

#### IV. RESULTS AND DISCUSSION

Simulations of the design method were performed by implementing code in Matlab. We used a discretized Rayleigh-Sommerfeld formulation to generate the propagation matrices. The control parameters are described in table 1.

Number of elements	32
Element spacing	200 microns
Apodization	Hann window
Focus	1.2 cm
Frequency of operation	10 MHz
Number of field points	351
Field point spacing	20 microns

Table 1. Control parameters.

*Enhanced Depth of Field* – Figure 1 demonstrates the effectiveness of our technique in improving the depth of field. Every column in each of the three images

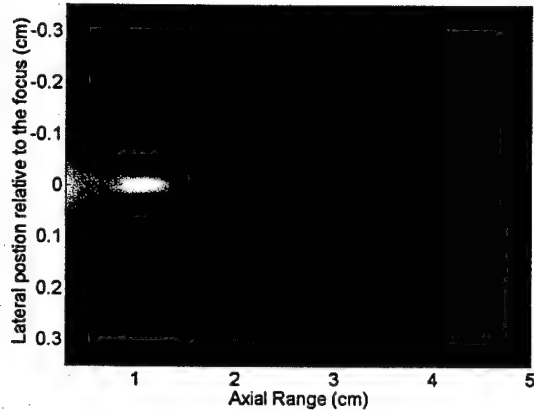
corresponds to the lateral psf at a single axial range. This range was varied from 0.31 cm to 5 cm and was sampled every 100  $\mu$ m. Figure 1a consists of the lateral psfs corresponding to the control case with no apodization. Figure 1b is made up of the psfs obtained when dynamic apodization and dynamic receive focusing were applied, along with a range dependent gain function. Figure 1c consists of the psfs obtained when our MSSE technique was applied. It can be seen that beam characteristics were maintained over a longer range for our technique, than when conventional beamforming techniques were applied.

*Correlation Depth of Field in Translated Aperture Geometries* – Figure 2 illustrates the application of the MSSE technique in translated aperture geometries. The correlation coefficients were obtained by correlating the translated aperture (shifted by 10 elements) psf with the non-translated aperture psf at the same range. It can be seen that the application of our technique results in a significantly higher correlation than the control case.

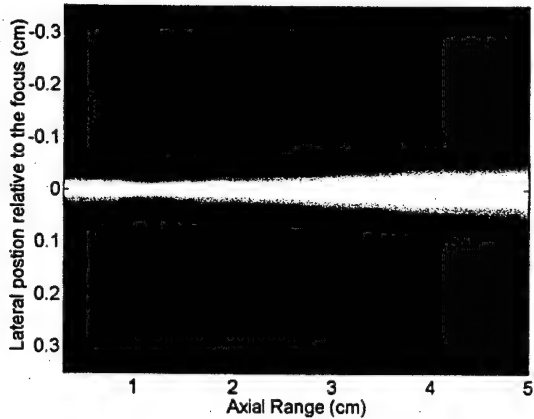
Although results are not shown, it is also possible to design transmit apertures that produce limited diffraction transmit beams and maintain transmit beam characteristics for a significantly larger range. This can be done by tiling the one-way psfs at the specific axial ranges over which the beam characteristics are to be maintained, and tiling the ideal psfs, one for each range of interest. The SSE between the tiled ideal and actual psfs can then be formulated using equation 1. Minimizing this SSE will yield the transmit weightings that produce the optimized limited diffraction transmit beam.

#### IV. CONCLUSION

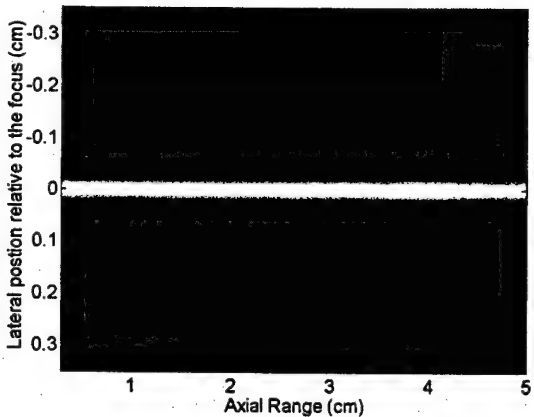
The Minimum Sum Squared Error (MSSE) technique provides a general method for the design of aperture weightings that can be applied in arbitrary system geometries to design apertures in order to obtain a beam pattern optimally similar to the desired pattern. By applying the technique to some common design challenges in medical ultrasound, it has been shown to have the potential to significantly improve the performance of imaging systems.



(a)



(b)



(c)

Figure 1. Images obtained from lateral psfs at multiple ranges. Each column consists of the psf at a single range. 1a is the control case, 1b is dynamic apodization and dynamic receive focusing with range dependent gain, and 1c is our MSSE technique.

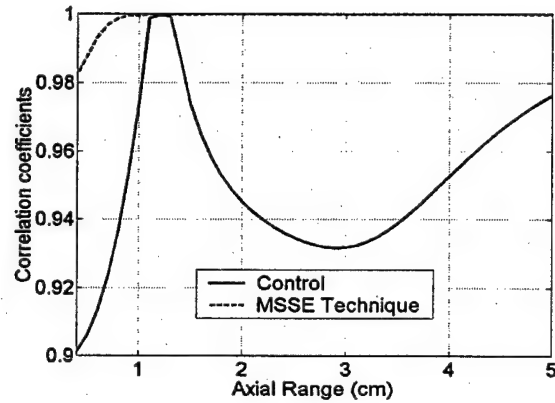


Figure 2. Correlation coefficients obtained by correlating the shifted aperture (10 element shift) psf with the non-shifted aperture psf at the same range.

#### IV. FUTURE WORK

The technique described above is implemented using a continuous wave (CW) formulation. We have also adapted the technique for broadband systems. We are currently experimenting with broadband simulations, and limited diffraction transmit beams.

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# A novel aperture design method in ultrasound imaging

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## ABSTRACT

Conventional techniques used to design transducer apertures for medical ultrasound are generally iterative and ad-hoc. They do not guarantee optimization of parameters such as mainlobe width and sidelobe levels. We propose a dynamic aperture weighting technique, called the Minimum Sum Squared Error (MSSE) technique, that can be applied in arbitrary system geometries to design apertures optimizing these parameters. The MSSE technique utilizes a linear algebra formulation of the Sum Squared Error (SSE) between the point spread function (psf) of the system, and a goal or desired psf. We have developed a closed form expression for the aperture weightings that minimize this error and optimize the psf at any range. We present analysis for Continuous Wave (CW) and broadband systems, and present simulations that illustrate the flexibility of the technique.

**Keywords:** ultrasound, beamforming, aperture design, minimum sum squared error, SSE, MSSE

## 1. INTRODUCTION

In ultrasonic imaging, the characteristics of the ultrasound beam fundamentally affect the quality of the data obtained, and therefore need to be carefully adjusted to obtain the desired system response. Beam parameters such as the mainlobe width and sidelobe levels can be adjusted by changing the amplitude and phase (time delay) of the weightings applied to the active elements, and also by controlling the size of the active aperture (the number of active elements) and the frequency of operation.

These beamforming parameters do not act independently; altering one changes the impact of each of the others. Consequently, beamformer design is a complicated multiparameter optimization problem. Because of this complexity, beamformer parameters are typically determined using a combination of ad hoc methods, simplified theory, and iterative simulation and experimentation. While these methods are effective, they are time consuming and provide no guarantee that an optimized solution has been found.

We propose a general aperture design method that can be applied in arbitrary system geometries to design apertures that optimize beam parameters. Our technique utilizes a linear algebra formulation of the Sum Squared Error (SSE) between the system point spread function (psf) and the desired or goal psf. Minimization of this error yields unique aperture weightings that force the system psf to resemble the desired psf. It is similar to the technique used by Ebbini et al [1] to generate specialized beam patterns for hyperthermia, and by Li et al [2] for the compensation of blocked elements. There are several differences, however, between these methods and the technique we describe. The analysis in [1] and [2] uses only a few control points, while we use the entire system psf to form an overdetermined system of equations that we then solve. Another important distinction is that unlike [1] and [2], we present analysis for both CW and broadband systems. This paper outlines the theoretical description of the MSSE technique for narrowband and broadband systems and discusses a few examples of application. Simulation results for these examples are also described.

## 2. THEORY

### 2.1 One-way Continuous Wave (CW) formulation

The phase and amplitude of the ultrasonic field at a point in space due to an ultrasound transducer element depends on several factors. These include the Euclidean distance between the point and the element, the orientation of the element relative to the point, the frequency of the emitted wave, and frequency dependent attenuation of the medium. Assuming linear propagation, the one-way M-point lateral point spread function (psf) at the range  $z$  can be represented as follows.



$$P_z = \begin{bmatrix} s_{1,1} & s_{2,1} & \dots & s_{N,1} \\ s_{1,2} & s_{2,2} & \dots & \cdot \\ \cdot & \cdot & \dots & \cdot \\ \cdot & \cdot & \dots & \cdot \\ s_{1,M} & \cdot & \dots & s_{N,M} \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \\ \cdot \\ \cdot \\ w_N \end{bmatrix} = S_z W \quad (1)$$

where  $S_z$  is an  $M \times N$  matrix of complex propagation functions comprising elements of the form  $s_{i,j}$ , which represents the propagation function that determines the field at a point  $i$  due to element  $j$ .  $W$  is an  $N \times 1$  vector of aperture weightings in which each element  $w_j$  is the weighting applied to the  $j^{\text{th}}$  element.  $P_z$  is the resulting  $M \times 1$  psf. This formulation permits analysis with complicated propagation functions that may include limited element angular response and other such factors. The transmit psf at the range  $z$  can therefore be expressed as follows,

$$P_{Tz} = S_z T \quad (2)$$

where  $T$  comprises the transmit aperture weightings. Let us suppose that the desired one-way system transmit psf is  $\tilde{P}_{Tz}$  for the application of interest. We can then characterize the degree of similarity between the goal psf  $\tilde{P}_{Tz}$  and the actual system psf  $P_{Tz}$  by the Sum Squared Error (SSE) between them.

$$\begin{aligned} SSE &= (P_{Tz} - \tilde{P}_{Tz})' (P_{Tz} - \tilde{P}_{Tz}) \\ &= (S_z T - \tilde{P}_{Tz})' (S_z T - \tilde{P}_{Tz}) \end{aligned} \quad (3)$$

where the superscript " $'$ " denotes a conjugate transpose operation. Minimizing the SSE yields the transmit weights that produce the system psf that is optimally similar to the goal psf. The formulation in equation 3 is common in signal processing, and significant literature exists on the solution to the equation with the minimum SSE. Using [3] the transmit aperture weightings that minimize the SSE are given by,

$$T = (S_z' S_z)^{-1} S_z' \tilde{P}_{Tz} = S_z^{\#} \tilde{P}_{Tz} \quad (4)$$

where  $S_z^{\#}$  is the pseudoinverse of  $S_z$ . Equation 4 describes the calculation of the transmit weightings that yield the system psf at the range  $z$  that is optimally similar to the goal psf.

## 2.2 Two-way Continuous Wave (CW) formulation

Using the analysis in the previous subsection and by applying the well-known RADAR equation [4], the two-way psf is,

$$P_{TRz} = P_{Tz} \cdot P_{Rz} = (S_z T) \cdot (S_z R), \quad (5)$$

where ' $\cdot$ ' indicates point multiplication. Equation 5 can be rewritten as,

$$P_{TRz} = P_{Tzd} P_{Rz} = P_{Tzd} S_z R = P_{TzdS} R, \quad (6)$$

where  $P_{Tzd}$  is a diagonal  $M \times M$  matrix with the elements of  $P_{Tz}$  along its 0<sup>th</sup> diagonal, and  $P_{TzdS} = P_{Tzd} S_z$ . If  $\tilde{P}_{TRz}$  is the goal psf, the SSE between the system and goal psfs can be expressed in a similar fashion to the one-way formulation in equation 2. The receive aperture weightings that minimize the SSE can then be determined as shown below.

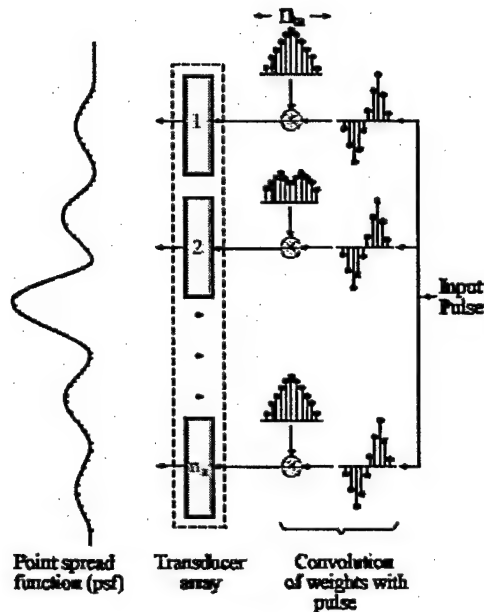


Figure 1. Illustration of broadband formulation. The pulse applied to each element is convolved with a set of weights, which are distinct for each element. This operation is analogous to implementing an FIR filter on each element/channel.

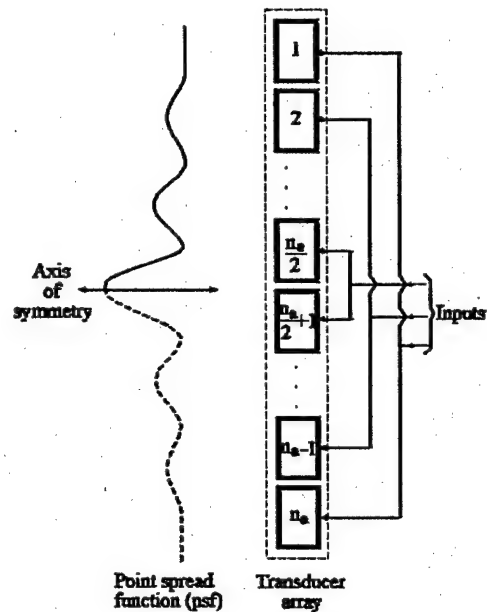


Figure 2. Illustration of the exploitation of symmetry. Since the aperture is symmetric about the center elements, pairs of elements that would have the same weights are grouped together. Also, the lateral symmetry of the psf about the center axis permits analysis with just one half of the psf.

$$\begin{aligned} SSE &= (P_{TRz} - \tilde{P}_{TRz})' (P_{TRz} - \tilde{P}_{TRz}) \\ &= (P_{Tzds} R - \tilde{P}_{TRz})' (P_{Tzds} R - \tilde{P}_{TRz}) \end{aligned} \quad (7)$$

$$R = (P_{Tzds}' P_{Tzds})^{-1} P_{Tzds}' \tilde{P}_{TRz} = P_{Tzds}^{\#} \tilde{P}_{TRz} \quad (8)$$

where  $P_{Tzds}^{\#}$  is the pseudoinverse of  $P_{Tzds}$ . Equation 8 specifies the complex weightings to be applied to the transducer elements constituting the receive aperture in order to obtain a two-way system psf  $P_{TRz}$  at the range  $z$ , that optimally resembles the desired or goal psf  $\tilde{P}_{TRz}$ .

### 2.3 One-way broadband formulation

The CW formulation described above will have limited accuracy in the analysis of broadband systems. For this case, we have developed a modified formulation. The one-way point spread function (psf)  $P_{Tz}$  at a specific range  $z$  is a function of lateral position and time, and can be represented as follows.

$$P_{Tz} = A_z T \quad (9)$$

where  $A_z$  is a propagation matrix that depends on the excitation pulse and the impulse responses of the elements comprising the transmit aperture. It is a function of time and the spatial positions of the element and field point under consideration. It describes the contribution of each element at each field point as a function of time. The generation of a one-way psf is shown in figure 1. The SSE between the system psf and the desired psf can be expressed as,

$$SSE = (P_{Tx} - \tilde{P}_{Tx})^T (P_{Tx} - \tilde{P}_{Tx}), \quad (10)$$

where the superscript "T" denotes a transpose operation. Using equation 9, we can solve for the transmit weightings that minimize the SSE in equation 10.

$$T = (A_z^T A_z)^{-1} A_z^T \tilde{P}_{Tx} = A_z^\# \tilde{P}_{Tx} \quad (11)$$

#### 2.4 Two-way broadband formulation

Similar to the one-way psf, the two-way pulse-echo psf can also be expressed in a linear algebra formulation.

$$P_{TRz} = A_{zz} R, \quad (12)$$

where  $A_{zz}$  is a function of the transmit aperture weights, the excitation pulse, and the transmit and receive aperture element impulse responses. If  $\tilde{P}_{TRz}$  is the goal psf at range  $z$ , the SSE between the goal and actual pulse-echo psfs can be expressed using equation 12, and minimized to obtain the optimum receive weights as shown below.

$$SSE = (P_{TRz} - \tilde{P}_{TRz})^T (P_{TRz} - \tilde{P}_{TRz}) \quad (13)$$

$$R = (A_{zz}^T A_{zz})^{-1} A_{zz}^T \tilde{P}_{TRz} = A_{zz}^\# \tilde{P}_{TRz} \quad (14)$$

where  $A_{zz}^\#$  is the pseudoinverse of  $A_{zz}$ .

#### 2.5 Modified broadband formulation for reduced computational complexity

The calculation of aperture weights in the MSSE technique requires significant computational resources, due to the pseudo-inverse operation and the large matrices involved. However, the lateral symmetry of the apertures and the psfs can be exploited in order to reduce the computational complexity of the broadband formulation. We can, if we choose, use just half of both the goal and actual psfs for the calculation of the optimal weightings. The symmetry of the transmit and receive apertures can also be used to reduce the size of the matrices involved. Pairs of elements can be considered by grouping elements that are on opposite sides and at the same distance from the center axis. The computational complexity is therefore reduced by a factor of 4. This concept is illustrated in figure 2.

#### 2.6 Application to enhance Depth of Field (DOF)

The Minimum Sum Squared Error (MSSE) technique that is described above for CW and broadband systems is extremely general and can be applied in wide-ranging design scenarios. As an example, we describe the application of the technique for improved Depth of Field.

The Depth of Field (DOF) of an ultrasound imaging system is generally defined as the axial region over which the system is in focus, or more rigorously, the axial region over which the system response is uniform within some predetermined limit. It is usually desired that the system psf remains similar to the psf at the focus for as large an axial range as possible.

Currently techniques that are used to improve the DOF include apodization and dynamic receive focusing. A static apodization function is generally applied to the transmit aperture, while dynamic apodization is implemented on the receive aperture. If the MSSE technique is implemented for every range under consideration with the goal psf being the psf obtained at the focus, we can formally derive receive apodization weightings that force the psf at each specific range of interrogation to be maximally similar by minimizing the SSE. These weightings can then be used to implement dynamic apodization and maximize the DOF.

### 3. SIMULATIONS

We have implemented two sets of simulations in order to illustrate the working of the MSSE technique. The first set was intended to illustrate the implementation of the MSSE technique to obtain predetermined system psfs in CW and broadband systems. The second set was designed to implement the technique to improve the system DOF in CW and broadband simulations. The default system parameters are described in table 1. Unless otherwise mentioned, these parameters were used in all simulations. All simulations were performed in Matlab. Field II, an ultrasound simulation package developed by Jensen [5], was used for all broadband simulations.

Parameter	Value
Number of elements	32
Element pitch	135 $\mu$ m
Focus	1.3 cm
Lateral window over which the psf was calculated	70 mm
psf window sampling interval	20 $\mu$ m
Ultrasonic wave propagation speed	1540 m/s
Center frequency	10 MHz
Bandwidth (in broadband simulations)	75%
Temporal sampling of psf (in broadband simulations)	84 MHz
Temporal spacing of weights for each element (in broadband simulations)	36 ns

Table 1: List of default parameters used in the simulations, unless otherwise mentioned.

#### 3.1 One-way CW design example

For all CW simulations, we adapted the propagation function from the Rayleigh-Sommerfeld equation described in [6]. The elements were treated as point sources. The parameters that were used are described in table 1. The goal psf was chosen to be a Hann window of width 7 mm. The optimum transmit weights were computed using equation 4, and the system psf was calculated after application of these weights. Figures 3(a) and 3(b) show the goal psf and the resulting system psf respectively. No transmit apodization, other than the calculated weights, was used. Figures 3(c) and 3(d) display the calculated transmit aperture weights, and the magnitude of the error between the goal and resulting psfs respectively. The error is the difference between the goal and the obtained psfs.

#### 3.2 Two-way CW design example

A Hann window of width 1 mm was chosen to be the goal two-way psf. The MSSE technique was implemented by calculating and applying the receive aperture weights that were obtained using equation 8. No apodization was applied to the transmit aperture. Figures 4(a) and 4(b) depict the goal psf and the psf generated by using the calculated weights respectively. Figures 4(c) and 4(d) display the calculated receive aperture weights, and the magnitude of the error between the goal and resulting psfs respectively.

#### 3.3 One-way broadband design example

All broadband simulations took advantage of symmetry to reduce computational complexity, as previously described. The propagation matrix  $A_z$  was constructed using dual element impulse responses. We used apodization to transmit only on selected pairs of elements, thus obtaining their contributions at each field point, at each time point under consideration. These were then used to form the propagation function. The ideal psf was generated by axially weighting a sinusoidal signal by a Hann window, and multiplying the result by a lateral Hann window.

In all broadband simulations, we downsampled the propagation matrix by a factor of 3. This had the effect of reducing the upper cut-off frequency in the frequency response of the FIR filter constructed using the weights from the

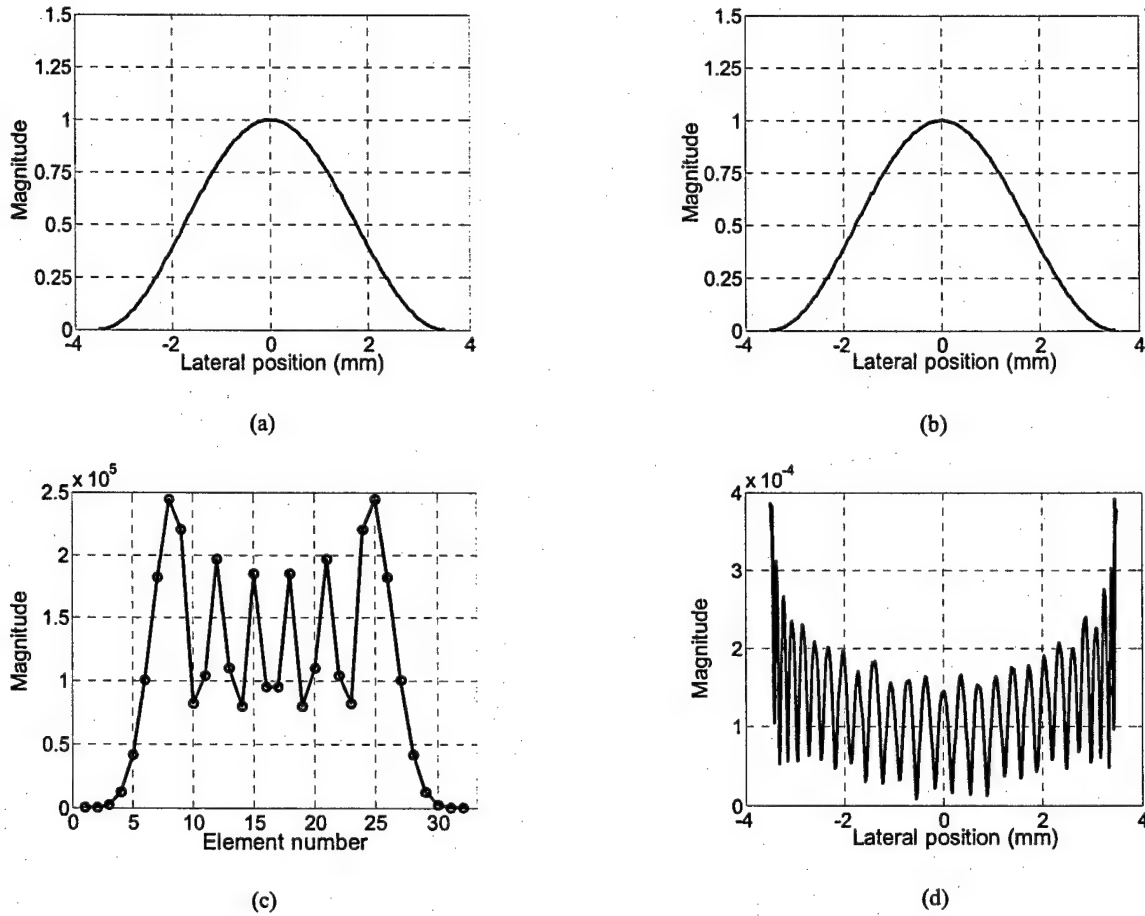


Figure 3. CW one-way design example showing (a) the goal psf as a function of lateral position, (b) the system psf obtained with the MSSE technique as a function of lateral position, (c) the calculated transmit weights as a function of element number, and (d) the error between the goal and the MSSE technique psfs as a function of lateral position.

temporal sampling rate of the psf (84 MHz) to 28 MHz. This rate still provided adequate sampling since the input pulse had a center frequency of 10 MHz with a bandwidth of 75 %.

Using equation 11, we calculated the optimum transmit weights and the resulting system psf. Figures 5(a) and 5(b) show the goal and the MSSE technique psfs respectively, both as a function of lateral position and time. Figures 5(c) and 5(d) depict the calculated transmit weights as a function of the element number and time, and the magnitude of the error as a function of lateral position and time respectively. The error was calculated by computing the difference between the goal and obtained psfs. We also envelope detected and peak detected the psfs to generate beam profiles. Figures 5(e) and 5(f) show the goal and system transmit beam profiles respectively.

### 3.4 Two-way broadband design example

We used the same goal psf as in the one-way example, except for a scaling factor that accounted for the reduction in magnitude due to two-way propagation. The propagation matrix  $A_{zz}$ , however, was different from the one-way case. We generated the propagation functions by using the entire transmit aperture and receiving only on selected pairs of elements. No transmit apodization was used. All other parameters were consistent with table 1.

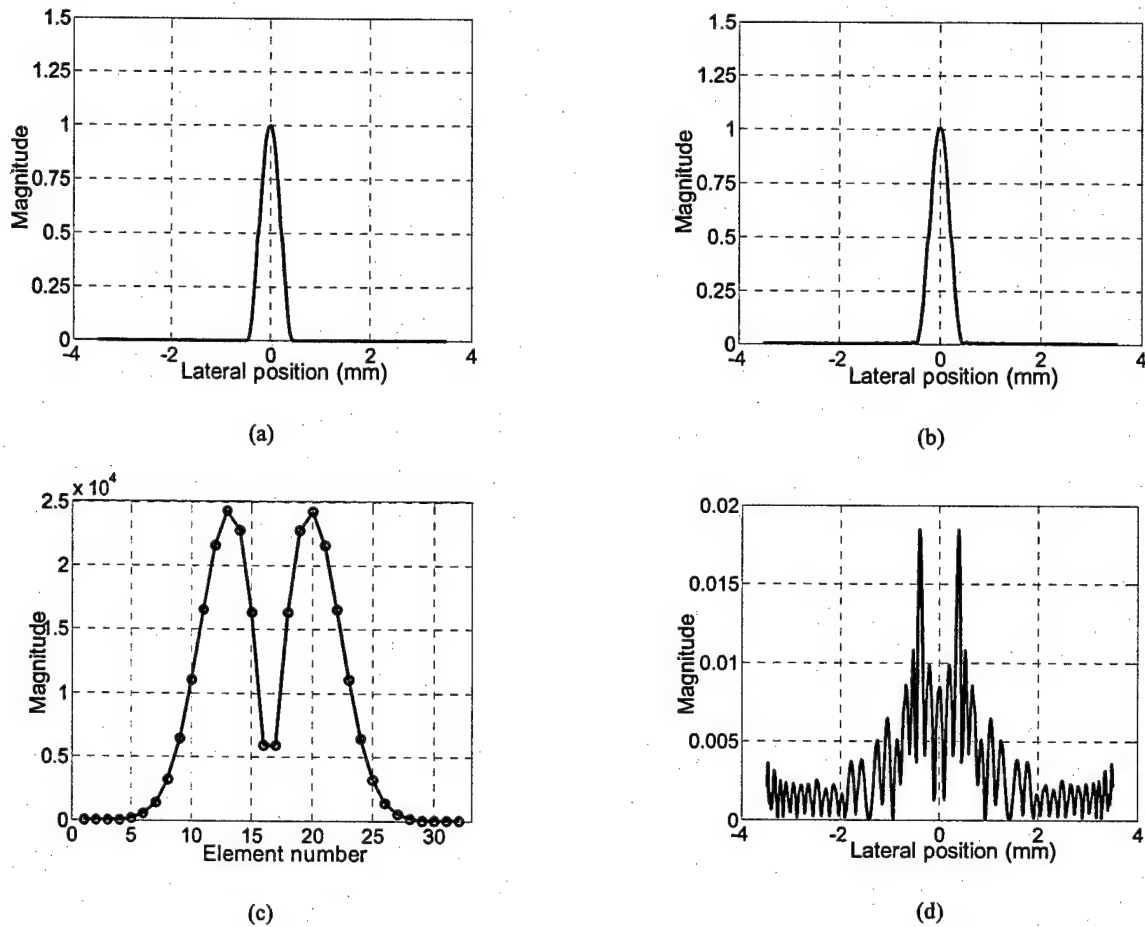
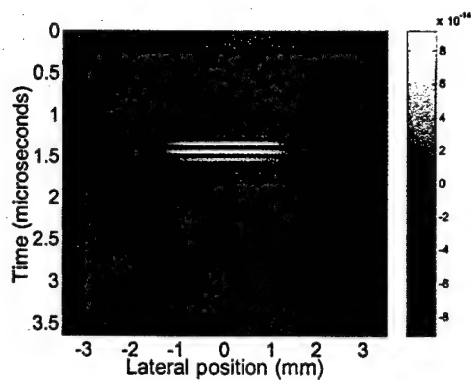


Figure 4. CW two-way design example showing (a) the goal psf as a function of lateral position, (b) the system psf obtained with the MSSE technique as a function of lateral position, (c) the calculated receive weights as a function of element number, and (d) the error between the goal and the MSSE technique psfs as a function of lateral position.

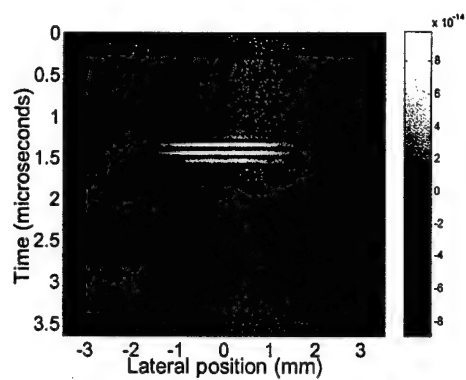
We then downsampled the resulting propagation matrix and used it to calculate the receive weights that minimize the SSE. Figures 6(a) and 6(b) display the goal psf and the system psf obtained with the MSSE technique respectively. Figures 6(c) and 6(d) depict the calculated receive weights and the psf error magnitude respectively. As in the one-way case, we envelope detected and peak detected the psfs. The goal and system psf profiles are displayed in figures 6(e) and 6(f) respectively.

### 3.5 Enhanced Depth of Field (DOF)

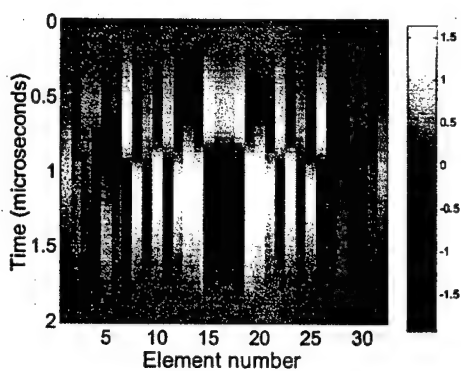
We implemented the MSSE technique to maximize the DOF in both CW and broadband simulations. We generated the goal psf, which was the psf at the focus, using 16 element transmit and receive apertures. We used a 16 element transmit and 32 element receive aperture for the actual system psf. i.e. weights were calculated for 32 receive elements. The system was focused at 6.5 mm. We performed CW simulations to maximize the DOF over an axial window from 0.1 mm to 50 mm that was sampled every 100  $\mu$ m. The lateral window over which the CW psf was calculated was sampled at 5  $\mu$ m, for more accurate computation of the Full Width Half Maximum (FWHM) of the mainlobe. We implemented broadband simulations over an axial window from 0.5 mm to 32.5 mm that was sampled every 2 mm. Current ultrasound systems attempt to improve the DOF by using dynamic apodization and dynamic receive focusing, and we used these in



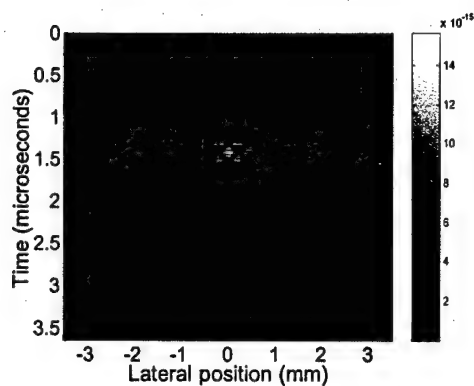
(a)



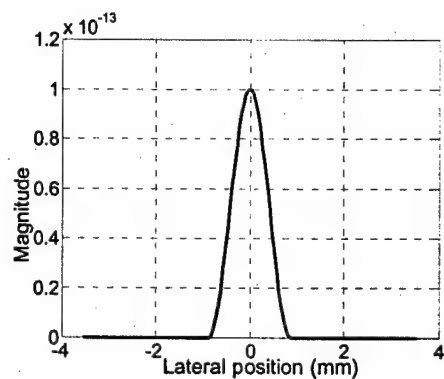
(b)



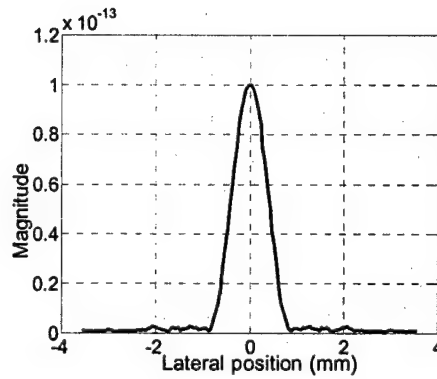
(c)



(d)

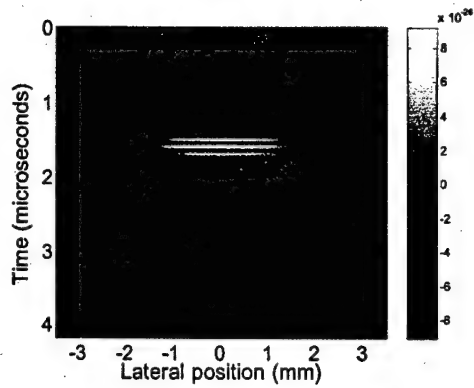


(e)

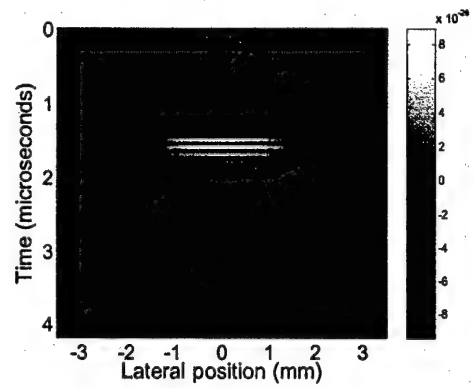


(f)

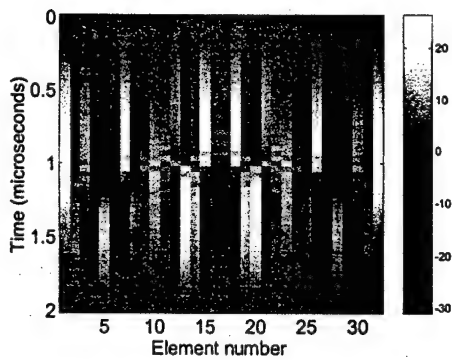
Figure 5: Broadband one-way design example showing (a) the goal psf, and (b) the system psf obtained with the MSSE technique, both as a function of lateral position and time. The calculated transmit aperture weights are displayed in (c) as a function of element number and time, and (d) shows the error between the goal and the MSSE technique psfs as a function of lateral position and time. Subplots (e) and (f) display the envelope and peak detected goal and system psfs respectively, both as a function of lateral position.



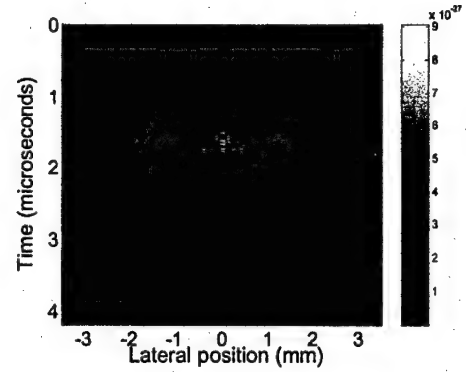
(a)



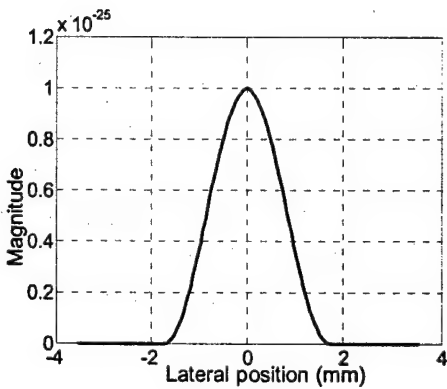
(b)



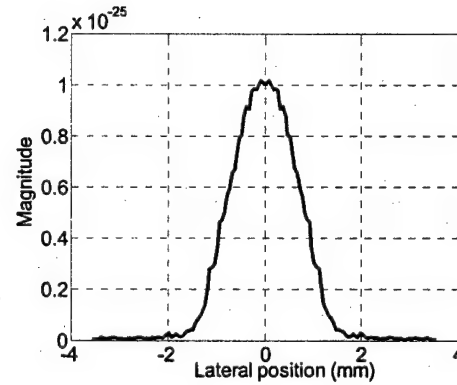
(c)



(d)



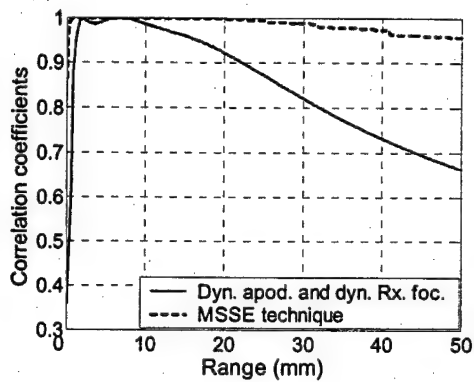
(e)



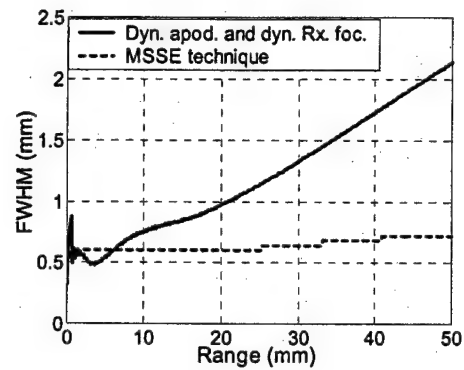
(f)

Figure 6: Broadband two-way design example showing (a) the goal psf, and (b) the system psf obtained with the MSSE technique, both as a function of lateral position and time. The calculated receive aperture weights are displayed in (c) as a function of element number and time, and (d) shows the error between the goal and the MSSE technique psfs as a function of lateral position and time. Subplots (e) and (f) display the envelope and peak detected goal and system psfs respectively, both as a function of lateral position.

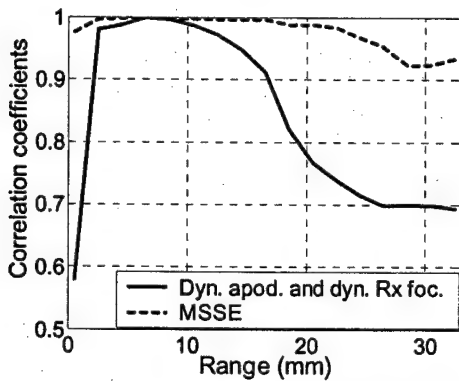




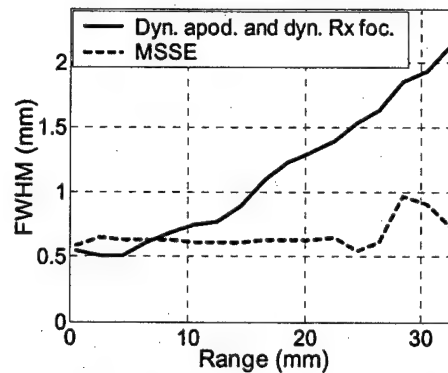
(a)



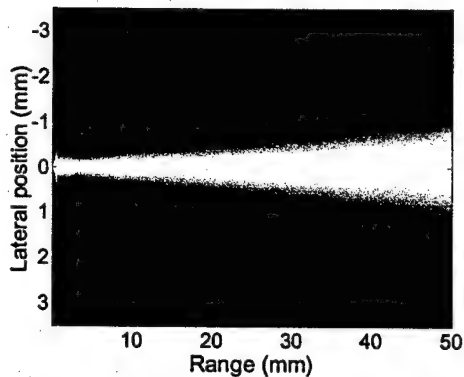
(b)



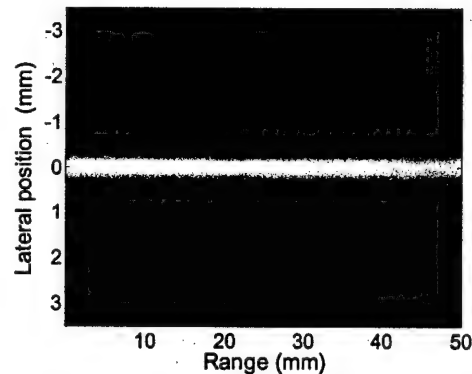
(c)



(d)



(e)



(f)

Figure 7: CW enhanced DOF simulation results showing (a) CW correlation curves, and (b) CW mainlobe FWHM curves in the top panel. The middle panel shows (c) broadband correlation curves, and (d) broadband mainlobe FWHM curves. The lower panel shows (e) the image obtained using control psfs, and (f) the image obtained using the psfs generated after application of the MSSE technique. The images in (e) and (f) were formed from lateral psfs at multiple ranges. Each column consists of the psf at a single range.

control simulations to establish a basis for comparison with the results obtained using the MSSE technique. A Hann window was used as a transmit apodization function in all simulations, and as receive apodization during the generation of the goal psf. The propagation matrix was downsampled to limit the frequency response of the FIR filter constructed using the calculated weights.

## 5. DISCUSSION

Figure 3 demonstrates the use of the MSSE technique in the most basic ultrasound system configuration that was simulated i.e. the one-way CW system. As shown in figures 3(a) and 3(b), the system psf closely approximates the goal psf. The mean magnitude of the error between the psfs in figure 3(d) was approximately 0.02% of the mean goal psf amplitude.

The results of implementing the MSSE technique in the design of two-way system responses is shown in figure 4. It can be seen that the system psf that was obtained after the application of the MSSE technique and the goal psf are quite similar. The resulting mean error magnitude shown in figure 4(b) was 5.1% of the mean goal psf magnitude. The error was much worse than in the one-way simulation because the goal psf was much narrower and therefore more difficult to generate.

Figure 5 illustrates the results obtained when the MSSE algorithm was implemented in one-way broadband simulations. Observation of figures 5(a), 5(b), 5(e), and 5(f) reveals that the resulting psf has a good qualitative similarity to the goal one-way psf. The mean error magnitude was 17% of the mean psf magnitude.

Results from the two-way broadband simulation are shown in figure 6. The mean error magnitude was 19.8% of the mean goal psf magnitude.

The errors in the broadband simulations are quite large, but it is worth noting from figures 5(a) and 6(a) that the goal psfs used are difficult to realize using spherical waves. They would have been easy to generate using plane waves, but plane waves are an unrealistic model of the ultrasonic field emitted by transducer elements. Field II uses spherical waves to form realistic element responses. In spite of the very challenging goal psf used here, there is a very good qualitative agreement between the goal and system psfs.

The effect of the MSSE technique in improving the Depth of Field (DOF) is illustrated in figure 7. The DOF was defined in terms of correlation coefficients as the axial region over which the coefficients remained over 0.95. In the CW case, the DOF in the control case was 13 mm, while it increased by 285% to almost the entire interrogated range of 50 mm when the MSSE technique was applied. In the broadband simulations, the DOF increased from 8.3 mm in control simulations to 26.7 mm upon the application of the MSSE technique, an increase of 222%. The improvement in the DOF in CW simulations can be clearly seen in figure 7(a), and in broadband simulations in figure 7(c).

The DOF was also defined in terms of the Full Width Half Maximum (FWHM) of the mainlobe. Here we considered the DOF to be the region within which the FWHM stayed within 25% of its value at the focus. The DOF calculated using the FWHM criterion increased from 11 mm in control simulations, to almost the entire range of interrogation i.e. 50 mm. This represents a 355% increase in the DOF when the MSSE technique was applied. The CW control case and MSSE technique case FWHM results can be seen in figure 7(b). Figure 7(d) displays the FWHM information for broadband simulations. In the broadband case, the DOF evaluated using the FWHM increased from 12 mm to around 27 mm upon application of the MSSE technique, an increase of around 125%. Therefore, a significant improvement in the DOF was obtained in both simulations.

A more qualitative assessment of the efficacy of the MSSE technique can be made using figures 7(e) and 7(f). Figure 7(e) shows the CW psfs obtained in control simulations, and 7(f) displays the psfs generated in CW simulations that implemented the MSSE technique. The psfs are displayed as a function of range and lateral position. It can be seen that there is significant broadening of the psf mainlobe with range in the control simulation. Figure 7(f) clearly demonstrates the dramatic improvement in the DOF obtained using the MSSE beamforming technique.

While the MSSE design method worked exceedingly well over the range of conditions considered here, we must exercise some caution in interpreting these results since we only observed the performance of the technique in a limited spatial window. We cannot predict with certainty what will happen outside this design window. Another concern is the effect of assuming a wrong propagation model in the derivation of the optimum aperture weights. Errors such as a mismatch in the assumed and actual wave propagation speeds may have an adverse effect on the design method. We are currently investigating these and other similar concerns.

## 6. CONCLUSIONS

The Minimum Sum Squared Error (MSSE) technique is a general beamforming method that can be used to design apertures for specific applications. It enables the design of arbitrary beam profiles by calculating the appropriate optimum aperture weightings. The system performance is optimized because the calculated weightings minimize the error between the actual and desired system responses. The algorithm can be readily implemented in both Continuous Wave and broadband systems. In CW systems, the receive weights can be implemented by way of apodization and time delays, or complex weights. In broadband systems, implementation is analogous to applying a dynamic FIR filter to each channel.

The MSSE technique has been shown to be effective in designing ultrasound systems that generate arbitrary desired system responses. Simulation results in one-way and two-way CW and broadband systems demonstrate that it is easy to implement and can be applied in a wide range of potential applications. These simulations indicate that the MSSE technique compares favorably with current techniques used in conventional beamforming, and has the potential to be applied in a variety of ultrasound system design problems.

## ACKNOWLEDGEMENTS

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Disclaimer – Significant portions of this manuscript are adapted from papers that are in preparation to be submitted to refereed journals.

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# The Minimum Sum Squared Error (MSSE) Beamformer Design Technique: Initial Results

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**Abstract** – The design of transmit and receive aperture weightings is a critical step in the development of ultrasound imaging systems. Current design methods are generally iterative, and consequently time-consuming and inexact. We have previously described a general ultrasound beamformer design method, the minimum sum squared error (MSSE) technique, that addresses these issues. We provide a brief review of the design method, and present results of simulations that investigate the performance of the technique. We also provide an example of application by applying the technique to improve the depth of field in CW and broadband ultrasound systems.

## I. INTRODUCTION

We have previously introduced the minimum sum squared error (MSSE) beamforming technique [1], [2]. The MSSE technique can be applied in arbitrary system geometries to design apertures that optimize beam parameters. It utilizes a linear algebra formulation of the sum squared error (SSE) between the system point spread function (psf) and the desired or goal psf. Minimization of the SSE yields unique aperture weights that maximize the system psf's resemblance to the desired psf. We first provide a brief review of the technique and present a simple broadband design example. We also present the results of simulations that investigate the performance of the technique. Finally, we present an example of application that shows the ease of implementation of the technique to solve common design problems.

## II. REVIEW OF THE MSSE TECHNIQUE

The phase and magnitude of the ultrasonic field at a point in space generated by an ultrasound transducer element depend upon several factors including the Euclidean distance between the point and the element, the orientation of the element relative to the point, the frequency of the emitted wave, and frequency dependent attenuation of the

medium. The field can be expressed as a function of the aperture weighting (possibly complex), and a propagation function that includes the effects of the above factors. Therefore, the one-way transmit lateral psf at the range  $z$  can be represented as,

$$P_{Tz} = \begin{bmatrix} s_{1,1} & s_{2,1} & \dots & s_{N,1} \\ s_{1,2} & s_{2,2} & \dots & \dots \\ \vdots & \vdots & \ddots & \vdots \\ s_{1,M} & \dots & \dots & s_{N,M} \end{bmatrix} \begin{bmatrix} t_1 \\ t_2 \\ \vdots \\ t_N \end{bmatrix} = S_z T \quad (1)$$

where  $S_z$  is an  $M \times N$  matrix of propagation functions with  $s_{i,j}$  denoting the propagation function that determines the field at the point  $j$  due to the  $i^{\text{th}}$  element,  $T$  is an  $N \times 1$  vector of aperture weightings, and the psf  $P_{Tz}$  is an  $M \times 1$  vector.

Let  $\tilde{P}_{Tz}$  represent the desired one-way psf for the application of interest. We can then characterize the degree of similarity between  $\tilde{P}_{Tz}$  and the actual system psf,  $P_{Tz}$ , by the SSE between them. Minimizing this SSE would yield a system psf optimally similar to the goal psf. Therefore, beamformer design is simply the selection of transmit aperture weightings such that the SSE between the desired and actual system psfs is minimized. Using equation 1, the SSE can be expressed as follows.

$$\begin{aligned} SSE &= (P_{Tz} - \tilde{P}_{Tz})^H (P_{Tz} - \tilde{P}_{Tz}) \\ &= (S_z T - \tilde{P}_{Tz})^H (S_z T - \tilde{P}_{Tz}) \end{aligned} \quad (2)$$

where the superscript " $H$ " denotes a conjugate transpose operation. From [3], the least squares solution for the optimal transmit aperture weights is,

$$T = (S_z^H S_z)^{-1} S_z^H \tilde{P}_{Tz} = S_z^\# \tilde{P}_{Tz} \quad (3)$$

Parameter	Value
Number of elements	32
Element pitch	135 $\mu\text{m}$
Focus	1.3 cm
Lateral window over which the psf was calculated	70 mm / $180^\circ$
psf window sampling interval	20 $\mu\text{m}$ / $0.01^\circ$
Ultrasonic wave propagation speed	1540 m/s
Center frequency	10 MHz
-6 dB Bandwidth (broadband simulations)	75%
Temporal sampling of psf (in broadband simulations)	120 MHz
Temporal spacing of weights for each element (in broadband simulations)	25 ns

Table 1. Parameters used in simulations. The Cartesian coordinate system was used in the broadband design example and the depth of field simulations. The polar coordinate system was used in the simulations involving the effects of the design window and the wave propagation velocity error simulations.

where the superscripts “-1” and “#” denote a matrix inverse and a pseudoinverse operation respectively.

This formulation can be extended to CW two-way (receive) aperture design, and also to broadband one and two-way beamforming [1], [2].

### III. SIMULATIONS

Unless otherwise mentioned, the parameters described in table 1 were used in all simulations described in this paper. In CW simulations, the propagation functions were derived from the Rayleigh-Sommerfeld diffraction equation [4]. Field II, an ultrasound simulation package developed by Jensen [5], was used in all broadband simulations.

We implemented the MSSE technique in a simple broadband design example. The goal psf was generated by axially weighting a sinusoidal signal by a Hann window, and multiplying the result by a lateral Hann window. Figures 1(a) and 1(b) show the goal and the generated psfs respectively. Figures 1(c) and 1(d) display the calculated transmit weights and the magnitude of the error respectively. The error is the difference between the goal and system psfs. Note that geometric focal delays are not included in the weights, and must be applied separately.

The MSSE algorithm optimizes the system psf only within the design window. Effects occurring outside this window are ignored, introducing artifacts in the ultrasonic field generated outside the window. We performed simulations to investigate the effect of the design window size on the generated field. The goal psf was a  $6^\circ$  wide Hann window. The MSSE technique was implemented for design window sizes of  $\pm 15^\circ$ ,  $\pm 30^\circ$ , and  $\pm 45^\circ$ . The system psf was computed over a  $\pm 90^\circ$  window using the calculated weights. Figure 2 shows the goal and generated psfs. The first column depicts the goal psfs while the second column shows the obtained psfs respectively. The design window edges are shown by dotted lines.

An incorrect estimate of the ultrasound wave propagation speed will degrade the performance of an ultrasound system. Since the MSSE technique uses dynamic shift-variant aperture weights, errors in the assumed wave speed are an important concern. Therefore, we implemented simulations in which the actual wave speed (1540 m/s) was underestimated and then overestimated by 25 m/s and 50 m/s. The goal psf was a  $6^\circ$  wide Hann window. The designed psf for each assumed velocity is shown in figure 3.

In order to provide a simple example of the application of the MSSE technique in a common design problem, we implemented the two-way MSSE technique in both CW and broadband ultrasound systems to improve the depth of field (DOF). The system psf should ideally remain similar to the psf at the focus for as large an axial range as possible for a large DOF. Therefore, the technique was applied at every sampled axial range point with the goal psf at each range point being the psf at the focus. In both CW and broadband simulations, we generated the goal psf using 16 element apertures, while we used a 16 element transmit and a 32 element receive aperture for the actual system psf. The focus was placed at 6.5 mm. A Hann window was used for transmit apodization, and for receive apodization during the generation of the goal psf. We performed control simulations that included dynamic apodization and dynamic receive focusing. Figures 4(a) and 4(b) depict CW and broadband correlation coefficients calculated by correlating the psf at each range with the psf at the focus. The dotted lines show the focus. Figures 4(c) and 4(d) depict images constructed using the control and MSSE technique CW psfs.

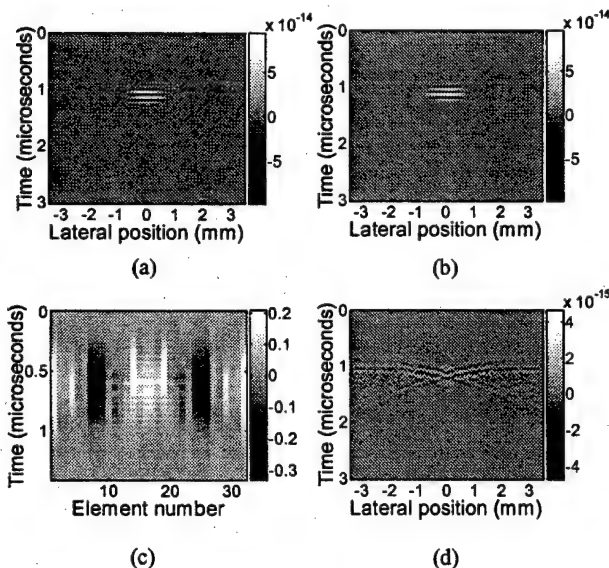


Figure 1. One-way broadband design example: 1(a) and 1(b) depict the goal and obtained psfs 1(c) shows the calculated receive weights as a function of element number and time and 1(d) illustrates the error between the goal and the obtained psfs.

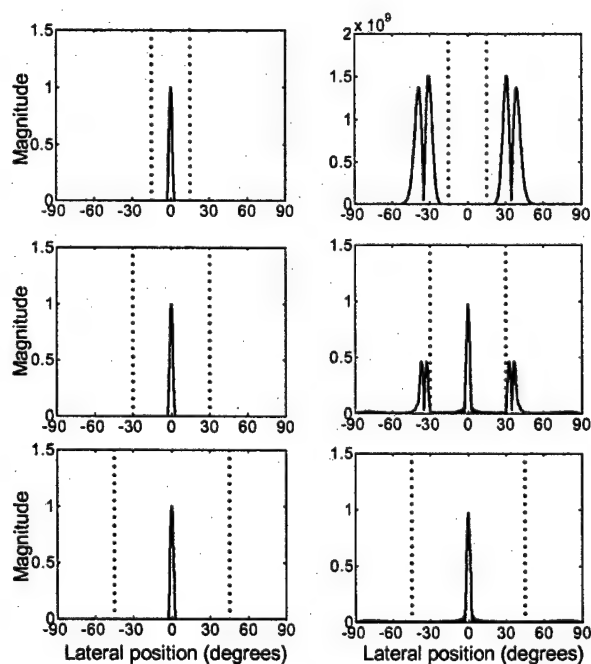


Figure 2. Effects of the size of the design window. The first column depicts the goal psfs while the second column shows the obtained psfs, both as a function of lateral position, for analysis window sizes of  $\pm 15^\circ$ ,  $\pm 30^\circ$ , and  $\pm 45^\circ$  respectively.

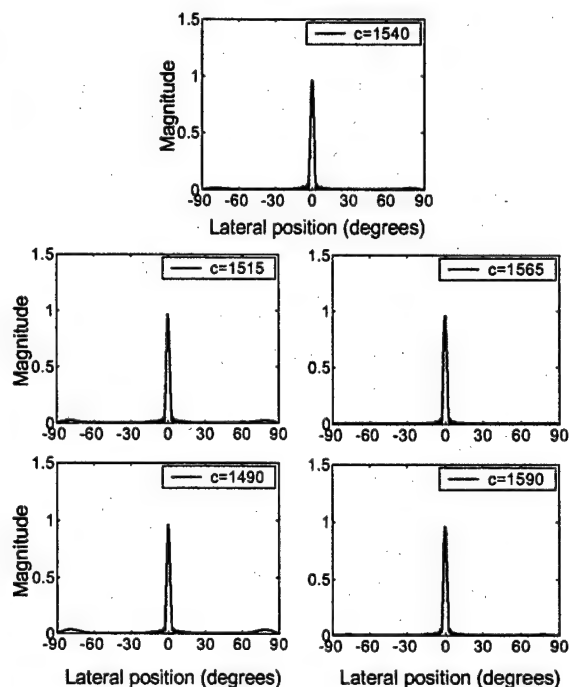


Figure 3. Effect of errors in the assumed wave propagation speed. Figures show the obtained psfs when the assumed wave speed is correct (1540 m/s), underestimated by 25 m/s and 50 m/s, and overestimated by 25 m/s and 50 m/s.

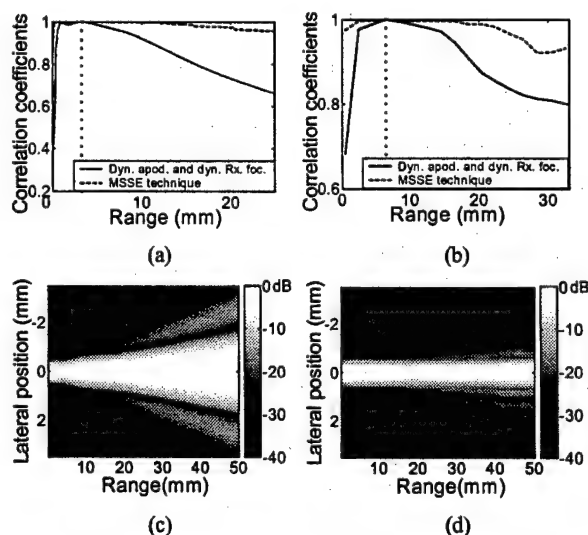


Figure 4. Application of the MSSE technique for enhanced depth of field (DOF): 4(a) shows CW correlation coefficients, 4(b) shows broadband correlation coefficients, and 4(c) and 4(d) depict images of the control and MSSE technique designed CW psfs.



#### IV. DISCUSSION

Figure 1 shows the results obtained in the one-way broadband design example. It can be seen from figures 1(a) and 1(b) that the goal and system psfs are very similar. Note that this goal psf is quite challenging since it lacks the normal wavefront curvature. Despite the flat wavefront of the goal psf, we were still able to approximate it well.

The effects of varying the design window size are shown in figure 2. It can be seen that when a  $\pm 15^\circ$  window was used, the ultrasonic field outside the window had large grating lobes that occurred just outside the design window (shown by dotted lines). However, the magnitude of the grating lobes decreased dramatically when the window size was progressively increased to  $\pm 45^\circ$ . Therefore, it can be seen that the size of the design window significantly impacts the obtained ultrasonic field, and must be carefully chosen to suit the application. Ideally it would always cover  $\pm 90^\circ$ , although computational and memory requirements may limit the practical range.

The effect of errors in the assumed speed of sound are displayed in figure 3. It can be seen that the designed psf was not significantly altered from the psf observed with the correct speed (1540 m/s). Therefore, the MSSE algorithm is stable in the sense that small errors in the assumed wave propagation speed do not appear to result in a significant degradation of performance.

The ability of the MSSE technique to improve the depth of field (DOF) is shown in figure 4. The DOF was defined in terms of correlation coefficient as the axial region over which the coefficient was above 0.99. In CW and broadband simulations, the DOF increased by 249% and 325% respectively over control DOF on application of the MSSE technique. A qualitative assessment of the efficacy of the MSSE technique can be made using figures 4(c) and 4(d). It can be seen that applying the MSSE technique dramatically reduces the broadening of the psf mainlobe with range seen in the control simulation. This improvement, though, comes at the cost of slightly higher sidelobe levels. However, as described in [1], we can use a weighting function to selectively emphasize sidelobes in the MSSE design process.

#### V. CONCLUSIONS

The minimum sum squared error (MSSE) technique has been shown to be stable and useful in designing ultrasound systems with arbitrary system responses. It is efficient, since there is no iteration, and requires very little design time. One-way and two-way CW and broadband simulations demonstrate that it is easy to implement and can be applied to a wide range of applications. The MSSE technique has therefore been shown to have significant potential to improve ultrasound beamforming and can be implemented in any ultrasound application in which better control of beam parameters is desired.

#### V. ACKNOWLEDGEMENTS

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# A CONSTRAINED ADAPTIVE BEAMFORMER FOR MEDICAL ULTRASOUND: INITIAL RESULTS

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## ABSTRACT

Adaptive beamforming has been widely used as a way to improve image quality in medical ultrasound applications by correcting phase and amplitude aberration errors resulting from tissue inhomogeneity. A less-studied concern in ultrasound beamforming is the deleterious contribution of bright off-axis targets. This paper describes a new approach, the constrained adaptive beamformer (CAB), which builds on classic array processing methods from radar and sonar. Given a desired frequency response for the mainlobe beam, the CAB reduces off-axis signals by imposing an optimal set of weights on the receive aperture. A linearly constrained adaptive filter dynamically adjusts the aperture weights in response to the incoming data. Initial results show a factor of two improvement in point target resolution and a 60% contrast improvement for low echogenicity cysts. The CAB could considerably improve cardiac and abdominal image quality. We address implementation issues and discuss future work.

## 1. INTRODUCTION

The ability of commercial ultrasound systems to image desired targets is often hindered by the presence of strong off-axis scattering. Echoes from such off-axis targets generate broad clutter which can overshadow the signal from desired targets, greatly reducing image contrast. In cardiac imaging, the ribs act as highly echoic undesired targets. In the abdomen strong echoes from the bladder reduce image contrast. A method to reduce side lobe levels and suppress clutter would improve diagnostic imaging in these situations.

Most adaptive imaging techniques used in medical ultrasound operate by correcting phase and amplitude aberration errors to improve image contrast and resolution [1,2]. We introduce a new approach to image

enhancement, the Constrained Adaptive Beamformer (CAB). Unlike other adaptive beamformers, the CAB calculates beamformer coefficients to minimize the impact of bright off-axis targets, not to correct for inhomogeneities in the propagation path. Adaptive beamforming has been used in radar and sonar applications to reduce noise in beam side lobes [3], but this generally is done using recursive methods to converge upon a single ideal set of aperture weights for narrowband sources in the aperture far-field [3,4]. For diagnostic ultrasound, the ideal aperture weighting changes constantly because of the poor shift invariance in the aperture near-field. The CAB therefore calculates new weights dynamically for each receive focus.

A typical beamformer for diagnostic ultrasound receives an RF line from each channel of a transducer array and applies appropriate delays to each channel to focus the signal for a given number of focal ranges. Preset system apodization is often used to weight the RF lines coming from the center of the aperture more heavily than those from the edges. Finally, the channels are summed and envelope detected to yield a B-Mode image.

The CAB begins with the focal delays already applied, but replaces the system apodization with an adaptive set of aperture weights that are determined from incoming RF lines. The weights are selected to reduce the power coming from off-axis noise sources, which can be accomplished by modifying a classical constrained least mean squares (CLMS) algorithm [5].

## 2. THEORY

The CLMS problem optimal weights are found by

$$\min_w w^T R w \quad (1)$$

constrained subject to

$$C^T w = f \quad (2)$$



where  $w$  is the set of weights imposed on the aperture,  $R$  is the autocorrelation matrix for the input data,  $C$  is the constraint matrix, and  $f$  is the vector of coefficients which constrain the problem.

The CAB technique uses the ideal system frequency response as the constraint  $f$  to preserve the desired signal. This is specified using a finite impulse response (FIR) filter of length  $L$ . The aperture weights for each range are calculated from a window of input data  $L$  samples long, so the filter length strongly influences computation time for the CAB. Accordingly, choosing an appropriate filter is a tradeoff between computation time and precise frequency response. All results presented in this paper were obtained using a tenth-order FIR filter with the same center frequency as the transducer.

The input data vector for each set of aperture weight calculations, denoted  $X$ , is a concatenation of  $L$  values for the  $N$  input channels (i.e. the first sample for all channels, followed by the second sample for all channels, etc.). The constraint matrix  $C$  serves as an index for the application of the constraint filter and is defined as

$$C^T = \begin{bmatrix} 1 & 0 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & 1 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & 1 \end{bmatrix} \quad \left. \vphantom{\begin{bmatrix} 1 & 0 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & 1 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & 1 \end{bmatrix}} \right\} N \text{ rows} \quad (3)$$

Each column of the  $M \times L$  matrix  $C$  has  $N$  rows of  $L$  nonzero entries corresponding to the  $N$  input channels. The dimension  $M$  is thus the product of  $N$  and the number of filter coefficients,  $L$ . This approach assures that a plane wave resulting from a focused target is subjected to the frequency response of the FIR filter.

Equations 1 and 2 can be solved using Lagrange multipliers in the manner described in [6] to yield the following equation for the optimal weight vector  $\tilde{w}$ :

$$\tilde{w} = R^{-1}C[C^TR^{-1}C]^{-1}f. \quad (4)$$

The  $M \times M$  matrix  $R$  is approximated by

$$R = X^TX + \delta I, \quad (5)$$

where  $\delta$  scales an identity matrix to approximately 100 dB below the mean of the magnitude of  $X$ . This ensures a well conditioned matrix for the inversion in Equation 4.

Finally, the weights are applied to the input data as follows:

$$y = \tilde{w}^TX. \quad (6)$$

Equation 6 yields the processed data for one range of the image. The CAB replaces the summing of channel data required in conventional ultrasound beamforming. Optimal weights and the resulting data are calculated for each range and each line of the input data to produce the processed image.

### 3. EXPERIMENTAL METHODS

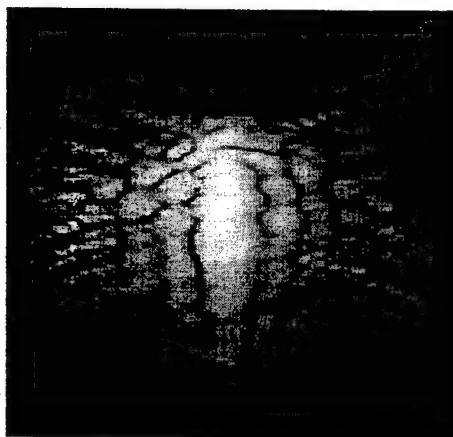
All experiments were performed using a Philips SONOS 5500 imaging system operating with a 10 MHz linear array. Conventional transmit focusing was employed, though system apodization was turned off for transmit. A single focal range (coinciding with the depth of the imaged target) was selected for receive data. Aperture growth was disabled on receive to maintain constant aperture size throughout all ranges. Data was obtained from each of 128 channels in succession by controlling system apodization and using custom software developed by McKee Poland of Philips Medical Systems.

For wire target lateral resolution experiments, a 100  $\mu$ m steel wire was imaged in a water tank at 20°C. The target was placed at a depth of 4 cm. Reverberation in the tank was reduced using sheets of NPL Aptflex F28 acoustic absorbing rubber from Precision Acoustics, Ltd.

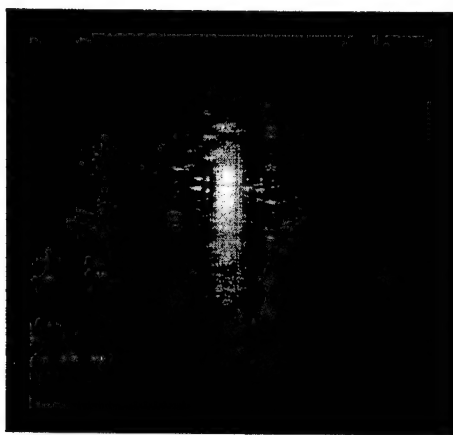
Contrast improvement experiments were performed using a Gammex RMI 404 grayscale tissue mimicking phantom with graphite scatterers and low echogenicity cysts approximately 4 mm in diameter. To improve acoustic coupling, a water standoff was used between the transducer array and phantom surface.

### 4. EXPERIMENTS: POINT RESOLUTION

A conventional B-mode image of a 100  $\mu$ m wire target is presented in Figure 1a; Figure 1b shows the image formed using the CAB. The control image is noisy with pronounced tails only slightly lower in intensity than the target itself. As Figure 2a demonstrates, the full width beamwidth at half maximum (FWHM) for the control data is nearly 1 mm, with side lobes impinging on the main lobe and less than 10 dB below the main lobe. The beam



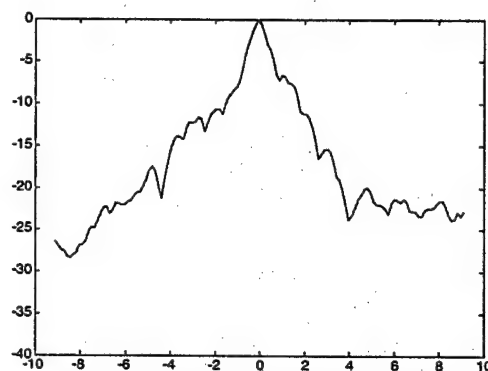
(a)



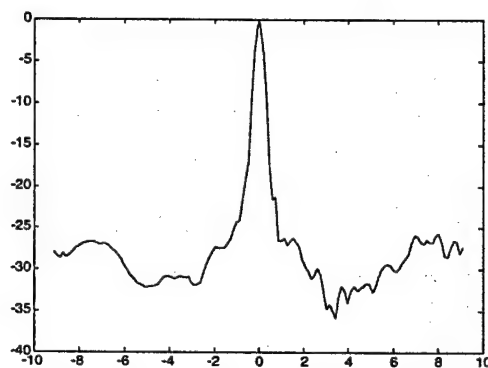
(b)

Figure 1. Images of 100  $\mu\text{m}$  wire targets log compressed with a dynamic range of 40 dB. (a) Conventionally beamformed image; (b) CAB image.

profile for the CAB data given in Figure 2b, however, reveals a FWHM beamwidth of only 400  $\mu\text{m}$ . The processed data also has easily distinguishable side lobes which are suppressed below 25 dB. The actual size and features of the wire target are much more clearly depicted in the CAB image of Figure 1b than in the control image.



(a)



(b)

Figure 2. Lateral beam profiles obtained from a 100  $\mu\text{m}$  wire at a depth of 4 cm. (a) Conventional beamforming image; (b) CAB image.

## 5. EXPERIMENTS: CONTRAST RESOLUTION

The magnitude of contrast between tissue features and background speckle has a profound impact on the utility of medical ultrasound images. By reducing the contribution of noise from directions other than the focal direction, the CAB greatly improves image contrast for regions lying in the focal direction. Figure 3 shows images of a 4 mm low echogenicity cyst phantom. The cyst is apparent in the center of the control image (Figure 3a), but the image is noisy and the contrast between background and cyst is poor. The CAB image of Figure 3b shows the cyst more clearly and is far less noisy. The contrast ratio for the unprocessed image, calculated as the ratio of average pixel values between the background speckle and the cyst, is 1.82. The processed image yields a contrast ratio of 2.94, a 62% improvement in contrast.

Use of the FIR filter alone (without the CAB algorithm) also improves cyst contrast, but only by 20%.

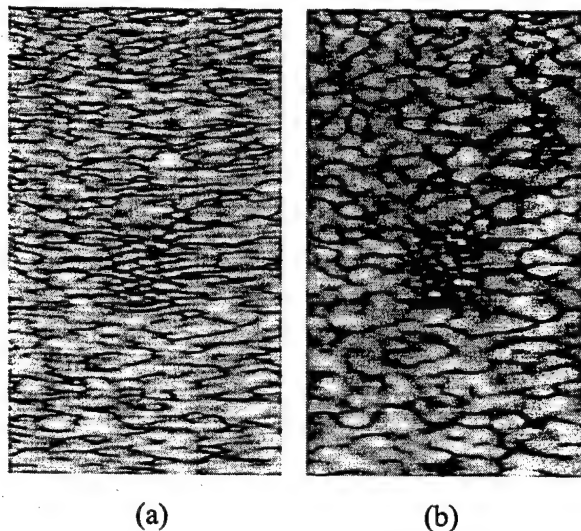


Figure 3. Images of low echogenicity cyst mimicking phantom, log compressed with a dynamic range of 40 dB.  
(a) Unprocessed image; (b) CAB-processed image.

## 6. FUTURE WORK

The CAB technique may have broad applications. Further experiments will include bovine and porcine tissue imaging and additional point resolution work. We are currently working to modify the SONOS 5500 to enable simultaneous capture of all 128 beamformer channels over a period of 1.6 seconds. Once this modification is in place, the CAB will be applied to human cardiac and abdominal imaging. A major constraint of the CAB is the high computational cost associated with its application. We are exploring ways to speed its execution.

The methods presented in this paper could be effectively paired with other beamforming and image-enhancing techniques such as phase aberration correction and angular scatter imaging [7,8].

## 7. CONCLUSION

Through reduction of off-axis noise, the Constrained Adaptive Beamformer substantially improves both target resolution and image contrast for wire targets and low echogenicity cyst phantoms.

## 8. ACKNOWLEDGEMENTS

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## Angular Scatter Imaging: Clinical Results and Novel Processing

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Human tissues exhibit variation in scattering magnitude as the angle between transmission and reception is changed. These angular scatter variations result from intrinsic acoustic properties and sub-resolution structure. We have developed a clinical imaging system that uses the translating apertures algorithm to obtain statistically reliable, local angular scatter measurements. The obtained data can be processed to yield novel images.

A significant problem with angular scatter imaging is limited depth of field (DOF). We describe a new method to improve DOF by applying shift variant filters to the data obtained at each angle. We show that this approach is optimal in a minimum sum squared error sense. The filter coefficients used in the technique can be determined via experiment or simulation. Unlike prior methods, this approach does not assume a model for the source of decorrelation, rather it includes all sources of decorrelation implicitly. We present simulation results showing the improvements in DOF obtained using this technique.

We present experimental angular scatter data from phantoms and human subjects. In one phantom, designed to mimic microcalcifications in soft tissue, experimental data shows the angular scatter from 500  $\mu\text{m}$  glass spheres falling off by 50% over a 20 degree range of interrogation angles. In the same phantom the angular scatter from 50  $\mu\text{m}$  sephadex spheres fell off by only 10% over the same range. In the human calf muscle, brightness fell off by 60% over 20 degrees, while tendon brightness dropped by only 20%. Interestingly, the brightest target in the phantom (glass spheres) exhibited the greatest angular scatter variation, while the brightest target in the calf (tendon) exhibited the least angular scatter variation. These results provide compelling evidence that angular scatter properties are uncorrelated to b-mode image brightness.

### Introduction:

As early as the mid 1980's, experimental data indicated that human tissues exhibit an intrinsic variation in angular scatter properties. (Note that the term angular scatter refers to the variations in scatter with the angle between the transmit incidence and received emission, not anisotropic scattering.) While angular scatter was extensively explored in *ex vivo* experiments, techniques used at the time were unable to make meaningful angular scatter measurements *in vivo*. We have recently described the use of the Translating Apertures Algorithm (TAA) for the acquisition of spatially localized, statistically robust angular scatter profiles [1].

We present initial results in phantoms and *in vivo*, showing that angular scatter variations are significant and are independent of b-mode image brightness. We also describe shift variant filters designed to improve depth of field (DOF) in angular scatter images. We present simulation results indicating the potential of these filters. Finally, we discuss directions for future work.

### Experimental Methods:

The TAA was implemented on a General Electric Logiq 700MR Ultrasound system by developing custom scan software and employing a set of system software tools developed at the GE Global Research Center. Acquisition angle was varied for sequential transmit events by simulating system focal zone updates. The focal range was held steady for each focal zone while the transmit and receive apodization were modified to implement the TAA. The experiments presented here utilize an 8 element active aperture on both transmit and receive. Apodization and dynamic receive focusing were disabled for these experiments. Imaging was performed at roughly 6.9 MHz using a linear array probe with 205  $\mu\text{m}$  element spacing. An active aperture of 8 elements was employed with shifts ranging from 0 to 9 elements (each way) over the range of

conditions explored. The system was focused at a range of 1.2 cm and at ~3.0 cm in elevation.

A tissue mimicking phantom was constructed to explore the potential contrast of angular scatter images. The phantom consisted of a background region of gelatin with 50  $\mu\text{m}$  Sephadex added as a source of backscatter contrast. Glass spheres with 500  $\mu\text{m}$  diameter were placed within the phantom to mimic the presence of microcalcifications. The glass spheres were suspended by placing them on the interface formed after one phantom layer had hardened, but before a second had been poured.

The myotendinous junction of a healthy adult female volunteer was also imaged to characterize its angular scatter properties.

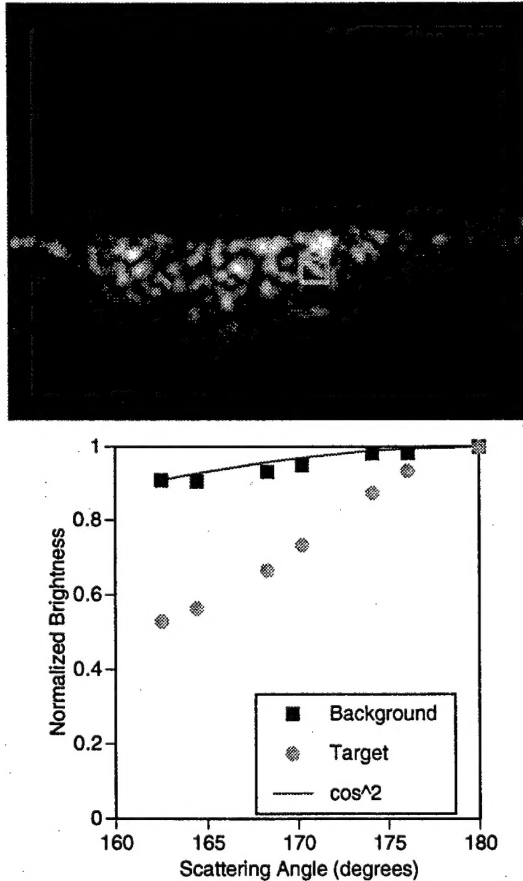


Figure 1: B-Mode image and accompanying angular scatter plot from glass sphere phantom. Note that the brighter glass sphere region exhibits a more pronounced reduction in scatter with angle.

## Experimental Results:

Results from the glass sphere phantom are shown in figure 1. The left panel depicts B-Mode image and accompanying angular scatter plot from glass sphere phantom. Background region consists of 50  $\mu\text{m}$  sephadex particles within a gelatin matrix. Highly echogenic 500  $\mu\text{m}$  glass spheres are visible to the left of center. B-mode image shows boxed regions that were used to determine image brightness with acquisition angle

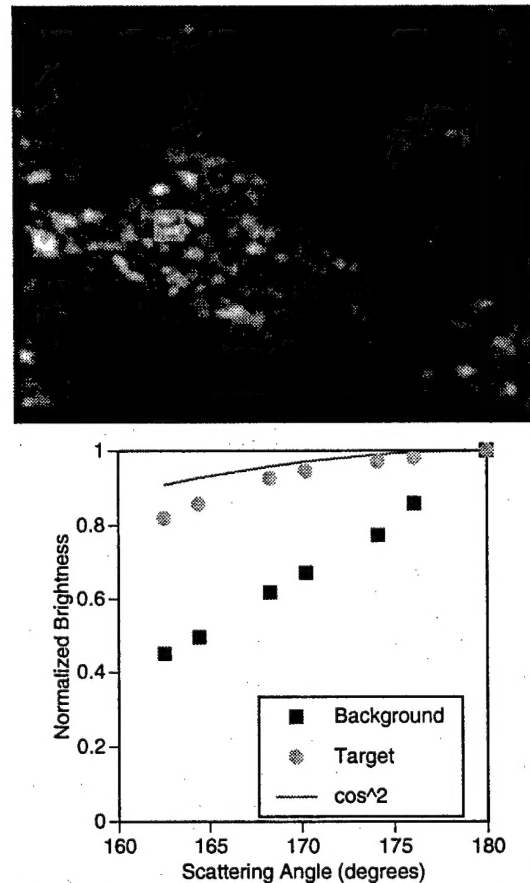


Figure 2: B-Mode image and accompanying angular scatter plot from the myotendinous junction of the gastrocnemius muscle of a healthy female volunteer.

Figure 2 depicts results from the myotendinous junction of the gastrocnemius muscle. The background region consists of skeletal muscle with the target region consisting of connective tissue. Data was acquired with the image plane perpendicular to the muscle/tendon fibers. These results

indicate that angular scatter properties vary among soft tissues. Furthermore, when compared with the results of figure 1, these results clearly indicate that angular scatter properties are independent of backscatter.

Our current experimental system obtains data over seven interrogation angles. While this data may be processed in a variety of ways, we have begun our investigations by examining difference-weighted images computed by envelope detecting the difference between the IQ data set obtained at angle  $\theta_0$  and the IQ data set obtained at backscatter. An example d-weighted image for the previously described glass sphere phantom is shown below in figure 3. A control B-Mode image is provided for comparison. Both images are log compressed to 40 dB. Quantitative analysis shows that the glass sphere region appears with ~15 dB greater contrast in the d-weighted image.

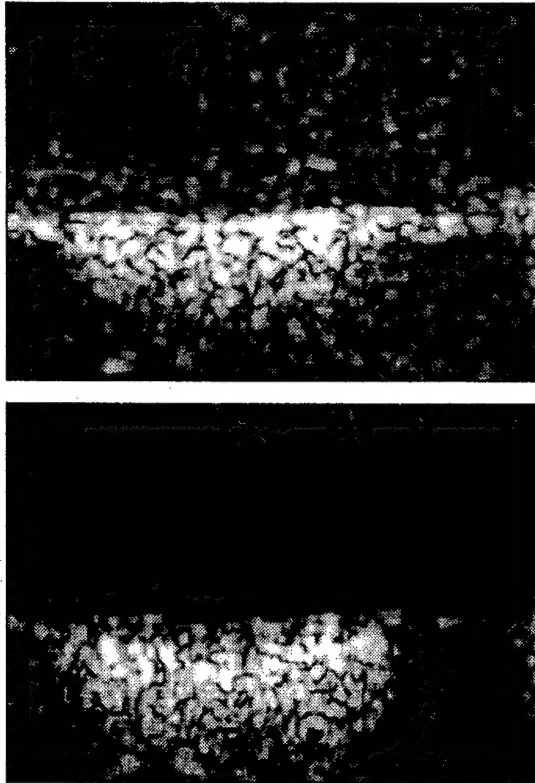


Figure 3: B-Mode image (top) and accompanying d-weighted image (bottom) from glass sphere phantom. Both are log compressed to 40 dB. The d-weighted image exhibits ~ 15 dB higher contrast.

### Shift Variant Filtering:

While the TAA maintains a highly uniform system response with angle, it is subject to variations (especially at ranges far from the focus) that limit depth of field and reduce image quality. As we show below, the impact of these variations can be reduced by applying a shift variant filter.

We begin by considering two  $T$  sample temporal signals,  $r_1$  and  $r_2$ , received using different aperture geometries. These signals will be most useful for differentiating angular scatter information if they are identical for a medium with no angular scatter variations. We represent the signals received from such omnidirectional targets as  $\tilde{r}_1$  and  $\tilde{r}_2$ . We quantify the similarity of these signals using the mean squared error between them. Representing the signals  $\tilde{r}_1$  and  $\tilde{r}_2$  as column vectors, the expected value of the sum squared error between them is:

$$SSE = \langle (\tilde{r}_1 - \tilde{r}_2)' (\tilde{r}_1 - \tilde{r}_2) \rangle$$

We consider the signals to be the result of the interaction between a shift variant imaging system and a field of scatterers. This can be represented as the multiplication of a propagation matrix and a scattering vector:

$$\begin{aligned} \tilde{r}_1 &= Ps \\ \tilde{r}_2 &= Qs \end{aligned}$$

Where  $s$  is the scattering vector of  $X$  samples and  $P$  and  $Q$  are  $T$  by  $X$  propagation matrices. The vector  $s$  contains the amplitude of the scattering function throughout space. The matrices  $P$  and  $Q$  represent the system sensitivity to scatterers at specific locations in space as a function of time. The product yields a time dependent received signal. To maximize the similarity between the signals we would like to design a shift-variant filter which can be applied to  $\tilde{r}_2$  to minimize the sum squared error between  $\tilde{r}_1$  and  $\tilde{r}_2$ . We represent this filter as a compensating matrix  $C$ , of dimensions  $T$  by  $T$ . Thus the compensated sum squared error is:

$$SSE_C = \langle (\tilde{r}_1 - C\tilde{r}_2)' (\tilde{r}_1 - C\tilde{r}_2) \rangle$$



substituting the definitions of  $\tilde{r}_1$  and  $\tilde{r}_2$  into this expression and regrouping terms yields:

$$SSE_C = \langle s'(P - CQ)'(P - CQ)s \rangle$$

To simplify intermediate steps we let  $A = (P - CQ)'(P - CQ)$  so that:

$$SSE_C = \langle s'As \rangle$$

Changing this expression from matrix notation to summation notation yields:

$$SSE_C = \left\langle \sum_{i=1}^X \sum_{j=1}^X s_i s_j A_{ij} \right\rangle$$

Since  $A$  is deterministic, the expected value operator can be brought inside the summation to yield:

$$SSE_C = \sum_{i=1}^X \sum_{j=1}^X \langle s_i s_j \rangle A_{ij}$$

If we assume that the scattering function  $s$  is a white noise process then  $\langle ss' \rangle = \sigma_s^2 I$ . Substituting this result in and assuming that  $\sigma_s^2 = 1$  yields:

$$SSE_C = \sum_{i=1}^X A_{ii}$$

If we represent  $A$  as  $B'B$  then the sum squared error is:

$$SSE_C = \sum_{i=1}^X \left( \sum_{j=1}^T B_{ji} B_{ji} \right)$$

If we reshape  $B$  into a column vector  $b$  such that  $B_{ij} = b_{j+T(i-1)}$  then the sum squared error is simply:

$$SSE_C = b'b$$

Following our earlier definition of  $B$ ,  $b_{j+T(i-1)} = P_{ij} - \sum_{k=1}^T C_{ik} Q_{kj}$ . Thus the sum squared error can be represented as:

$$SSE_C = \left( p - \begin{bmatrix} Q^t & 0 & 0 \\ 0 & Q^t & \\ & \ddots & 0 \\ 0 & 0 & 0 & Q^t \end{bmatrix} c \right)' \left( p - \begin{bmatrix} Q^t & 0 & 0 \\ 0 & Q^t & \\ & \ddots & 0 \\ 0 & 0 & 0 & Q^t \end{bmatrix} c \right)$$

where  $c_{T(i-1)+j} = C_{ij}$  and  $p_{T(i-1)+j} = P_{ij}$ . This equation is a standard least squares problem and can be readily solved for the compensating weights, expressed as the vector  $c$ .

This problem can be further simplified by dividing it into a set of  $T$  independent least squares problems. We begin by considering the vectors  $p$  and  $c$  to be block vectors, each consisting of  $T$  column vectors denoted  $p_1$  through  $p_T$  and  $c_1$  through  $c_T$  respectively. Using this formalism the above expression can be rewritten as:

$$SSE_C = \left( \begin{bmatrix} p_1 \\ p_2 \\ \vdots \\ p_T \end{bmatrix} - \begin{bmatrix} Q^t & 0 & 0 \\ 0 & Q^t & \\ & \ddots & 0 \\ 0 & 0 & 0 & Q^t \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \\ \vdots \\ c_T \end{bmatrix} \right)' \left( \begin{bmatrix} p_1 \\ p_2 \\ \vdots \\ p_T \end{bmatrix} - \begin{bmatrix} Q^t & 0 & 0 \\ 0 & Q^t & \\ & \ddots & 0 \\ 0 & 0 & 0 & Q^t \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \\ \vdots \\ c_T \end{bmatrix} \right)$$

From this expression it is clear that the sum squared error between  $p_1$  and  $Q^t c_1$  does not depend upon the other  $p_n$  or the other  $c_n$ . Thus the sum squared error for any individual compensating vector  $c_n$  is given by:

$$SSE_{c_n} = (p_n - Q^t c_n)'(p_n - Q^t c_n)$$

Determination of the weights needed to minimize this sum squared error is a well known problem with the solution equal to:

$$\hat{c}_n = (QQ')^{-1} Q p_n = (Q')^\# p_n$$

where  $(Q')^\#$  is the pseudoinverse of the transposed propagation matrix  $Q'$ . Using this method it is possible to determine the required filter coefficients to be used for each receive time of interest. Since this formalism has allowed for shift variant filtering, the filter coefficients will need to be updated for each new output time.

#### Simulation:

The Field II program [2] was used to test the potential utility of the shift variant filtering method described above. We utilized

geometry and operating frequency matching that used in our experiments. We generated lateral-axial system responses at each time range by resampling the normal Field space-time output.

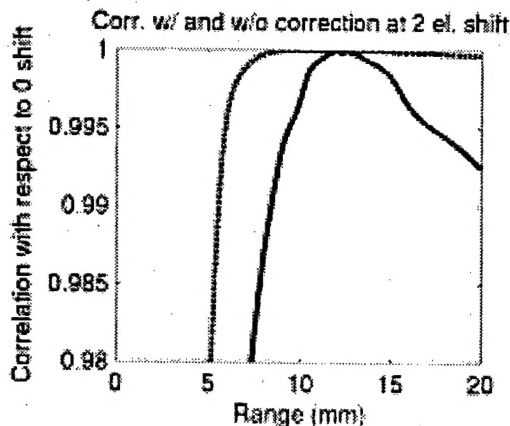


Figure 4: Solid line depicts the correlation between the backscatter data and the angular interrogation with a shift of 2 elements. The dashed line indicates the correlation resulting from application of shift variant filters.

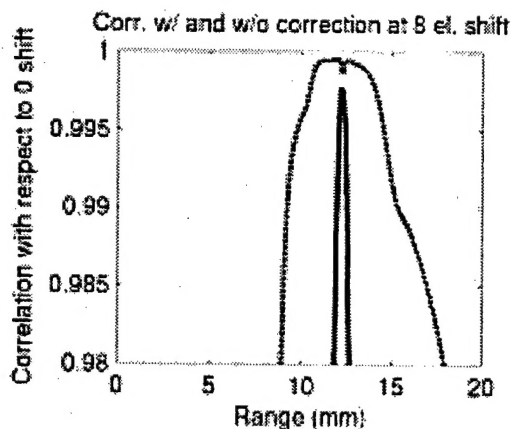


Figure 5: Solid line depicts the correlation between the backscatter data and the angular interrogation with a shift of 8 elements. The dashed line indicates the correlation resulting from application of shift variant filters.

Results of the application of the shift variant filters are shown with control curves in figures 4 and 5. The application of shift variant filters dramatically improves the depth of field of angular scatter imaging without the large computational cost associated with the advanced beamforming methods we have previously described [3, 4].

## Conclusion:

The TAA can be successfully applied to the measurement of tissue and phantom angular scatter properties. Such properties provide a new source of image contrast. Shift variant filters should improve image depth of field and reduce artifacts.

## Acknowledgements:

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